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<p>TAXATION AND CUSTOMS UNION DG</p> <p>oEMCS Project</p> <p>Subject: EMCS Phase 3.3 FESS and DDNEA Release</p> <p>Release Scope Document</p>		

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Document History

Edi.	Rev.	Date	Description	Action (*)	Pages
<u>0</u>	01	12/05/2016	First draft for Internal Review	I	All
0	10	13/05/2016	Submitted for Review to DG TAXUD	I,R	All
1	00	18/05/2016	Submitted for Acceptance to DG TAXUD	I,R	All
1	10	29/07/2016	Submitted for Review to DG TAXUD upon implementing MSAs' comments	I,R	All
2	00	01/08/2016	Submitted for Acceptance to DG TAXUD	I,R	All
2	10	07/10/2016	Submitted for Information to DG TAXUD upon implementation of corrections in FESS-188, DDNEA-P3-197, DDNEA-P3-219	I,R	77-84, 146-152, 230-236
2	20	31/01/2017	Submitted for Information to DG TAXUD upon: a) Implementation of MSs' verification comments on FESS v3.80 and DDNEA v1.90; b) Update of RFCs' Status c) Update of RFCs' Release number; d) Update of RFCs' Release date; e) downstream RFCs; f) Numbering of rules and conditions.	I,R	All

(*) I: Insert, R: Replace

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1 INTRODUCTION

1.1 PURPOSE

This document is an update of "FESS v3.80 and DDNEA v1.90 for EMCS Phase 3.3 Release Scope Document v1.00"¹ [R07], after the Objection Period by MSAs that ended on 17/06/2016.

It defines the **final scope** of the Functional Excise System Specifications (FESS) v3.81 and Design Document for National Excise Applications for EMCS Phase 3 (DDNEA-P3) v1.91 and implements comments raised by the Member States (as described in the Commission Positions on MS comments for RSD for EMCS Phase 3.3 and in the Commission Positions on the implementation of MSs' verification comments).

1.2 SCOPE

The RFCs included in this document (28 FESS RFCs and 30 DDNEA RFCs) are a subset of the RFCs listed in the "Functional Excise System Specification (FESS) List of Requests for Change and EMCS Change Advisory Board Recommendations" [R03] and in the "Design Document for National Excise Applications for EMCS Phase 3 (DDNEA) List of Requests for Change and EMCS Change Advisory Board Recommendations" [R05].

FESS v3.81 and DDNEA for Phase 3 v1.91 were released on **20/12/2016** and are published under the following CIRCABC links:

FESS v3.81: <https://circabc.europa.eu/w/browse/e2f328d5-4f06-4220-95b9-2e4b74174c1a>

DDNEA v1.91: <https://circabc.europa.eu/w/browse/ca661530-d864-474c-b8a3-a50ab411c9d8>

In FESS v3.81 and DDNEA v1.91 MSs' verification comments raised on FESS v3.80 and DDNEA v1.90 are also implemented.

1.3 APPLICABILITY

This document is applicable to the previous versions of FESS and DDNEA for Phase 3.

The proposed **deadline for alignment in production is set to Milestone M_i (15/02/2018)**, except:

- for the MSAs opting for the Migration period approach, the deadline is **between Milestone M_n (16/11/2017) and Milestone M_i (15/02/2018)**.

All the changes will be tested during the Phase 3.3 CT Campaign. It is foreseen that Member States will need to complete a mandatory CT Campaign Campaign (starting on 03/04/2016 and finishing on 12/02/2018).

¹ CIRCABC: <https://circabc.europa.eu/w/browse/9cd1e4b2-1618-4257-aa9c-157955a39047>

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1.4 STRUCTURE OF THIS DOCUMENT

Chapter 1 – Introduction: Introduces the purpose and scope of this document.

Chapter 2 – Reference and Applicable Documents: Lists the references that have been used to prepare this RFC list.

Chapter 3 – Terminology: Explains the terminology.

Chapter 4 – Overview of Changes for this Release: Groups RFCs by release number.

Chapter 5 – Change Requests: Lists and describes the RFCs in sequential order.

Chapter 6 – Annex – FESS RFCs: Provides a series of files with more information about RFCs.

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2 REFERENCE AND APPLICABLE DOCUMENTS

2.1 REFERENCE DOCUMENTS

Ref.	Title	Reference	Version
R01	EMCS Computerisation Project Glossary of Terms (GLT)	ECP1-ESS-GLT	2.09
R02	Functional Excise System Specifications (FESS)	ECP1-ESS-FESS-CP	3.81
R03	Functional Excise System Specification (FESS) List of Requests for Change and EMCS Change Advisory Board Recommendations	ITSM2_LOT2-SC04-RPT-029-FESS RFC List	6.03
R04	DDNEA for EMCS Phase 3	ECP3-FITSDEV2-SC03-DDNEA	1.91
R05	Design Documentation for National Excise Applications for EMCS Phase 3 (DDNEA for Phase 3) List of Requests for Change and EMCS Change Advisory Board Recommendations	ITSM2_LOT2-SC04-RPT-018-DDNEA for Phase 3 RFC List	3.30
R06	SEEDv1 List of Requests for Change and EMCS Change Advisory Board Recommendations	ITSM2_LOT2-SC04-RPT-010-SEEDv1-RFC List	3.82
R07	FESS v3.80 and DDNEA v1.90 for EMCS Phase 3.3 Release Scope Document	ITSM2_LOT2-SC04-RPT-FESS-DDNEA-Phase3.3-RSD	2.10

Table 1: Reference Documents

2.2 APPLICABLE DOCUMENTS

Ref.	Title	Reference	Version
A01	EMCS Change Management Process	QAC-EMCSChangeMan	2.00

Table 2: Applicable Documents

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3 TERMINOLOGY

3.1 ABBREVIATIONS AND ACRONYMS

Abbreviation	Meaning
AAD	Administrative Accompanying Document
ARC	AAD Reference Code
CD	Common Domain
CDEA	Centrally Developed Excise Application
CTA	Conformance Testing Application
DDNEA	Design Document for National Excise Applications
DG TAXUD	Directorate-General Taxation and Customs Union
e-AD	Electronic Administrative Accompanying Document
ECWP	Excise Computerisation Working Party
ECP	Excise Computerisation Project
ECS	Export Control System
ED	External Domain
EMCS	Excise Movement and Control System
EMCS CAB	EMCS Change Advisory Board
FESS	Functional Excise System Specifications
IE	Information Exchange
MSA	Member State Administration
N/A	Not Applicable
NEA	National Excise Applications
ND	National Domain
RFC	Request for Change
SEED	System for Exchange of Excise Data
STD	State Transition Diagram
TA	Testing Application
UC	Use Case
XML	eXtensible Mark-up Language
XSD	XML Schema Definition

Table 3: Abbreviations and Acronyms

3.2 DEFINITIONS

Readers are referred to the EMCS Glossary of Terms [R01] for more detailed definitions of terms where necessary.

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4 OVERVIEW OF CHANGES FOR THIS RELEASE

Release	RFC	RFC Label	Change Category	Status	Release Date
v3.81	FESS-156	Validation of the "Trader Identification" Data Item when Rule045 applies to it	Objection	Closed	20/12/2016
v3.81	FESS-157	Remove the Data Group <OFFICE of Dispatch – Import> from the IE871 message	Review	Closed	20/12/2016
v3.81	FESS-158	Updates in the IE813 message due to violation of Rule216 and in order to allow the change of Movement Guarantee information	Objection	Closed	20/12/2016
v3.81	FESS-159	Pass to SEED-on-Europa the information for the traders allowed to practice direct delivery	Review	Closed	20/12/2016
v3.81	FESS-160	Updates in Appendix D concerning the validation of the Check Digit	Review	Closed	20/12/2016
v3.81	FESS-166	Update of rule Rule026 in order to enable a registered consignor to leave empty the destination fields	Review	Closed	20/12/2016
v3.81	FESS-167	Removal of the complementary event report functionality/ Rev1	Review	Closed	20/12/2016
v3.81	FESS-168	Update of BR015 in order to consider the case that the temporary registered consignee is a small wine producer	Review	Rejected	20/12/2016
v3.81	FESS-169	Update of Rule032 in order to align FESS with DDNEA	Review	Closed	20/12/2016
v3.81	FESS-176	Validation of BR028 in case the "Destination Type Code" of both IE801 and IE813 is set to either "Direct Delivery" or to "Destination - Temporary registered consignee"/ Rev1	Review	Closed	20/12/2016

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v3.81	FESS-179	Update of the IE871 message	Review	Closed	20/12/2016
v3.81	FESS-181	Update of the language specific data of the codelists included in the IE734 message	Review	Closed	20/12/2016
v3.81	FESS-182	Validation of the Data Item "Gross Weight" against the Data Item "Net Weight" in the IE801, IE815 and IE825 messages	Review	Closed	20/12/2016
v3.81	FESS-183	Maximum value of the Data Item "Alcoholic strength" included in the IE801 and IE815 messages	Review	Closed	20/12/2016
v3.81	FESS-184	Update of the optionality of the <ADDRESSED AUTHORITY> Data Group in the IE724 message/ Rev1	Review	Closed	20/12/2016
v3.81	FESS-185	State transition from the "Extended" to the "Extended" state both for the ACO and MVS functionality	Review	Closed	20/12/2016
v3.81	FESS-186	Increase of the length of free text fields in the ACO and MVS messages	Review	Closed	20/12/2016
v3.81	FESS-187	Introduction of the Data Item "National Case Reference Identifier" in the ACO and MVS messages/ Rev1	Review	Closed	20/12/2016
v3.81	FESS-188	Codelists updates	Review	Closed	20/12/2016
v3.81	FESS-189	Allow Reasoned Refusal for refusing replying to an Administrative Cooperation/ MV Request	Review	Closed	20/12/2016
v3.81	FESS-190	Feedback process	Review	Closed	20/12/2016
v3.81	FESS-191	Reduce the scope of the History Results (IE820) and the History Request Functionality	Review	Closed	20/12/2016
v3.81	FESS-192	Sharing National Domain messages via Movement Download	Review	Closed	20/12/2016
v3.81	FESS-193	Allow exchanging of certain	Review	Closed	20/12/2016

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		Follow-up and Collaboration information for Distance Selling excise movements or any undocumented excise movement			
v3.81	FESS-194	Reflection of Legal requirements in the Common Specifications	Review	Closed	20/12/2016
v3.81	FESS-195	Describe the Status/ Status synchronisation mechanism in FESS	Review	Closed	20/12/2016
v3.81	FESS-196	Transfer the processes "Download of an e-AD (UC2.51)" and "General query to retrieve an e-AD (UC2.52)" from FESS Section II "Core Business" to Section IV "Follow-up and Collaboration"	Review	Closed	20/12/2016
v3.81	FESS-197	Set Journey Time per Transport Mode	Review	Closed	20/12/2016
v3.81	FESS-198	MVS Spontaneous information	Review	Closed	20/12/2016
v1.91	DDNEA-P3-192	Updates in Appendix D concerning the validation of the Check Digit/ Rev2	Review	Closed	20/12/2016
v1.91	DDNEA-P3-193	Introduction of IE717 in TR0104	Review	Closed	20/12/2016
v1.91	DDNEA-P3-195	Validation of the "Trader Identification" Data Item when Rule045 applies to it	Review	Closed	20/12/2016
v1.91	DDNEA-P3-196	Remove the Data Group <OFFICE of Dispatch – Import> from the IE871 message	Review	Closed	20/12/2016
v1.91	DDNEA-P3-197	Updates in the IE813 message due to violation of Rule216 and in order to allow the change of Movement Guarantee information	Review	Closed	20/12/2016
v1.91	DDNEA-P3-198	Clarifications on the Automatic Status Synchronisation Request Mechanism	Objection	Closed	20/12/2016
v1.91	DDNEA-P3-200	Update of rule Rule026 in order to enable a registered consignor to leave empty the destination	Review	Closed	20/12/2016

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		fields			
v1.91	DDNEA-P3-201	Removal of the complementary event report functionality/Rev1	Review	Closed	20/12/2016
v1.91	DDNEA-P3-202	Update of BR015 in order to consider the case that the temporary registered consignee is a small wine producer	Review	Rejected	20/12/2016
v1.91	DDNEA-P3-203	Update of Rule032 in order to align FESS with DDNEA/Rev1	Review	Closed	20/12/2016
v1.91	DDNEA-P3-205	Update of the description of the code '95' included in TC49 of DDNEA Appendix B so as to be aligned with DDNEA Section "VIII.I.3.2.3.1 Coordination protocol validations"	Review	Closed	20/12/2016
v1.91	DDNEA-P3-207	Validation of BR028 in case the "Destination Type Code" of both IE801 and IE813 is set to either "Direct Delivery" or to "Destination - Temporary registered consignee"	Review	Closed	20/12/2016
v1.91	DDNEA-P3-208	Update of Section VIII.I.3.2.3 "Semantic layer"	Review	Closed	20/12/2016
v1.91	DDNEA-P3-210	Update of the IE871 message	Review	Closed	20/12/2016
v1.91	DDNEA-P3-211	Leading zeroes not allowed for numerical values	Review	Closed	20/12/2016
v1.91	DDNEA-P3-212	Update of the language specific data of the codelists included in the IE734 message	Review	Closed	20/12/2016
v1.91	DDNEA-P3-213	Validation of the Data Item "Gross Weight" against the Data Item "Net Weight" in the IE801, IE815 and IE825 messages	Review	Closed	20/12/2016
v1.91	DDNEA-P3-214	Maximum value of the Data Item "Alcoholic strength" included in the IE801 and IE815 messages	Review	Closed	20/12/2016
v1.91	DDNEA-P3-215	Update of the optionality of the <ADDRESSED AUTHORITY> Data Group in the IE724 message	Review	Closed	20/12/2016

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v1.91	DDNEA-P3-216	State transition from the "Extended" to the "Extended" state both for the ACO and MVS functionality	Review	Closed	20/12/2016
v1.91	DDNEA-P3-217	Increase of the length of free text fields in the ACO and MVS messages	Review	Closed	20/12/2016
v1.91	DDNEA-P3-218	Introduction of the Data Item "National Case Reference Identifier" in the ACO and MVS messages	Review	Closed	20/12/2016
v1.91	DDNEA-P3-219	Codelists updates	Review	Closed	20/12/2016
v1.91	DDNEA-P3-220	Allow Reasoned Refusal for refusing replying to an Administrative Cooperation/MV Request	Review	Closed	20/12/2016
v1.91	DDNEA-P3-221	Feedback process	Review	Closed	20/12/2016
v1.91	DDNEA-P3-222	Reduce the scope of the History Results (IE820) and the History Request Functionality	Review	Closed	20/12/2016
v1.91	DDNEA-P3-223	Sharing National Domain messages via Movement Download	Review	Closed	20/12/2016
v1.91	DDNEA-P3-224	Allow exchanging of certain Follow-up and Collaboration information for Distance Selling excise movements or any undocumented excise movement	Review	Closed	20/12/2016
v1.91	DDNEA-P3-225	Reflection of Legal requirements in the Common Specifications	Review	Closed	20/12/2016
v1.91	DDNEA-P3-226	Set Journey Time per Transport Mode	Review	Closed	20/12/2016
v1.91	DDNEA-P3-227	MVS Spontaneous information	Review	Closed	20/12/2016

Table 4: Overview of Changes for this Release

DG TAXUD	EMCS
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5 CHANGE REQUESTS

FESS-156 – Validation of the “Trader Identification” Data Item when Rule045 applies to it

RFC Information

RFC number	FESS-156
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM40669, IM26311
Known Error	N/A
Date at which the Change was proposed	17/04/2013
Requester	MSA LV

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>The origins of this RFC are in incident IM26311, where MSA LV received an IE801 message from France indicating an excise identification number with a small letter. This resulted in the rejection of the message. As a consequence, it was asked to make mandatory the usage of capital letters in the excise number. This was evaluated by FITSDEV and DG TAXUD and it was decided not to proceed with an RFC, since the impact of the change on the national and central applications would be too high in comparison with the expected benefits of the change (considering that there is no operational evidence demonstrating frequent use of lower case letters). Yet, it was proposed to introduce a validation on the “Trader Identification” Data Item in order to ensure, at the semantic level, that, when an Excise Number would be required, the format of the given Excise Number would be fully aligned with the one mentioned in FESS v3.65 Appendix B, Section 3.2.</p> <p>The “Trader Identification” Data Item that has Rule045 against it is of format “an..16”. According to Rule045, it can hold the following identifications: “VAT number”, “Excise Number”, “Tax Warehouse Reference” or “Temporary authorisation”. The following are “Trader Identification” cases with different formats in FESS Appendix D:</p> <ol style="list-style-type: none"> i. VAT Number: an..14 ii. Excise Number: an13 iii. Tax Warehouse Reference: an13 iv. Temporary authorisation: an13 <p>or any other “an..16” identification</p> <p>To cover the different formats, the “Trader Identification” Data Item is defined as “an..16” throughout Appendix D in the FESS.</p>

Based on FESS Appendix B, in sections “3.2 Trader Excise Number / Tax Warehouse Reference” and “3.3 Temporary Authorisation Reference”, the Data Items “Trader Excise Number”, “Reference of Tax Warehouse” and “Temporary Authorisation Reference” are defined to have the same structure (table 1 below):

Field	Content	Field type	Examples
1	Identifier of the MS where the economic operator or tax warehouse is registered	Alphabetic 2	PL
2	Nationally assigned, unique code	Alphanumeric 11	2005764CL78

Table 1

Regardless the fact that the structure of the individual concerned Trader Identifications is defined in FESS Appendix D (as shown above in i, ii, iii, iv), there is no validation done against them in the cases that Rule045 applies. The only structure validation performed is against the structure of the “Trader Identification” Data Item: “an..16”.

With this RFC, the alignment of the Trader Identification with the structure defined in FESS Appendix D, whenever applicable, is ensured. Other benefits of implementing this change are the facilitation of MSAs to validate the Excise Numbers assigned by their Traders to the “Trader Identification” Data Items against their national SEED applications should such a national requirement exist. Additionally, the risk of invalid excise numbers being communicated over the CD through the “Trader Identification” Data Item will decrease.

Thus, the purpose of this RFC is to verify that based on the type of the “Trader Identification” (Excise Number, Tax Warehouse Reference, Temporary authorisation or any other) according to Rule045, the right structure shall be used each time.

Proposed Solution:

As per the analysis in the [Problem Statement] section, the following updates will be implemented in the Appendix D of FESS:

- Appendix D: Functional Messages:
 - Enhance Rule045 in order to enforce the validation of the Data Item “Trader Identification” when it holds: Excise Number or Tax Warehouse Reference or Temporary authorisation. The updated rule is shown below (added text in bold letters):

“The possible values of <Trader Identification> are described in the following table:

Destination Type Code	TRADER CONSIGNEE. Trader Identification	TRADER Place of Delivery.Trader Identification
1 - Destination - Tax warehouse	Excise number (1)	Tax Warehouse Reference (Excise

		Number) (5)
2 - Destination - Registered consignee	Excise number (2)	Any identification (*)
3 - Destination - Temporary registered consignee	Temporary authorisation reference (4)	Any identification (*)
4 - Destination - Direct delivery	Excise number (3)	(Does not apply)
5 - Destination - Exempted consignee	(Does not apply)	Any identification (*)
6 - Destination – Export	VAT number (optional)	(The data group <TRADER Place of Delivery> does not exist)

(1) The operator type of the consignee is "Authorised warehouse keeper". An existing identifier <Trader Excise Number> in the set of <TRADER AUTHORISATION>;

(2) The operator type of the consignee is "Registered consignee". An existing identifier <Trader Excise Number> in the set of <TRADER AUTHORISATION>;

(3) The operator type of the consignee is either "Authorised warehouse keeper" or "Registered consignee" An existing identifier <Trader Excise Number> in the set of <TRADER AUTHORISATION>;

(4) An existing <Temporary Authorisation Reference> in the set of <TEMPORARY AUTHORISATION>;

(5) An existing identifier <Tax Warehouse Reference> in the set of <TAX WAREHOUSE>;

(*) For the place of delivery, "Any identification" means: a VAT number or any other identifier; it is optional.

When the value of the “TRADER CONSIGNEE.Trader Identification” and “TRADER Place of Delivery.Trader Identification” is any of the following: “Excise Number” or “Tax Warehouse Reference” or “Temporary Authorisation Reference”, then the structure of the value should comply with the structure of the “Trader Excise Number/Tax Warehouse Reference” as defined in Section 3.2 in FESS Appendix B.”

It should be noted that the Data Item “Trader Identification” is used with the accompaniment of Rule045 in FESS Appendix D throughout. There is only one exception, in the message IE722 where there exists a separate “Trader Excise Number” Data Item with Rule224 applied to it, along with the “Trader Identification” Data item with Rule225 applied to it, in which case there is no reason of applying Rule045 to the aforementioned Data Items.

Impact assessment	Specification documents:
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	<ul style="list-style-type: none"> FESS (Medium); DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	There will be no validation on the structure of the Excise Number, Tax Warehouse Reference, or Temporary Authorisation Reference communicated through the "Trader Identification" Data Item, and therefore the benefits mentioned in the "Problem Statement" section of the RFC will not be achieved.
Risk assessment	See downstream RFC DDNEA-P3-195
Deployment approach	N/A The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: -; Children RFCs: DDNEA-P3-195; Other RFCs: -.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> Category of the Change: Objection Approval process: <ul style="list-style-type: none"> The Change is recommended by the CAB for approval and subject to an Objection Period.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #122 on 06/06/2014 End of Objection Period: 17/06/2016

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-157 – Remove the Data Group <OFFICE of Dispatch – Import> from the IE871 message

RFC Information

RFC number	FESS-157
RFC status	Closed
Reason for Change	Application Bug/Specification Defect
Incidents	IM16217
Known Error	KE12271
Date at which the Change was proposed	24/10/2011
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>In the IE871 message (explanation on reason for shortage), there is a Data Group that can be redundant <OFFICE of Dispatch – Import>, which has one Data Item only, the “Office Reference Number”. Apparently, there is no need/use for the “Office Reference Number” Data Item in the IE871 message (in FESS v3.65) since:</p> <ul style="list-style-type: none"> – For validation purposes (correct identification of the Consignor) the Data Group <IE871.TRADER Consignor> is sufficient; – If the MSA of Dispatch, based on National Customs procedures, involves the Import Office in the examination of shortages/excesses, the “Office Reference Number” can be derived from the e-AD; – According to condition Cond063, which applies to the Data Group <OFFICE of Dispatch – Import> in the IE871 message, the aforementioned Data Group is either not applicable or optional. Consequently, the Data Item “Office Reference Number” in the IE871 is either not applicable or optional (optional in case the <Submitter Type> is "Consignor). Additionally, the elementary business processes (EBPs) in UC2.12 do not mandate the validation of the Office of Import against the e-AD. <p>The present RFC proposes the removal of the Data Group <OFFICE of Dispatch – Import> from the IE871 message and the update of condition Cond063 accordingly in order to reflect the aforementioned removal.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates will be implemented in the Appendix D of FESS:</p>

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	<ul style="list-style-type: none"> • Appendix D: Functional Messages <ul style="list-style-type: none"> ○ From the IE871 message remove the following Data Group entry from the Structure section: "OFFICE of Dispatch - Import 1x C Cond063 " • From the IE871 message-Data group details section- remove the following entry: "OFFICE of Dispatch - Import Office Reference Number R an8 Rule032" • Cond063 shall be updated with the removal of the references to the <OFFICE of Dispatch – Import> shown below: <ul style="list-style-type: none"> ○ <OFFICE of Dispatch - Import> is 'O' ○ <OFFICE of Dispatch - Import> does not apply <p>More specifically, the description of Cond063 will change from:</p> <pre> "IF <Submitter Type> is "Consignor" THEN <TRADER Consignor> is 'R' <OFFICE of Dispatch - Import> is 'O' <TRADER Consignee> does not apply ELSE <TRADER Consignor> does not apply <OFFICE of Dispatch - Import> does not apply <TRADER Consignee> is 'R'" </pre> <p>To</p> <pre> "IF <Submitter Type> is "Consignor" THEN <TRADER Consignor> is 'R' <TRADER Consignee> does not apply ELSE <TRADER Consignor> does not apply <TRADER Consignee> is 'R'" </pre>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	<p>The effect of not performing the updates in FESS will be the preservation of running the risk of holding different values for the same Data Item ("Office Reference Number") in the IE801 and IE871 messages, due to the fact that there is no validation rule between these two messages for the specific Data Item.</p>
Risk assessment	<p>See downstream RFC DDNEA-P3-196</p>
Deployment approach	<p>N/A</p> <p>The deployment approach is addressed in the downstream DDNEA RFC.</p>
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-196; • Other RFCs: -.

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Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #122 on 06/06/2014

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-158 – Updates in the IE813 message due to violation of Rule216 and in order to allow the change of Movement Guarantee information

RFC Information

RFC number	FESS-158
RFC status	Closed
Reason for Change	Application Bug/Specification Defect
Incidents	IM58344
Known Error	N/A
Date at which the Change was proposed	27/08/2013
Requester	MSA SI

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>An IE801 message is generated after a change of destination (IE813 message) in the following cases:</p> <ol style="list-style-type: none"> 1) When the IE813 message indicates a change of MS of Destination (as described in EBP UC-205-220 of FESS v3.65), in which case an IE801 is generated (based on the information provided in the IE813 message) and it is sent to the new MSA of Destination; 2) When the IE813 message indicates a change of Consignee (as described in EBP UC-205-220 of FESS v3.65), in which case an IE801 is generated (based on the information provided in the IE813 message) and it is sent to the existing (unchanged) MSA of Destination. <p>When the “Guarantor Type Code” Data Item included in the <MOVEMENT GUARANTEE> Data Group of the IE801 message is equal to '5: No guarantee is provided according to Article 18.4(b) of 2008/118/EC', then the “Transport Mode Code” Data Item included in the <TRANSPORT> Data Group of the IE801 message must be either 'Sea Transport' or 'Fixed transport installations', as mandated by Rule216.</p> <p>A possible scenario is that an update IE813 message is submitted (indicating either a Change of MS or a Change of Consignee) with the value of the “Transport Mode Code” Data Item, included in the <E-AD Update> Data Group, different from 'Sea Transport' or 'Fixed transport installations'. In this specific case, the IE801 (generated after the change of destination) will retain the value of the “Guarantor Type Code” Data Item as indicated in the original IE801 (i.e. '5: No guarantee is provided according to Article 18.4(b) of 2008/118/EC') and it will also retain the value of the “Transport Mode Code” as indicated in the IE813 message (i.e. different from 'Sea Transport' or 'Fixed transport installations'). Therefore, the generated IE801 message will violate Rule216 (included in Appendix D of FESS v3.65). More specifically, in the abovementioned scenario, the sending MSA will not be able to create a valid IE801</p>

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message due to violation of Rule216; hence the business flow of the movement will be blocked.

Furthermore, from the EMCS business perspective, in a multimodal movement there should be no restriction that when the "Guarantor Type Code" Data Item in the initial IE801 message is '5: No guarantee is provided according to Article 18.4(b) of 2008/118/EC', the "Transport Mode Code" Data Item (when provided) in the IE813 message must be either 'Sea Transport' or 'Fixed Transport Installations'. For example, the scenario during which a container of excise goods is first transported by ship and then it is put on a train or a lorry or a canal barge (as part of the same movement) should be allowed, as long as a guarantor lodges an appropriate guarantee, at the time of the change of mode of transport.

However, according to the current specifications, this is not possible, because the IE813 message allows neither a change of the "Guarantor Type Code" Data Item nor an update/provision of a <TRADER Guarantor> Data Group. Therefore, in case the "Transport Mode Code" Data Item is changed from 'Sea Transport' or 'Fixed transport installations' (in the initial IE801 message) to e.g. 'Rail transport' (in the IE813 message), the generated IE801 message will retain the value of the "Guarantor Type Code" Data Item as indicated in the original IE801 (i.e.'5: No guarantee is provided according to Article 18.4(b) of 2008/118/EC'), which means that no guarantee will be provided for the updated movement (as initially there was no guarantee provided when starting the movement). Additionally, as described above, this would also cause violation of Rule216 in the generated IE801 message, resulting the blocking of the movement flow.

The present RFC proposes the required updates in order to overcome the reported violation of Rule216 in cases of Change of Destination and also to allow the update of the Movement Guarantee information through the IE813 message.

Proposed Solution:

As per the analysis in the [Problem Statement] section, the following updates will be implemented:

- Appendix D: Functional Messages:
 - The structure of the IE813 message shall be updated so as to incorporate the <MOVEMENT GUARANTEE> Data Group, under the <OFFICE Place of Delivery – Customs> Data Group, with optionality set to "Optional" as follows:

MOVEMENT GUARANTEE 1x O

- The <MOVEMENT GUARANTEE> Data Group shall include the "Guarantor Type Code" Data Item as follows:

MOVEMENT GUARANTEE
Guarantor Type Code R n..4 Rule048,
Rule215

- The structure of the IE813 message shall be updated so as to incorporate the <TRADER Guarantor> Data Group, within the <MOVEMENT GUARANTEE> Data Group, as follows:

TRADER Guarantor 2x C Cond017

- The <TRADER Guarantor> Data Group shall be “Conditional” (i.e. “C”) and it will be regulated by Cond017.
- The Data Items included in the <TRADER Guarantor> Data Group shall be as follows:

TRADER Guarantor

Trader Excise Number	O	an13	Rule027
VAT Number	O	an..14	
Trader Name	C	an..182	Cond101
Street Name	C	an..65	Cond101
Street Number	O	an..11	
Postcode	C	an..10	Cond101
City	C	an..50	Cond101
NAD_LNG	C	a2	Rule031 Cond002

- The optionality of the “Transport Mode Code” Data Item included in the <E-AD Update> Data Group of the IE813 message shall be updated from “Optional” to “Conditional” and it shall be regulated by a new condition i.e. Cond182 which shall read as follows:

Cond182:

“IF <MOVEMENT GUARANTEE.Guarantor Type Code> is given and is “No guarantee is provided according to Article 18.4(b) of 2008/118/EC”

THEN

<Transport Mode Code> is 'R'

ELSE

<Transport Mode Code> is 'O’

- Since the update of the Movement Guarantee information shall be possible also through the IE813 message (via the incorporation of the <MOVEMENT GUARANTEE> Group in the specific message), the validation implied by Rule216 shall also apply to the “Transport Mode Code” Data Item of the IE813 message. Therefore, the “Transport Mode Code” Data Item shall be regulated by both Rule216 and Rule056.
- Rule216 shall be updated so as to also capture the case that the “Transport Mode Code” Data Item is given in the IE813 message but the “Guarantor Type Code” Data Item is not given in the specific message.

More specifically, the description of Rule216 shall be updated as follows:

Rule216

“IF <MOVEMENT GUARANTEE.Guarantor Type Code> is “No guarantee is provided according to Article 18.4(b) of 2008/118/EC”

THEN

<Transport Mode Code> must be ‘Sea Transport’ or ‘Fixed transport installations’

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	<p>(Note:</p> <ul style="list-style-type: none"> • When the message under validation is the IE815 or the IE801, the Guarantor Type Code used in the current validation is that contained in the IE815 or the IE801 respectively • When the message under validation is the IE813, the Guarantor Type Code used in the current validation is that contained: <ul style="list-style-type: none"> ○ in the IE813, in case the Guarantor Type Code is given in this message Otherwise ○ in the last IE801 or the last, if any, IE813 that indicated change of place of delivery.)” • In order to ensure that if the <Guarantor Type Code> Data Item included in the IE813 message, is 'No guarantee is provided according to Article 18.4(b) of 2008/118/EC', then all Excise Product Codes of the movement are energy products, it is proposed to also apply the validation implied by Rule215 to the IE813 message. More specifically, since the “Excise Product Code” Data Item is not present in the IE813 message, it is proposed to apply Rule215 to the “Guarantor Type Code” Data Item of the IE813 message. • Considering the above, the description of Rule215 shall be updated as follows: <p><u>Rule215</u> “IF <MOVEMENT GUARANTEE.Guarantor Type Code> is “No guarantee is provided according to Article 18.4(b) of 2008/118/EC”</p> <p>THEN</p> <p><Excise Product Code> must be an energy product</p> <p>(Note:</p> <ul style="list-style-type: none"> • When the message under validation is the IE815 or the IE801, the excise product code used in the current validation is that contained in the IE815 or the IE801 respectively; • When the message under validation is the IE813, the excise product code used in the current validation is that contained in the last IE801 or in the last, if any, IE813 that indicated partial refusal.)” • FESS Section II "3.10 Change of destination (UC2.05)" shall be updated as described in detail in “Annex 1: FESS-158 - Updates in the IE813 message due to violation of Rule216 and in order to allow the change of Movement Guarantee information”.
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).

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Effect of not implementing the Change	<p>The effect of not performing the proposed updates in FESS will be to retain the issue of the violation of Rule216 in the IE801 message that is to be generated after the submission of an IE813 message indicating either a change of MS of Destination or a change of Consignee.</p> <p>More specifically, if the proposed updates are not performed in FESS, in case that the value of the "Guarantor Type Code" Data Item in the initial IE801 message is set to '5: No guarantee is provided according to Article 18.4(b) of 2008/118/EC' and the value of the "Transport Mode Code" Data Item in the IE813 message (indicating either a change of MS or a change of consignee) is other than 'Sea Transport' or 'Fixed transport installations', then it will not be possible to create a valid IE801 message (due to violation of Rule216); hence this will result the blocking of the business flow of the movement.</p> <p>In addition, if the proposed updates are not implemented it will not be possible to update the Movement Guarantee information through the IE813 message.</p>
Risk assessment	See downstream RFC DDNEA-P3-197
Deployment approach	N/A The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-197; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Inclusion of data groups: <MOVEMENT GUARANTEE> Data Group, <TRADER Guarantor> Data Group
Location of change in Legislation	IR 684/2009 – Annex I – Table 3 / Additional box numbers – also affect fall-back forms

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Objection • Approval process: <ul style="list-style-type: none"> ○ The Change is recommended by the CAB for approval and subject to an Objection Period.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #122 on 06/06/2014 End of Objection Period: 17/06/2016

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment	After Milestone M _h

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in Production	
Change Review	
Review date	
Review results	

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FESS-159 – Pass to SEED-on-Europa the information for the traders allowed to practice direct delivery

RFC Information

RFC number	FESS-159
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM85407
Known Error	N/A
Date at which the Change was proposed	22/07/2014
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>The Economic operators may use the public function available on SEED-on-Europa Web site in order to check the validity of an excise number for a given category of goods and for a given date.</p> <p>The request to SEED-on-Europa is made by providing solely the excise number of the authorisation of interest. If the excise number is not valid then the requestor is informed about it with a message on SEED-on-Europa: “No authorisation exists for this Excise Number”.</p> <p>If the excise number is valid, then the following information is displayed on SEED-on-Europa:</p> <ul style="list-style-type: none"> - Excise Product - Excise Product Description - Operator Type (Authorized warehouse keeper, Registered consignor, Registered consignee, Tax warehouse, Temporary authorisation) <p>It was asked to include in the information presented on SEED-on-Europa webpage, the flag indicating if a Registered Consignee or an Authorised Warehouse Keeper is allowed to practice direct delivery. This information is already included in the IE713 message via Rule026 which applies to the Data Item “Operator Role Code”, belonging to the Data Group <OPERATOR ROLE>.</p> <p>The present RFC proposes to update the “ECP1-ESS-FESSv3.65-3-SECTION III SEED” documentation in order to accommodate the introduction of the abovementioned flag.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates should be implemented in the following sections of “ECP1-ESS-FESS-3-SECTION III SEED”:</p>

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	<ul style="list-style-type: none"> Section: “4.4.1 Overview” The following text: “If the answer is yes, the list of categories of goods for which the concerned operator is authorised is given as well.” Should be replaced by: “If the answer is yes, a list of the excise products, excise product descriptions, operator types (Authorized Warehouse Keeper, Registered Consignor, Registered Consignee, Tax Warehouse or Temporary Authorisation) and the flag indicating if a Registered Consignee or Authorised warehouse keeper is allowed to practice direct delivery, will be displayed. Section: “Process: UC-130-210” The following part of the description of the abovementioned process: “If the Excise number exists and is valid for the current date, the answer is yes and is completed by the list of categories and product codes of the authorisation, by the name and by the address of the economic operator or tax warehouse.” Should be replaced by: “If the Excise number exists and is valid for the current date, the answer is yes and is completed by a list of the excise products, excise product descriptions, operator type (Authorized Warehouse Keeper, Registered Consignor, Registered Consignee, Tax Warehouse or Temporary Authorisation) and the flag indicating if a Registered Consignee or Authorised Warehouse Keeper is allowed to practice direct delivery.”
Impact assessment	Specification documents: <ul style="list-style-type: none"> FESS (Low).
Effect of not implementing the Change	The effect of not performing the updates in FESS will be the misalignment between SEED-122 and the current FESS.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	N/A
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: -; Children RFCs: SEED-122; Other RFCs: -.

Indicative changes to legislation

Draft recital for information	No impact on the current legislation.
Location of change in Legislation	Article 6 of IR 612/2013 – addition of the information if authorisation allows direct delivery (if the excise number submitted falls under point (g) of Article 19(2) of Reg 389/2012.

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #123 on 31/07/2014

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-160 – Updates in Appendix D concerning the validation of the Check Digit

RFC Information

RFC number	FESS-160
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM43415
Known Error	KE11908
Date at which the Change was proposed	14/06/2013
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>During the workshop held in Poznan on 4-6 June 2013, MSAs reported an issue regarding the validation of the check digit field (i.e. the last field) of the ARC included in the messages received over the Common Domain.</p> <p>More specifically, it was reported that some MSAs send (over the Common Domain) messages with ARCs that do not conform to the Check Digit algorithm defined in the Appendix B of FESS v3.65; hence leading to processing issues at the receiving MSA due to violation of Rule030. It shall be noted that Rule030 reads as follows:</p> <p><i>"The format of the <ARC> is defined in "FESS Appendix B"</i></p> <p>However, the RFC "DDNEA-P3-108 – Validations for the ARC and the Follow Up Correlation ID", in alignment with the discussion held during ECWP#58 on 25/05/2013, clarifies that the last field of the ARC, namely the Check Digit, should not be validated at the receiving side.</p> <p>More specifically, in the "Proposed Solution" section of the abovementioned RFC, it is clarified that:</p> <p><i>"The real value of the Check Digit algorithm is to help detect human error when keying the ARC. In other words, validating the Check Digit algorithm is truly beneficial when human actors are interacting with the NEA in the ND rather than when messages are received over the CD."</i></p> <p>It shall be noted that no contradiction exists between Rule030 and the abovementioned clarifications included in RFC DDNEA-P3-108, since Rule030 refers to the format of the ARC; hence the validation of the check-digit algorithm is not part of it. Moreover, the format validation implied by Rule030 has been moved at syntactic (.xsds) level ("AdministrativeReferenceCodeType" pattern in the types.xsd).</p> <p>The purpose of the specific RFC is to perform the necessary updates in FESS for EMCS Phase v3.65 so as to eliminate any confusion that may be caused regarding the validation of the check digit field of the ARC (and also of the Event Report Number and Control Report Reference) included in the messages received over the Common Domain.</p>

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	<p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] Section the following change will be performed in Appendix D of FESS:</p> <ul style="list-style-type: none"> • A new Rule, i.e. Rule235 will be introduced in the list of Rules and will be applied to the following Data Items, in order to explicitly mandate the validation of the Check Digit field of these Data Items at the time they are generated: <ul style="list-style-type: none"> • “ARC” Data Item included in the <EXCISE MOVEMENT e-AD> Data Group of the IE801 message; • “Event Report Number” Data Item included in the <EVENT REPORT HEADER> Data Group of the IE840 message; • “Control Report Reference” Data Item included in the <CONTROL REPORT HEADER> Data Group of the IE717 message. <p>The aforementioned Rule shall read as follows:</p> <p><u>Rule235</u></p> <p><i>“The validation of the Check Digit field shall be performed at the time the <ARC> or the <Event Report Number> or the <Control Report Reference> is generated.”</i></p>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	<p>If the proposed change is not implemented, then the issue raised on the validation of the Check Digit field of the ARC (and also of the Event Report Number and Control Report Reference) included in the messages received over the Common Domain, will be retained.</p>
Risk assessment	<p>See downstream RFC DDNEA-P3-192</p>
Deployment approach	<p>N/A</p> <p>The deployment approach is addressed in the downstream DDNEA RFC.</p>
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-192; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #123 on 31/07/2014

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-166 – Update of rule Rule026 in order to enable a registered consignor to leave empty the destination fields

RFC Information

RFC number	FESS-166
RFC status	Closed
Reason for Change	Legislation Alignment
Incidents	IM80978
Known Error	KE12680
Date at which the Change was proposed	29/05/2014
Requester	MSA LV

Change Assessment

Change priority	Medium				
Change Description	<p>Problem Statement:</p> <p>According to the below text in the Article 22 of Council Directive 2008/118/EC:</p> <p><i>"In the case of movements of energy products under a duty suspension arrangement by sea or inland waterways to a consignee who is not definitely known at the time when the consignor submits the draft electronic administrative document referred to in Article 21(2), the competent authorities of the Member State of dispatch may authorise the consignor to omit the data concerning the consignee in that document."</i></p> <p>According to the abovementioned legal text, it is not prohibited for a registered consignor to submit the e-AD with empty destination fields.</p> <p>However, in the current Common Specifications and in particular according to Rule026 of FESS v3.65 Appendix D, only an Authorised Warehouse Keeper is allowed to leave empty the destination fields.</p> <p>The present RFC proposes the update of Rule026 in order to also enable a registered consignor to leave empty the destination fields in accordance with Article 22 of the of Council Directive 2008/118/EC.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following part of Rule026 included in FESS Appendix D:</p> <p>The couplings <Operator Type / Operator Role Code> are as follows:</p> <table border="1"> <tr> <td>OPERATOR TYPE/ OPERATOR ROLE</td> <td>AUTHORISED WAREHOUSE KEEPER</td> <td>REGISTERED CONSIGNEE</td> <td>REGISTERED CONSIGNOR</td> </tr> </table>	OPERATOR TYPE/ OPERATOR ROLE	AUTHORISED WAREHOUSE KEEPER	REGISTERED CONSIGNEE	REGISTERED CONSIGNOR
OPERATOR TYPE/ OPERATOR ROLE	AUTHORISED WAREHOUSE KEEPER	REGISTERED CONSIGNEE	REGISTERED CONSIGNOR		

	<table border="1"> <tr> <td>Allowed to practise direct delivery</td> <td>X</td> <td>X</td> <td></td> </tr> <tr> <td>Allowed to leave empty the destination fields according to Article 22 of the Directive</td> <td>X</td> <td></td> <td></td> </tr> </table> <p>shall be updated as follows: The couplings <Operator Type / Operator Role Code> are as follows:</p> <table border="1"> <thead> <tr> <th>OPERATOR TYPE/ OPERATOR ROLE</th> <th>AUTHORISED WAREHOUSE KEEPER</th> <th>REGISTERED CONSIGNEE</th> <th>REGISTERED CONSIGNOR</th> </tr> </thead> <tbody> <tr> <td>Allowed to practise direct delivery</td> <td>X</td> <td>X</td> <td></td> </tr> <tr> <td>Allowed to leave empty the destination fields according to Article 22 of the Directive</td> <td>X</td> <td></td> <td>X</td> </tr> </tbody> </table>	Allowed to practise direct delivery	X	X		Allowed to leave empty the destination fields according to Article 22 of the Directive	X			OPERATOR TYPE/ OPERATOR ROLE	AUTHORISED WAREHOUSE KEEPER	REGISTERED CONSIGNEE	REGISTERED CONSIGNOR	Allowed to practise direct delivery	X	X		Allowed to leave empty the destination fields according to Article 22 of the Directive	X		X
Allowed to practise direct delivery	X	X																			
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OPERATOR TYPE/ OPERATOR ROLE	AUTHORISED WAREHOUSE KEEPER	REGISTERED CONSIGNEE	REGISTERED CONSIGNOR																		
Allowed to practise direct delivery	X	X																			
Allowed to leave empty the destination fields according to Article 22 of the Directive	X		X																		
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> FESS (Medium); DDNEA for EMCS Phase 3 (Medium). 																				
Effect of not implementing the Change	If the proposed change is not implemented, then the misalignment between the Article 22 of Council Directive 2008/118/EC and Rule026 of FESS Appendix B, regarding the economic operators that are allowed to leave empty the destination fields, shall be retained.																				
Risk assessment	See downstream RFC DDNEA-P3-200.																				
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.																				
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: -; Children RFCs: DDNEA-P3-200; Other RFCs: -. 																				

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #126 on 24/11/2014

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-167 – Removal of the complementary event report functionality/Rev1

RFC Information

RFC number	FESS-167
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM30857, INC1104.169292
Known Error	N/A
Date at which the Change was proposed	14/09/2012
Requester	MSA FI

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>In Chapter “3.1.1 Overview” of FESS v3.60 Section IV “FOLLOW-UP AND COLLABORATION”, it is clarified that:</p> <p><i>“It is always possible for an Excise officer in the MSA of submission to bring additional information and send complementary event report(s) to the concerned MSAs, including when the movement is considered fully discharged.”</i></p> <p>In cases of change of destination the following cases may occur:</p> <ul style="list-style-type: none"> • The MSA of Event might send the complementary event report (IE840) to the new MSA of Destination but not to the former MSA of Destination. In this case the former MSA of Destination does not get the complementary event report; • The new MSA of Destination might forward the complementary event report (IE840 message) to the new consignee. In this case, the new consignee gets confidential information that he probably has no right to read. <p>Further to the above, it is not clear which of the two abovementioned cases should be followed.</p> <p>Additionally, it has been reported that the complementary event report functionality is unnecessary and that it should be removed from the common specifications, since the implementation and maintenance of the specific functionality is too expensive compared with the benefits.</p> <p>The present RFC proposes the removal of the complementary event report functionality, since the specific functionality is not justified from a business perspective.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the complementary event report functionality shall be removed from FESS.</p> <p>More specifically,</p>

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	<ul style="list-style-type: none"> • the following text shall be removed from Chapter “3.1.1 Overview” included in FESS Section IV “FOLLOW-UP AND COLLABORATION”: <i>“The processing is iterative, i.e. sending an event report does not close the use case. It is always possible for an Excise officer in the MSA of submission to bring additional information and send complementary event report(s) to the concerned MSAs, including when the movement is considered fully discharged.”</i> included in Chapter “3.1.1 Overview”; • EBP UC-324-210 shall be updated in order to remove all references to the complementary event report functionality. The updated EBP UC-324-210 is described in “Annex 2: FESS-167 – Removal of the complementary event report functionality”; • Rule140 included in FESS Appendix D <i>“The possible values of <Message Type> are:</i> <ul style="list-style-type: none"> - 1 = <i>Initial submission</i> - 2 = <i>Complementary submission</i> - 3 = <i>Validated document”</i> <p>shall be updated as follows:</p> <i>“The possible values of <Message Type> are:</i> <ul style="list-style-type: none"> - 1 = <i>Initial submission</i> - 3 = <i>Validated document”</i> • The optionality of the Data Item “Place of Event” included in the <EVENT REPORT> Data Group of the IE840 message shall be updated from “C” (i.e. Conditional) to “R” (i.e. Required); • Condition Cond058 shall be removed from FESS Appendix D; • Condition Cond144 included in FESS Appendix D shall be removed and the optionality of Data Items <ARC>, <Sequence Number>, <Excise Office Reference Number> and <Member State of Event> included in IE840 message shall change from “C” to “R”; • Condition Cond145 included in FESS Appendix D shall be removed and the optionality of the Data Group <EVENT REPORT> included in IE840 message shall change from “C” to “R”; • Condition Cond080 included in FESS Appendix D: <i>“IF <message type> is “Initial submission”</i> <i>THEN</i>
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	<p><i><Event Report Number> does not apply</i></p> <p><i>IF (MS of submission is not equal to MS of event)</i></p> <p><i>THEN <MS of Submission Event Report Reference> is</i></p> <p><i>'R'</i></p> <p><i>ELSE <MS of Submission Event Report Reference> is</i></p> <p><i>'O'</i></p> <p><i>IF <message type> is "Validated document"</i></p> <p><i>THEN</i></p> <p><i><Event Report Number> is 'R'</i></p> <p><i>IF (MS of submission is not equal to MS of event)</i></p> <p><i>THEN <MS of Submission Event Report Reference> is</i></p> <p><i>'R'</i></p> <p><i>ELSE <MS of Submission Event Report Reference> is</i></p> <p><i>'O'</i></p> <p><i>IF <message type> is "Complementary submission"</i></p> <p><i>THEN</i></p> <p><i>IF <Event Report Number> is given</i></p> <p><i>THEN <MS of Submission Event Report Reference></i></p> <p><i>does not apply</i></p> <p><i>ELSE <MS of Submission Event Report Reference> is</i></p> <p><i>'R'."</i></p> <p>shall be updated as follows:</p> <p><i>"IF <message type> is "Initial submission"</i></p> <p><i>THEN</i></p> <p><i><Event Report Number> does not apply</i></p> <p><i>IF (MS of submission is not equal to MS of event)</i></p> <p><i>THEN <MS of Submission Event Report Reference> is</i></p> <p><i>'R'</i></p> <p><i>ELSE <MS of Submission Event Report Reference> is</i></p> <p><i>'O'</i></p> <p><i>IF <message type> is "Validated document"</i></p> <p><i>THEN</i></p> <p><i><Event Report Number> is 'R'</i></p> <p><i>IF (MS of submission is not equal to MS of event)</i></p> <p><i>THEN <MS of Submission Event Report Reference> is</i></p> <p><i>'R'</i></p> <p><i>ELSE <MS of Submission Event Report Reference> is</i></p> <p><i>'O'."</i></p>
Impact assessment	Specification documents:

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	<ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the complementary event report functionality which is not justified from a business perspective will still exist in EMCS.
Risk assessment	See downstream RFC DDNEA-P3-201
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-201; • Other RFCs: -.
Indicative changes to legislation	
Draft recital for information	N/A
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #132 on 06/05/2015 ²
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

² FESS-167 RFC was first discussed at EMCS CAB #130

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EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-169 – Update of Rule032 in order to align FESS with DDNEA/Rev1

RFC Information

RFC number	FESS-169
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM109887, IM75471, IM104639, IM115359
Known Error	KE12494
Date at which the Change was proposed	23/03/2015
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>The rule Rule032 included in Appendix D of FESS v3.65 is described as follows:</p> <p><i>"An existing identifier <Office Reference Number> in the set of <OFFICE>".</i></p> <p>On the other hand, the description of rule R032 included in Appendix D of DDNEA v1.77 is the following:</p> <p><i>"The format of <OFFICE> is defined in "FESS Appendix B".</i></p> <p>Additionally, it has been identified that FESS Rule032 applies to the same Data Items that DDNEA rule R032 applies to, except for the following Data Items that DDNEA rule R032 does not apply:</p> <ul style="list-style-type: none"> • <IE813.(DELIVERY PLACE) CUSTOMS OFFICE.Reference Number>; • <IE821.(DISPATCH) IMPORT OFFICE.Reference Number>; • <IE821.(DELIVERY PLACE) CUSTOMS OFFICE.Reference Number>. <p>The present RFC proposes the necessary updates in order to align FESS Appendix D with DDNEA Appendix D concerning the rule Rule032.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, in order to align FESS with DDNEA concerning rule Rule032, the following updates shall be performed in FESS:</p> <ul style="list-style-type: none"> • Appendix D:Functional Messages <ul style="list-style-type: none"> ○ Update of Rule032 from:

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	<p><i>"An existing identifier <Office Reference Number> in the set of <OFFICE>"</i></p> <p>to:</p> <p><i>"The format of <Office Reference Number> is defined in "FESS Appendix B"</i></p> <p>The updated Rule032 shall apply to the same Data Items that Rule032 currently applies to.</p> <ul style="list-style-type: none"> ○ A new rule, that is, Rule236, will be added as follows: <p><i>"An existing identifier <Office Reference Number> in the Customs Office List (COL)"</i></p> <p>The new rule shall apply to the same Data Items that Rule032 currently applies to.</p>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, FESS Appendix D will not be aligned with DDNEA Appendix D concerning rule R032.
Risk assessment	See downstream RFC DDNEA-P3-203.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-203; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
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ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #144 on 07/03/2016 ³
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

³ FESS-169 RFC was first discussed at EMCS CAB #132 on 06/05/2015

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EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-176 – Update of BR028/ Rev1

RFC Information

RFC number	FESS-176
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM102803, IM76597
Known Error	N/A
Date at which the Change was proposed	31/03/2014
Requester	MSA SK

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>The description of the BR028, included in Appendix J of FESS v3.65, is the following:</p> <p><i>“It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.”</i></p> <p>The validation implied by the aforementioned BR cannot be applied in the following cases:</p> <ol style="list-style-type: none"> 1. The "Destination Type Code" Data Item of both the IE801 and IE813 messages is set to "Direct Delivery". In the specific case, the < TRADER Consignee. Trader Identification > Data Item is "R" in both the IE801 and IE813 messages. Additionally, according to condition Cond074, the < TRADER Place of Delivery. Trader Identification > Data Item does not apply to any of the aforementioned messages. Therefore, it could be possible to issue an IE813 message where: <ul style="list-style-type: none"> • The “Trader Identification” of the Consignee in the IE813 (<IE813. TRADER New Consignee. Trader Identification >) remains the same as in the IE801 (<IE801. TRADER Consignee. Trader Identification >); and • The “Trader Identification” of the delivery place in the IE813 (<IE813. TRADER Place of Delivery. Trader Identification >) and in the corresponding IE801 (<IE801. TRADER Place of Delivery. Trader Identification>) is not applicable (in both the IE813 and IE801); and • The name and address fields of the delivery place in the

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IE813 (<IE813. TRADER Place of Delivery > Data Group) have changed compared to the corresponding IE801 (in the <IE801. TRADER Place of Delivery > Data Group).

Therefore, BR028 will always be violated in this case since a cross-check between the values used for the <(DELIVERY PLACE) TRADER. TraderID> data item in the aforementioned messages will reveal that no change has been performed.

2. The "Destination Type Code" Data Item of both the IE801 and IE813 messages is set to "Temporary registered consignee". In the specific case, according to condition Cond074, the <TRADER Place of Delivery. Trader Identification > Data Item is Optional in both the aforementioned messages. Additionally, the < OFFICE Place of Delivery - Customs > Data Group does not apply to both the IE801 and IE813 messages (according to Cond013 and Cond165 respectively). Therefore, it could be possible to issue an IE813 (indicating only a change of the place of delivery) where:

- The "Trader Identification" of the Consignee in the IE813 (<IE813. TRADER New Consignee. Trader Identification >) remains the same as in the IE801 (<IE801. TRADER Consignee. Trader Identification >); and
- The "Trader Identification" of the delivery place in the IE813 (<IE813. TRADER Place of Delivery. Trader Identification >) and in the corresponding IE801 (<IE801. TRADER Place of Delivery. Trader Identification>) is not provided (in both the IE813 and IE801); and
- The name and address fields of the delivery place in the IE813 (<IE813. TRADER Place of Delivery > Data Group) have changed compared to the corresponding IE801 (in the <IE801. TRADER Place of Delivery > Data Group).

Therefore, in the specific case, BR028 will be violated since a cross-check between the values used for the <(DELIVERY PLACE) TRADER. TraderID> data item in the aforementioned messages will reveal that no change has been performed.

The present RFC proposes the necessary updates in order to ensure that the cross-check defined by BR028 is also performed in the case that the "Destination Type Code" Data Item of both the IE801 and IE813 messages is set to either "Direct Delivery" or to "Temporary registered consignee".

Proposed Solution:

As per the analysis in the [Problem Statement] section, the following updates shall be performed in FESS:

- Appendix J:Business Rules Catalogue

The following part of BR028 definition:

	<table border="1"> <tr> <td data-bbox="579 210 791 595">BR Description</td> <td data-bbox="791 210 1337 595">It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.</td> </tr> </table> <p data-bbox="624 645 938 674">will be updated as follows:</p> <table border="1"> <tr> <td data-bbox="579 719 791 1317">BR Description</td> <td data-bbox="791 719 1337 1317">It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.</td> </tr> </table>	BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.	BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.
BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.				
BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.				
Impact assessment	Specification documents: <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium). 				
Effect of not implementing the Change	If the proposed change is not implemented, then the validations implied by BR028 may block the execution of the scenarios of change of place of delivery for the cases described in the “Problem Statement” Section.				
Risk assessment	See downstream RFC DDNEA-P3-207				
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.				
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-207; • Other RFCs: -. 				
Indicative changes to legislation					
Draft recital for information	N/A				

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #144 on 07/03/2016 ⁴
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

⁴ FESS-176 RFC was first discussed at EMCS CAB #143 on 15/02/2016

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-179 – Update of the IE871 message

RFC Information

RFC number	FESS-179
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM109388
Known Error	KE13969, KE13970
Date at which the Change was proposed	19/03/2015
Requester	MSA FR

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>According to the use case “Post-delivery processing (UC2.12)” (Section II of FESS v3.65), the IE871 message may be sent from the Consignor or the Consignee for providing explanations for shortages declared in the report of receipt, including the case when this information is provided by the Customs (exporting movements).</p> <p>It has been identified that in the IE871 message, the explanations on shortages could be provided through the following Data Items:</p> <ul style="list-style-type: none"> • <IE871.ANALYSIS. Global Explanation > and • < IE871.ANALYSIS Body. Explanation >. <p>However, both the aforementioned Data Items are “Optional” in the IE871 message.</p> <p>Therefore, taking also into consideration the condition Cond179 that mandates the presence of at least one of the <ANALYSIS> or <ANALYSIS Body> Data Groups, it is concluded that it may be the case of issuing an IE871 message with both the aforementioned Data Groups but without providing explanations on the reason of shortage; hence of issuing a message with no value from the business point of view.</p> <p>It should be noted that the number of the IE871 messages exchanged is about 0.5% of the number of IE801; in other words, explanations of shortages occur in about 0.5% of the movements.</p> <p>The present RFC proposes the necessary updates so as to mandate the explanations on reason for shortage are provided in the IE871 message.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates shall be performed in FESS:</p> <ul style="list-style-type: none"> • Appendix D: Technical Message Structure

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	<ul style="list-style-type: none"> ○ The optionality of the Data Item <IE871.ANALYSIS. Global Explanation> shall be updated from Optional ('O') to Required ('R'); ○ The optionality of the Data Item <IE871.ANALYSIS. Global Explanation_LNG> shall be updated from Conditional ('C') to Required ('R'); ○ The optionality of the Data Item <IE871.ANALYSIS Body. Explanation> shall be updated from Optional ('O') to Required ('R'); ○ The optionality of the Data Item <IE871.ANALYSIS Body. Explanation_LNG> shall be updated from Conditional ('C') to Required ('R');
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then an IE871 message with no explanations on reason for shortage could be issued.
Risk assessment	See downstream RFC DDNEA-P3-210
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-210; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #144 on 07/03/2016

Release information

Release number	v3.81
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DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-181 – Update of the language specific data of the codelists included in the IE734 message

RFC Information

RFC number	FESS-181
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM74354
Known Error	KE12599
Date at which the Change was proposed	28/02/2014
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>It has been identified that the definition of the language specific data for the codelists included in the IE734 message of Appendix D of FESS v3.65 is not aligned with the ones used in the IE734 message of Appendix D of DDNEA v1.77.</p> <p>More specifically, the format of the description Data Items (included in the Data Group for the language specific data) defined for the codelists in the IE734 message (i.e. in the IE732 message as part of the IE734 and also in the IE733 message as part of the IE732 message) of Appendix D of FESS is “an..65”. However, the format of the corresponding Data Items in Appendix D of DDNEA is “an..256”.</p> <p>Additionally, it has been identified that, the format of the description Data Items (included in the Data Group for the language specific data) defined for the MVS codelists in the IE734 message is “an..65” in both FESS and DDNEA. The specific format is in misalignment with the one used for all other codelists included in the IE734 message of Appendix D of DDNEA.</p> <p>The present RFC proposes the necessary updates in order to align FESS with DDNEA concerning the format of the description Data Items (included in the Data Group for the language specific data) defined for the codelists included in the IE734 message.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS.</p> <ul style="list-style-type: none"> Appendix D: Functional Messages <p>The format of the following Data Items will be updated from “an..65” to “an..256”:</p>

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- <IE732. HISTORY REFUSAL REASON LSD. History Refusal Reason Name>;
- <IE732. ACO_ACTION LSD. Administrative Cooperation Action Name>;
- <IE732. REASON FOR DELAYED RESULT LSD. Delayed Result Reason Name>;
- <IE732. ACO_REQUEST REASON LSD. Administrative Cooperation Request Reason Name>;
- <IE732. ACO_ACTION NOT POSSIBLE REASON LSD. Administrative Cooperation Action Not Possible Reason Name>;
- <IE732. MV_ACTION LSD. Movement Verification Action Name>;
- <IE732. MV_REQUEST REASON LSD. Movement Verification Request Reason Name>;
- <IE733. LANGUAGE CODE LSD. Language Name>;
- <IE733. MEMBER STATE LSD. Member State Name>;
- <IE733. COUNTRY LSD. Country Code Name>;
- <IE733. UNIT OF MEASURE LSD. Unit of Measure Name>;
- <IE733. TRANSPORT MODE LSD. Transport Mode Name>;
- <IE733. TRANSPORT UNIT LSD. Transport Unit Name>;
- <IE733. PACKAGING CODE LSD. Kind of Packages Name>;
- <IE733. UNSATISFACTORY REASON LSD. Unsatisfactory Reason Name>;
- <IE733. WINE-GROWING ZONE LSD. Wine-Growing Zone Name>;
- <IE733. WINE OPERATION LSD. Wine Operation Name>;
- <IE733. EVENT TYPE LSD. Event Type Name>;
- <IE733. EVIDENCE TYPE LSD. Event Type Name>;
- <IE733. REASON FOR INTERRUPTION LSD. Reason for Interruption Name>;
- <IE733. CANCELLATION REASON LSD. Cancellation Reason Name>;

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	<ul style="list-style-type: none"> ○ <IE733. ALERT OR REJECTION OF E-AD REASON LSD. Alert or Rejection of E-AD Reason Name>; ○ <IE733. DELAY EXPLANATION LSD. Delay Explanation Name>; ○ <IE733. EVENT SUBMITTING PERSON LSD. Submitting Person Name>; ○ <IE733. EXCISE PRODUCTS CATEGORY LSD. Excise Products Category Name>; ○ <IE733. EXCISE PRODUCT LSD. Excise Product Name>; ○ <IE733. CN CODE LSD. CN Code Name>.
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then FESS shall retain an erroneous format for the description Data Items (included in the Data Group for the language specific data) defined for the codelists included in the IE732 and IE733 messages (being part of the IE734 message).
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-212; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016

Release information

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-182 – Validation of the Data Item “Gross Weight” against the Data Item “Net Weight” in the IE801, IE815 and IE825 messages

RFC Information

RFC number	FESS-182
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM95495
Known Error	KE14428
Date at which the Change was proposed	31/10/2014
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>Rule219 included in Appendix D of FESS v3.65 reads as follows:</p> <p><i>“The <Gross Weight> must be equal or higher than <Net Weight>”</i></p> <p>Rule219 applies to the "Gross Weight" and "Net Weight" Data Items included in the <GOODS ITEM> Data Group of the IE722 message.</p> <p>The aforementioned rule implements the semantics used in the e-Forms functionality, namely that the Gross weight must be equal or higher than the Net weight, in alignment with Annex 5 of the "ITS-IRPT-104-SC09-FESS and DDNEA for EMCS Phase 3.1 RSD v2.20" document.</p> <p>By definition the gross weight must be equal or higher than the net weight. However, Rule219 applies only to the "Gross Weight" and "Net Weight" Data Items of the IE722 message and not to the corresponding Data Items of the IE801, IE815 and IE825 messages.</p> <p>The present RFC proposes the necessary updates so that Rule219, except from the IE722 message, applies also to the IE801, IE815 and IE825 messages.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS.</p> <ul style="list-style-type: none"> • Appendix D: Functional Messages <p>Rule219 (except from the IE722 message) will apply also to the following Data Items:</p> <ul style="list-style-type: none"> ○ “Gross Weight” and “Net Weight” included in the < E-AD Body> Data Group of the IE801, IE815 and IE825 messages.

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Impact assessment	Specification documents: <ul style="list-style-type: none"> FESS (Medium); DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the validation implied by Rule219, that is that the Gross weight must be equal or higher than the Net weight will continue be missing from the IE815, IE801 and IE825 messages.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: -; Children RFCs: DDNEA-P3-213; Other RFCs: -.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> Category of the Change: Review Approval process: <ul style="list-style-type: none"> The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-183 – Maximum value of the Data Item “Alcoholic strength” included in the IE801 and IE815 messages

RFC Information

RFC number	FESS-183
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM107035
Known Error	KE14443
Date at which the Change was proposed	23/02/2015
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>According to the Commission Regulation 684/2009 Annex I, Table I, Box 17g the alcoholic strength should be provided by a percentage by volume at 20°C (if applicable for EPC in question).</p> <p>In Appendix D of FESS v3.65, the Data Item “Alcoholic strength” is included in the <E-AD Body> Data Group of the IE801 and IE815 messages. The specific Data Item has the format “n..5,2”. Additionally, it is mandated by Rule234 which implies that the value of the Data Item must be greater than zero.</p> <p>Further to the above, it is concluded that a value of more than 100 can be entered without causing syntactic or semantic validation failures (e.g. 115,02). Also, based on the Council Directive 92/83/EEC, the minimum alcoholic strength by volume should exceed 0.5% vol. (which can be used for ‘beer’ products) and not 0%.</p> <p>In addition, it has been reported that that there is a risk of confusion as sometimes Traders complete erroneously the Data Item “Alcoholic strength”, e.g. alcoholic strength of 40% vol. could be filled in as "0.40" or as "40".</p> <p>The present RFC proposes the necessary updates in order to avoid the risk for confusion/misinterpretation of the "Alcoholic strength" value which could cause an operational issue and to ensure that the value of the “Alcoholic strength” Data Item is greater than or equal to 0.5 and less than or equal to 100.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS.</p> <ul style="list-style-type: none"> Appendix D: Functional Messages

	<ul style="list-style-type: none"> ○ A new rule, i.e. Rule237, will be introduced, which will read as follows: <p style="margin-left: 40px;"><i>“The value of the Data Item must be greater than or equal to 0.5 and less than or equal to 100</i></p> <p>The new rule will apply to the “Alcoholic strength” Data Item included in the <E-AD Body> Data Group of the IE801 and IE815 messages.</p> ○ The Rule234 will no longer apply to the “Alcoholic strength” Data Item included in the <E-AD Body> Data Group of the IE801 and IE815 messages. ○ The Data Item "Alcoholic strength" included in the <E-AD Body> Data Group of the IE815 and IE801 messages will be renamed from: <p style="margin-left: 40px;"><i>"Alcoholic strength"</i></p> <p>to:</p> <p style="margin-left: 40px;"><i>"Alcoholic strength by Volume in Percentage"</i></p> ○ In the conditions Con047 and Cond152 the references to <Alcoholic Strength> will be replaced with <Alcoholic Strength by Volume in Percentage>. <ul style="list-style-type: none"> ● Section II: Core Business <p>In EBP “UC-201-110 - Submit draft e-AD”, the text:</p> <ul style="list-style-type: none"> ▪ <i>“for alcohol and alcoholic beverages, except beer: alcoholic strength;</i> ▪ <i>for beer, degree Plato or alcoholic strength, or both, in accordance with the requirement of the MS of destination and of the MS of dispatch;”</i> <p>will be update to:</p> <ul style="list-style-type: none"> ▪ <i>“for alcohol and alcoholic beverages, except beer: alcoholic strength by volume in percentage;</i> ▪ <i>for beer, degree Plato or alcoholic strength by volume in percentage, or both, in accordance with the requirement of the MS of destination and of the MS of dispatch;”</i>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> ● FESS (Medium); ● DDNEA for EMCS Phase 3 (Medium).
Effect of implementing Change	<p>If the proposed change is not implemented, then the validation that the maximum value of the “Alcoholic strength” Data Item should be 100, will continue be missing from the Common Specifications.</p>
Risk assessment	<p>There is no risk associated with the implementation of the present RFC.</p>

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Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-214; • Other RFCs: -.
Indicative changes to legislation	
Draft recital for information	<u>Commission Regulation 684/2009</u>
Location of change in Legislation	Commission Regulation 684/2009
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-184 – Update of the optionality of the <ADDRESSED AUTHORITY> Data Group in the IE724 message/ Rev1

RFC Information

RFC number	FESS-184
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM113342
Known Error	N/A
Date at which the Change was proposed	24/04/2015
Requester	MSA BE

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>According to the RFC FESS-141, the optionality of the <ADDRESSED AUTHORITY> Data Group of the IE724 message changed from “Required” to “Optional”. The reason for this was that unless an IE723 message including the <ADDRESSED AUTHORITY> Data Group was sent by the Requested MSA, the information deriving from the aforementioned Data Group would not be available to the Requesting MSA in order to issue an IE724 message (in case of TIM_ACO timer expiration and not reception of the results).</p> <p>Further to the above, unless there is a preceding IE723 message, the <ADDRESSED AUTHORITY> Data Group is not applicable in the IE724 message. Otherwise, the optionality of the aforementioned Data Group should be “Required”, in alignment with the existing functionality of MVS for duty paid movements (also described in Annex 5 of RFC FESS-117 which introduced the specific functionality in EMCS).</p> <p>The present RFC proposes the necessary updates so that it is explicitly clarified in the Common Specifications that the existence of the <ADDRESSED AUTHORITY> Data Group on the IE724 message depends on the pre-existence of the aforementioned Data Group in the IE723 message.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS:</p> <ul style="list-style-type: none"> • Appendix D: Functional Messages <ul style="list-style-type: none"> ○ The optionality of the <ADDRESSED AUTHORITY> Data Group included in the IE724 message will be updated from “Optional” (i.e. “O”) to “Conditional” (i.e. “C”). ○ A new condition, that is Cond183, shall apply to the

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	<p><ADDRESSED AUTHORITY> Data Group of the IE724 message. The specific condition shall read as follows:</p> <p><i>“IF at least one IE723 message exists for the corresponding request THEN <ADDRESSED AUTHORITY> is ‘R’ ELSE <ADDRESSED AUTHORITY> does not apply.”</i></p> <ul style="list-style-type: none"> ○ A new rule that is Rule238 shall apply to the “Addressed Office Reference Number” and “Addressed Office Name” Data Items included in the < ADDRESSED AUTHORITY> Data Group of the IE724 message. The description of the specific rule shall be as follows: <p><i>“The value must be the same as the corresponding value in the IE723 message(s) for the specific request”</i></p> ○ A new rule that is Rule239 shall apply to the “Addressed Office Reference Number” and “Addressed Office Name” Data Items included in the < ADDRESSED AUTHORITY> Data Group of the IE723 message. The description of the specific rule shall be as follows: <p><i>“When more than one IE723 messages exist for a specific request, the value must be the same in all IE723 messages”</i></p>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of implementing Change	<p>If the proposed change is not implemented, then it would not be clarified in the Common Specifications that the optionality of the Data Group <ADDRESSED AUTHORITY> is “Required” in the IE724 message only in case that there is a preceding IE723 message. Additionally, it would not be clear that in case of no preceding IE723 message, the aforementioned Data Group is not applicable in the IE724 message.</p>
Risk assessment	<p>There is no risk associated with the implementation of the present RFC.</p>
Deployment approach	<p>The deployment approach is addressed in the downstream DDNEA RFC.</p>
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-215; • Other RFCs: FESS-141.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016 ⁵

Release information	
Release number	v3.81
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Deadline for alignment in Production	After Milestone M _h

Change Review	
Review date	
Review results	

⁵ FESS-184 RFC was first discussed at EMCS CAB #145 on 31/03/2016

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EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

FESS-185 – State transition from the “Extended” to the “Extended” state both for the ACO and MVS functionality

RFC Information

RFC number	FESS-185
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM120057
Known Error	KE14435
Date at which the Change was proposed	26/06/2015
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>According to the state transition diagrams for the Administrative cooperation and the Movement Verification for Duty Paid Movements, an answer message (IE868 for the ACO or IE723 for MVS) can be received only if the request is in the "Open" or "Late" state. After an answer message (IE868 or IE723) is received, the request goes (from the "Open" or "Late" state) to the "Extended" state. When the request is in the "Extended" state (i.e. after the receipt of the IE868 in case of ACO request or IE723 message in case of MVS request), the specific request can go:</p> <ul style="list-style-type: none"> • either to the "Late" state (when the TIM_ACO timer expires and an IE869 Reminder message is sent to the Requesting MSA in case of ACO request or when the TIM_MVS expires and an IE724 message is sent to the Requesting MSA in case of MVS request); • or to the "Closed" state (when the results to the request are received (through an IE867 message for an ACO request or through an IE725 message for an MVS request)). <p>Considering the above, it is not possible to receive IE868 or IE723 messages when the request is already in the "Extended" state. More specifically, according to the current specifications, the state transition from the "Extended" to the "Extended" state is not allowed both for ACO and MVS requests.</p> <p>It should be noted that after the submission of an IE868 or an IE723 message for extending the deadline for providing the results to an ACO or a MVS request respectively, the Requested MSA may send additional answers (IE868 or IE723 messages). The specific action is allowed only after the expiration of the "Deadline for Results" date and time as specified in the last answer message (IE868), i.e. after the reminder (IE869 message or IE723 message) is sent to the Requested MSA.</p> <p>The present RFC proposes the necessary updates so as to enable the state transition from the "Extended" to the "Extended" state both for</p>

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ACO and MVS requests. More specifically, the following scenarios should be enabled:

- If an ACO request is at the “Extended” state, the requested ELO should be able to further extend the deadline for providing the results by sending an ACO Answer message IE868, prior to the expiration of the TIM_ACO timer defined in the preceding IE868 message;
- If an MVS is at the “Extended” state, the requested ELO should be able to further extend the deadline for providing the results by sending an MVS Answer message IE723, prior the expiration of the TIM_MVS timer defined in the preceding IE723 message.

Proposed Solution:

As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS:

- Section IV: Follow-Up and Collaboration

State transition updates

- 1) Figure “STD 1 Requests – Requesting MSA” will be updated to indicate the following state transition:
 - “Extended” to “Extended” with the transmission of an IE868;
- 2) Figure “STD 2 Requests - Requested MSA” will be updated to indicate the following state transition:
 - “Extended” to “Extended” with the reception of an IE868;
- 3) Figure “STD 7 Movement Verification Requests – Requesting MSA” will be updated to indicate the following state transition:
 - “Extended” to “Extended” with the transmission of an IE723;
- 4) Figure “STD 8 Movement Verification Requests – Requested MSA” will be updated to indicate the following state transition:
 - “Extended” to “Extended” with the reception of an IE723.

Scenario updates

- 1) The following EBPs will be updated as shown in “Annex 3: FESS-185 – State transition from the “Extended” to the “Extended” state both for the ACO and MVS functionality”:
 - a) “UC-307-240- Send answer message”;
 - b) “UC-307-120- Receive answer message”;
 - c) “UC-315-210- Analyse request” and
 - d) “UC-315-120- Receive answer message”.

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Impact assessment	Specification documents: <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then both for ACO and MVS requests, the state transition from the "Extended" to the "Extended" state will continue not being supported by FESS.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-216; • Other RFCs:-.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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FESS-186 – Increase of the length of free text fields in the ACO and MVS messages

RFC Information

RFC number	FESS-186
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM148005
Known Error	KE15069
Date at which the Change was proposed	21/03/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>During the ACO Workshop held in Brussels on 20/01/2016-21/01/2016, the Member States unanimously agreed to increase the size of the free text fields of the ACO and the MV Requests and Results messages in order to support the translation of a text within the same free text field.</p> <p>The present RFC proposes the necessary updates so as to increase the length of free text fields of the ACO and the MV Requests and Results messages.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS:</p> <ul style="list-style-type: none"> ○ Appendix D: Functional Messages ○ The format of the Data Item <IE721.ACO_REQUEST. Administrative Cooperation Request Information> will be updated from “an..500” to “an..999”; ○ The format of the Data Item <IE721. REQUEST REASON Code. Complementary Information> will be updated from “an..350” to “an..999”; ○ The format of the Data Item <IE721. RISK ASSESSMENT REFERENCE. Other Risk Profile> will be updated from “an..350” to “an..999”; ○ The format of the Data Item <IE721. ACTIONS Requested. ACO Action Complement> will be updated from “an..350” to “an..999”; ○ The format of the Data Item <IE721.DOCUMENTS. Short Description of Document> will be updated from “an..350” to “an..999”;

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- The format of the Data Item <IE721.DOCUMENTS. Reference of Document> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE721.HISTORY REQUEST.Request Reason> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE722. REQUEST REASON Code. Complementary Information> will be updated from “an..350” to “an..999”;
- The format of the Data Item “IE722. ACTIONS Requested. Complementary Information” will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE722.GOODS ITEM.Commercial Description of the Goods> will be updated from “an..55” to “an..999”;
- The format of the Data Item <IE722.MEANS OF TRANSPORT. Complementary Information> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE725. ACTIONS Result. Complementary Information> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE725. FINDINGS.Notes> will be updated from “an..255” to “an..999”;
- The format of the Data Item <IE867. ACO_ACTION RESULT.ACO Action Not Possible Reason Complement> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE867. ACO_ACTION RESULT.Other Finding Type> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE867. ACO_ACTION RESULT. Complementary Explanations> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE868. ANSWER. History Refusal Reason Complement> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE867. ACO_ACTION RESULT. ACO Action Complement> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE867.DOCUMENTS. Short Description of Document> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE867.DOCUMENTS. Reference of Document> will be updated from “an..350”

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	<p>to “an..999”;</p> <ul style="list-style-type: none"> ○ The name of the Data Item “Short Description of Document” in the IE721 and IE867 will be updated to “Short Description of Supporting Document”; ○ The name of the Data Item “Short Description of Document_LNG” in the IE721 and IE867 will be updated to “Short Description of Supporting Document_LNG”; ○ The name of the Data Item “Reference of Document” in the IE721 and IE867 will be updated to “Reference of Supporting Document”; ○ The name of the Data Item “Reference of Document_LNG” in the IE721 and IE867 will be updated to “Reference of Supporting Document_LNG”; ○ In Cond142 the references to “<Short Description of Document>” and “<Reference of Document>” will be updated to “<Short Description of Supporting Document>” and “<Reference of Supporting Document>”, respectively. (Note that further updates will be performed in Cond142, if RFC FESS-188 is approved); ○ The name of the Data Item “Request Reason” in the IE721 will be updated to “History Request Reason”; ○ The name of the Data Item “Request Reason_LNG” in the IE721 will be updated to “History Request Reason_LNG”. ○ The Data Item “Complementary Information” in the IE721, IE722 and IE725 messages will be renamed to “ACO_Complementary Information” with format “an..999”. ○ The Data Item “Complementary Information_LNG” in the IE721, IE722 and IE725 messages will be renamed to “ACO_Complementary Information_LNG”. ○ Cond127 will be updated to: <pre> IF <MEANS OF TRANSPORT.Transport Mode Code> is "Other" THEN <ACO_Complementary Information> is 'R' ELSE <ACO_Complementary Information> does not apply ELSE IF <TRANSPORT MODE.Transport Mode Code> is "Other" THEN < Complementary Information> is 'R' ELSE <Complementary Information> does not apply; </pre> ○ In Cond131, Cond170 and Cond171 the references to “<Complementary Information>” will be updated to “<ACO_Complementary Information>”;
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	<ul style="list-style-type: none"> ○ In Rule229 the the references to “<Complementary Information>” and “<Complementary Information_LNG>” will be updated to “<ACO_Complementary Information” and “<ACO_Complementary Information_LNG>”; <p><i>Note 1: "Short Description of Document" and "Reference of Document" Data Items are also used in e-AD (IE801 and IE815); however, it is proposed <u>not</u> to change IE801 or IE815 in EMCS Phase 3.3 as the scope of this phase focuses on follow-up & collaboration and does <u>not</u> target to change the heart of the core business; this could be considered in a subsequent phase of EMCS if there is an interest from EOs or MS.</i></p> <p><i>Note 2: Considering Note 1 and given that it is not possible to have two (or more) Data Items with the same name but different format, it is proposed (as indicated above) to rename the Data Items “Short Description of Document” and “Reference of Document”, in the IE721 and IE867, to “Short Description of Supporting Document” and “Reference of Supporting Document”, respectively. This renaming (which is also in alignment with the proposal to rename the relevant Data Group from <DOCUMENTS> to <SUPPORTING DOCUMENTS> via RFC FESS-188) enables the increasing of the length of these Data Items in the IE721 and IE867, without causing any impact on the IE801 and IE815. (In addition, as shown above, this renaming also imposes the renaming of the associated Language Indicator (LNG) Data Items in the IE721 and IE867.)</i></p> <p><i>Note 3: "Request Reason" Data Item is also used in message IE705 (UC1.13). However, as EMCS Phase 3.3 focuses on follow-up & collaboration, it is proposed <u>not</u> to change IE705. This could be considered in a subsequent phase of EMCS if there is an interest from EOs or MS.</i></p> <p><i>Note 4: Considering Note 3 and given that it is not possible to have two (or more) Data Items with the same name but different format, it is proposed (as indicated above) to rename the Data Item “Request Reason”, in the IE721, to “History Request Reason”. This renaming enables the increasing of the length of this Data Item in the IE721, without causing any impact on the IE705. (In addition, as shown above, this renaming also imposes the renaming of the associated Language Indicator (LNG) Data Item in the IE721.)</i></p>
Impact assessment	Specification documents: <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the length of the free text Data Items included in the ACO and MVS messages will continue being very short to support also the translation of the specific text.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-217;

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<ul style="list-style-type: none"> • Other RFCs: FESS-188. 	
Indicative changes to legislation	
Draft recital for information	No impact on the current legislation.
Location of change in Legislation	Implementing Regulation 2016/323, Annex I; Table 7, Table 8 and Table 10
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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FESS-187 – Introduction of the Data Item “National Case Reference Identifier” in the ACO and MVS messages/ Rev1

RFC Information

RFC number	FESS-187
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM148006
Known Error	N/A
Date at which the Change was proposed	21/03/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>During the ACO Workshop held in Brussels on 20/01/2016-21/01/2016, the Member States agreed that it would be useful to link together multiple Requests for assistance (having different Follow Up Correlation ID) or Movement Verification Requests (having different MV Correlation ID) which are related to a specific national case (e.g. a certain movement, or a certain trader, etc.).</p> <p>That is, when an MSA considers that for national purposes, a set of Requests for assistance or Movement Verification Requests need to be grouped, a new Data Item, that is the “National Cases Reference Identifier” Data Item, should be used for linking these Requests.</p> <p>The present RFC proposes the necessary updates so as to introduce the Data Item “National Case Reference Identifier” in the Requests for assistance and Movement Verification Requests correlated messages.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS Appendix D:Functional Messages:</p> <ul style="list-style-type: none"> • A new Data Item, that is the “National Case Reference Identifier” will be added in the <FOLLOW UP> Data Group of the ACO and MVS messages as follows: <ul style="list-style-type: none"> a) In the <FOLLOW UP> Data Group of the IE721 and IE722 messages: <p style="margin-left: 40px;"><i>National Case Reference Identifier O an..99</i></p> b) In the <FOLLOW UP> Data Group of the IE820, IE867, IE868 and IE869 messages: <p style="margin-left: 40px;"><i>National Case Reference Identifier C an..99 Cond184 Rule240</i></p>

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	<p>c) In the <FOLLOW UP> Data Group of the IE723, IE724 and IE725 messages:</p> <p><i>National Case Reference Identifier C an..99 Cond185 Rule241</i></p> <ul style="list-style-type: none"> • Cond184 and Cond185 will be introduced as follows: <ul style="list-style-type: none"> ○ Cond184: <p><i>“IF <Follow Up Correlation ID> does not match with <Follow Up Correlation ID> in a request message</i></p> <p style="padding-left: 40px;"><i>THEN <National Case Reference Identifier> is ‘O’</i></p> <p style="padding-left: 40px;"><i>ELSE IF <Follow Up Correlation ID> matches with <Follow Up Correlation ID> in a request message AND <National Case Reference Identifier> is present in the request message</i></p> <p style="padding-left: 40px;"><i>THEN <National Case Reference Identifier> is ‘R’</i></p> <p style="padding-left: 40px;"><i>ELSE <National Case Reference Identifier> does not apply.”</i></p> ○ Cond185: <p><i>“IF <National Case Reference Identifier> is present in the request message</i></p> <p style="padding-left: 40px;"><i>THEN <National Case Reference Identifier> is ‘R’</i></p> <p style="padding-left: 40px;"><i>ELSE <National Case Reference Identifier> does not apply.”</i></p> • Rule240 and Rule241 will be introduced as follows: <ul style="list-style-type: none"> ○ Rule240: <p><i>“IF <Follow Up Correlation ID> matches with <Follow Up Correlation ID> in a request message AND <National Case Reference Identifier> is present in the request message</i></p> <p style="padding-left: 40px;"><i>THEN</i></p> <p style="padding-left: 40px;"><i><National Case Reference Identifier> must be equal to the value of <National Case Reference Identifier> in the request message.”</i></p> ○ Rule241: <p><i>“The value of the Data Item must be equal to the value of <National Case Reference Identifier> in the request message.”</i></p> <p><i>Note: If RFC FESS-198 is approved, then Cond185 and Rule241 will need to be amended (similarly to Cond184 and Rule240, respectively), due to the insertion of the MVS spontaneous information functionality.</i></p>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).

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Effect of not implementing the Change	If the proposed change is not implemented, it would be difficult for the MSAs to link together multiple Requests for assistance or Requests for history (having different Follow Up Correlation ID) or Movement Verification Requests (having different MV Correlation ID) which are related to a specific national case (e.g. a certain movement, or a certain trader, etc.).
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-218; • Other RFCs: FESS-198.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016 ⁶

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

⁶ FESS-187 RFC was first discussed at EMCS CAB #145 on 31/03/2016

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FESS-188 – Codelists updates

RFC Information

RFC number	FESS-188
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM149948, IM74236
Known Error	KE12406, KE12407, KE12408, KE12409
Date at which the Change was proposed	26/02/2014
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>During the ACO Workshop held in Brussels on 20/01/2016-21/01/2016, it was agreed that some codelists need to be updated.</p> <p>More specifically, some ACO related codelists should be renamed and updated so as to hold also MVS Information (e.g. 2.13 ADMINISTRATIVE COOPERATION REQUEST REASONS).</p> <p>Also several codelists' values need to be updated (e.g. ADMINISTRATIVE COOPERATION ACTION NOT POSSIBLE REASONS), further to the feedback received from the Member States.</p> <p>Concerning the ACO information for Excise Goods under Customs supervision, it has been identified that the existing codelists' values may be outdated issues deriving from Excise <-> Customs de-synchronisation (MSA of Dispatch is unaware of Export release, Export release rejection, Export cancelation, Exit etc.). Therefore, the codelist values concerning the excise goods under customs supervision need to be updated.</p> <p>Also, having reviewed the content of the control report (IE717 message), it has been identified that the values of some of the codelists of the specific message (i.e. "Global Control Conclusion" and "Performed Control Action") need to be updated.</p> <p>Finally, in the ACO Workshop held in Brussels on 20/01/2016-21/01/2016, it was agreed that a new codelist (i.e. "TYPE OF DOCUMENT") needs to be added, in order to select from a pre-defined list and indicate the type of the supporting document that can be attached in an ACO/MV request and result.</p> <p>The present RFC proposes the necessary updates so as to update several codelists used in the Common Specifications as well as to introduce the aforementioned new code list (i.e. "TYPE OF DOCUMENT"), in alignment with the decisions taken at the ACO Workshop and the Member States' feedback.</p>

Proposed Solution:

As per the analysis in the [Problem Statement] section, the following updates shall be performed in FESS:

- APPENDIX B: LIST OF CODES
 - The codelist “ADMINISTRATIVE COOPERATION REQUEST REASONS” will be renamed to “REQUEST REASON” and it will be updated as follows:

Code	Description
0	Other
1	Report of Receipt/Export not returned to consignor
2	Excesses or shortages stated at arrival of good
4	Submission of an e-AD was rejected because the consignee record of SEED did not match - the request is to ask for more information
6	Have goods/quantities specified on e-AD been entered in consignee's stock records?
7	Check that goods have actually left EU (date on which export certified by customs)
8	Placing of goods under a suspensive customs procedure (export warehouse, victualling warehouse, outward processing, ...)
9	Reimbursement of excise duty requested
10	Spot checks
11	Copy 3 not returned to Consignor
12	Reverse of Copy 3 endorsed to show excesses or losses
13	Certification of Receipt Incomplete
14	Consignee's Excise Number not in SEED
15	Particular Deleted/Overwritten without official Endorsement
16	Request for Manual closure
17	Export Status Unknown
18	Request for Interruption of a movement
19	Perform interview of authorised representative
20	Fallback document
21	Two e-ADs were created for the same consignment

22	Clarification regarding type or quantity of goods
23	Receipt of goods were rejected/refused
24	Ongoing excise investigation
25	Suspicious of irregularity

- The codelist “ADMINISTRATIVE COOPERATION ACTIONS” will be renamed to “REQUEST ACTION” and it will be updated as follows:

Code	Description
0	Other
2	Administrative control
3	Physical control
4	Confirm entry in trader's records
5	Confirm quantity received
6	Confirm authorisation of trader
7	Confirm the particulars in Box No(s)
11	Confirm identity of the carrier and the number of the vehicle
12	Confirm payment of duty
14	Confirm quantity dispatched
15	Confirm type of goods dispatched
16	Confirm Authenticity of Excise official stamp
17	Confirm Authenticity of Company's Stamp and Trader's signature
18	Confirm Trader's Authorisation and SEED Data
19	Manual closure
20	Hearing of authorised representative (e.g. interview of company manager)
21	Provide reason for shortage
22	Confirm shortage/excess/differences
23	Provide proof that the movement of excise goods has ended
24	Confirm purpose of goods or next purchaser of goods
25	Please see attached request
26	Follow-up measure needs to be taken from the Consignor

27	Correction required in Export declaration
28	Confirm the content of the Export Declaration
29	Inform if the movement has already been released by Customs
30	Provide Export MRN

- A new codelist that is "TYPE OF DOCUMENT" will be introduced as follows:

Code	Description
0	Other
1	e-AD
2	SAAD
3	Invoice
4	Delivery note
5	CMR
6	Bill of lading
7	Way Bill
8	Contract
9	Trader's Application
10	Official record
11	Request
12	Answer
13	Fallback documents, Fallback Printout
14	Photo
15	Export Declaration
16	Anticipated Export Record
17	Exit Results
18	SAD (Single Administrative Document)

[Other as necessary]

- The codelist “ADMINISTRATIVE COOPERATION ACTION NOT POSSIBLE REASONS” will be updated as follows:

Code	Description
0	Other
1	Missing information
2	reserved
3	Missing time
4	Deep investigation on economic operator ongoing, short-term answer not possible
5	Trader could not be contacted
6	Missing trader
	[Other as necessary]

- The codelist <EVIDENCE TYPES> will be updated as follows:

Code	Description
0	Other
1	reserved
2	Police report
3	Report - other than Police or Customs
4	Customs Report
	[Other as necessary]

- The codelists “Movement Verification Actions” and “Movement Verification Request Reasons” (i.e. Sections 2.27 and 2.28) will be removed.

- Appendix D: Functional Messages

- A new Data Item <Supporting Document Type> will be inserted in the <DOCUMENTS> Data Group (of IE721, IE820 and IE867 messages) as follows:

Supporting Document Type C n..2 Rule242 Cond142

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- Rule, i.e. Rule242, will be introduced and apply to the Data Item "Supporting Document Type" included in the <DOCUMENTS> Data Group of both the IE721, IE820 and IE867 messages. This new rule will read as follows:

"An existing <Supporting Document Type> in the list of <TYPE OF DOCUMENT>";
- Cond142 will be updated as follows:

*"At least one, among these three fields:
<Supporting Document Type>
<Reference of Document>
<Image of Document>"*
- Instead of Cond142, a new condition, that is Cond186, will apply to the <IE721.DOCUMENTS.Short Description of Document> Data Item.
The description of Cond186 shall be as follows:

*"IF <Supporting Document Type> is "Other"
THEN <Short Description of Document> is 'R'
ELSE <Short Description of Document> does not apply"*
- Additionally, in alignment with the name of the newly inserted data item "Supporting Document Type" and to better indicate the purpose of the <DOCUMENTS> Data Group (i.e. as described in "UC-307-110 Prepare request message" a list of supporting documents can be attached to the request), the Data Group <DOCUMENTS> of the IE721, IE820 and IE867 messages will be renamed to <SUPPORTING DOCUMENTS>.
- Rule106 will be updated as follows:

"The possible values of <Global Control Conclusion> are:
 - 1 = Satisfactory
 - 2 = Minor discrepancies found
 - 3 = Interruption recommended
 - 4 = Intention to make claim under Article 10 of Council Directive 2008/118/EC"
 - 5 = Allowable loss detected, in relation to Article 7(4) of Council Directive 2008/118/EC"
- Rule220, Rule221, Rule226 and Rule227 will be removed from Section "5 List of rules";
- Rule156 instead of Rule220 will apply to the "Movement Verification Request Reason Code" Data Item included in the <REQUEST REASON Code> Data Group of the IE722 message.
- The description of Rule156 will be updated as follows:

"An existing <Request Reason Code> in the list of <REQUEST REASON>"

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- Rule101 instead of Rule221 will apply to:
 - the “Movement Verification Action Code” Data Item included in the <ACTIONS Requested> Data Group of the IE722 message and also to:
 - the “Movement Verification Action Code” Data Item included in the < ACTIONS Result > Data Group of the IE725 message.

- The description of Rule101 will be updated as follows:

“An existing <Request Action Code> in the list of <REQUEST ACTION>”.

- The description of Rule089 will be updated as follows:

“The possible values of <Finding at Destination> are:

 - 0 = Other finding
 - 1 = (reserved)
 - 2 = Consignment in order
 - 3 = Consignment has not reached destination
 - 4 = Consignment arrived late
 - 5 = Shortage detected
 - 6 = Excise products not in order
 - 7 = Consignment not entered in stock records
 - 8 = Trader could not be contacted
 - 9 = Missing trader
 - 10 = Excess detected
 - 11 = Wrong EPC
 - 12 = Wrong destination type code
 - 13 = Differences confirmed
 - 14 = Manual closing recommended
 - 15 = Interruption recommended
 - 16 = Irregularities Found”

- The description of Rule107 will be updated as follows:

“The possible values of <Performed Control Action> are:

 - 0 = Other control action
 - 1 = Verified counted packs
 - 2 = Unloaded
 - 3 = Opened packs
 - 4 = Annotated paper copy of documents (e.g. SAAD)
 - 5 = Counting
 - 6 = Sampling
 - 7 = Administrative control
 - 8 = Goods weighted/measured
 - 9 = Random check
 - 10 = Control of records
 - 11 = Compare documents presented with e-AD”

- The description of Rule175 will be updated as follows:

“For the detailed code values, see the list of codes “REQUEST ACTION” in “Appendix B-LIST OF

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	<p>CODES”</p> <ul style="list-style-type: none"> ○ Reference to “ADMINISTRATIVE COOPERATION ACTIONS” shall be replaced with the “REQUEST ACTION” in Section 1.3 Validation rules, of FESS Appendix D; ○ The description of Rule177 will be updated as follows: <i>‘For the detailed code values, see the list of codes “REQUEST REASONS” in “Appendix B-LIST OF CODES”</i> ○ Reference to “ADMINISTRATIVE COOPERATION REQUEST REASONS” shall be replaced with the “REQUEST REASON” in Section 1.3 Validation rules, of FESS Appendix D; ○ The IE732 message will be updated so as to incorporate the codelist changes described above.
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> ● FESS (Medium); ● DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the names and the values of several codelists will continue not being updated in FESS.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> ● Parent RFCs: -; ● Children RFCs: DDNEA-P3-219; ● Other RFCs:-.

Indicative changes to legislation

Draft recital for information	No impact on the current legislation
Location of change in Legislation	Implementing Regulation 2016/323

Approval Process

CAB recommendation	<ul style="list-style-type: none"> ● Category of the Change: Review ● Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016

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Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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FESS-189 – Allow Reasoned Refusal for refusing replying to an Administrative Cooperation/MV Request

RFC Information

RFC number	FESS-189
RFC status	Closed
Reason for Change	Change of Functionality
Incidents	IM149952
Known Error	KE15171
Date at which the Change was proposed	07/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>Currently, in FESS v3.65, there is no support in EMCS for a reasoned refusal of a request for Administrative Cooperation, even though it is required by the relevant legislation (Article 25 of Regulation (EU) 389/2012). The present RFC proposes the necessary updates so as to enable the Requested MSA to refuse a request for Administrative Cooperation. The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates will be implemented in FESS:</p> <ol style="list-style-type: none"> Section IV Follow-Up and Collaboration: <ul style="list-style-type: none"> Sections “5.2.1 Overview”, “5.2.2 Participants, motivations and commitments” and “5.2.4 Process flow diagram” shall be updated as described in detail in “Annex 11-1: Administrative cooperation - request for assistance (UC3.07)”. Sections “7.1.1 Overview”, “7.1.2 Participants, motivations and commitments” and “7.1.4 Process flow diagram” shall be updated as described in detail in “Annex 11-4: Movement Verification – Request (UC3.15)”. The “<i>Table Request-Results: results of request</i>” included in sub-section “8.1.1 Requesting MSA” in Section “8.1 Request for assistance and request for history” of “8 State-Transition diagrams” will be updated to include the following condition as follows:

EBP	condition	incoming message	Outgoing message	Comments
UC-307-120	Refusal of the request	IE868:C_COO_ANS		Request for assistance

- The “*Table Request-Results: return of results*” included in subsection “8.1.2 Requested MSA” in Section “8.1 Request for assistance and request for history” of “8 State-Transition diagrams” will be updated to include the following EBP as follows:

EBP	condition	incoming message	Outgoing message	Comments
UC-307-210	Refusal of the request		IE868:C_COO_ANS	Request for assistance

Scenario updates:

- EBP: (UC-307-210 – Analyse request)” will be updated as described in detail in “**Annex 11-2: EBP: (UC-307-210 – Analyse request)**”.
- EBP: (UC-307-120 – Receive answer message)” will be updated as described in detail in “**Annex 11-3: EBP: (UC-307-120 – Receive answer message)**”.
- EBP: (UC-315-210 – Analyse request)” will be updated as described in detail in “**Annex 11-5: EBP: (UC-315-210 – Analyse request)**”.
- EBP: (UC-315-120 – Receive answer message)” will be updated as described in detail in “**Annex 11-6: EBP: (UC-315-120 – Receive answer message)**”.

2. Appendix B: List of Codes:

- “2.8 HISTORY REFUSAL REASONS” included in List of Codes in Appendix B: List of Codes:

*“Segment corresponding: HISTORY REFUSAL REASON
Used in the rule094 in the message IE868.
Used in the rule102 in the message IE820.*

Code	Description
0	Other
1	Confidential information
2	Information not available

3	Disclosure contrary to the public policy of the state
	[Other as necessary]

shall be updated as follows:

- “2.8 HISTORY REFUSAL REASONS” shall be updated as follows:

“2.8 REFUSAL REASONS”

- The text : “Segment corresponding: HISTORY REFUSAL REASON” shall be updated as follows:

“Segment corresponding: REFUSAL REASON”

- Description of code 1: “Confidential Information” shall be updated as follows:

“The enquiry or information requested could not be authorised under the laws or administrative practices of the requested Member State for its own use (e.g. Confidential information)”

- Description of code 2: “Information not available”, shall be deleted and be replaced by :

“(reserved)”

- Description of code 3: “Disclosure contrary to the public policy of the state” shall be updated as follows:

“Disclosure contrary to the public policy of the state - The provision of information would lead to the disclosure of a commercial, industrial or professional secret or of a commercial process, or where its disclosure would be contrary to public policy”

- Codes 4 – 11 shall be introduced as follows:

Code	Description
0	Other
1	The enquiry or information requested could not be authorised under the laws or administrative practices of the requested Member State for its own use (e.g. Confidential information)
2	(reserved)
3	Disclosure contrary to the public policy of the state - The provision of information would lead to the disclosure of a commercial, industrial or professional secret or of a commercial process, or where its disclosure would be contrary to public policy

4	A judicial authority of the requested Member State has refused to allow the transfer of information under its control
5	The request concerns information that is no longer available due to national rules of data retention (5 years or more minimum)
6	The requesting authority has not exhausted the usual sources of information which it could have used in the circumstances
7	The number and the nature of the requests for information made by the requesting authority within a specific period impose a disproportionate administrative burden on that requested authority
8	The requesting Member State is unable, for legal reasons, to provide similar information
9	The consignor has not exhausted all the means available to him to obtain proof that the movement of excise goods between Member States has ended
10	No check performed
11	Out of scope of 389/2012 (e.g. Naples II)
	[Other as necessary]

3. Appendix D: Functional Messages:

- The name of the Data Item <IE868. ANSWER. History Refusal Reason Code> shall be updated as follows:

<IE868. ANSWER. Refusal Reason Code>

- The name of the Data Item <IE868. ANSWER. History Refusal Reason Complement> shall be updated as follows:

<IE868. ANSWER. Refusal Reason Complement>

- The name of the Data Item <IE868. ANSWER. History Refusal Reason Complement_LNG> shall be updated to:

<IE868. ANSWER. Refusal Reason Complement_LNG >

- The <IE723. MESSAGE DEADLINE REPORT DETAILS> Data Group:

<i>Date of sending</i>	<i>R</i>	<i>date</i>
<i>Date of MV Request</i>	<i>R</i>	<i>date</i>
<i>Date for which Reply is Requested</i>	<i>R</i>	<i>date</i>

shall be updated to include the following Data Items as follows:

	<p><i>Date of sending</i> R date <i>Date of MV Request</i> R date <i>Date for which Reply is Requested</i> R date <i>Refusal Reason Code</i> O n..2 Rule094</p> <p><i>Refusal Reason Complement</i> C an..999 <i>Cond125</i> <i>Refusal Reason Complement_LNG</i> C a2 Rule018 <i>Cond002</i></p> <ul style="list-style-type: none"> • Cond187 shall be introduced and applied to the following Data Items of the <IE723. MESSAGE DEADLINE REPORT DETAILS> Data Group>: <p><i>Date of sending</i> R date <i>Date of MV Request</i> R date <i>Date for which Reply is Requested</i> R date</p> <p>and applied as follows:</p> <p>"IF <Refusal Reason Code> is given THEN <Date for which Reply is Requested> does not apply <Date of MV Request> does not apply <Date of sending> does not apply ELSE <Date for which Reply is Requested> is 'R' <Date of MV Request> is 'R' <Date of sending> is 'R'"</p> • The <IE723. MESSAGE DEADLINE REPORT DETAILS> Data Group shall be updated as follows: <p><i>Date of sending</i> C date <i>Cond187</i> <i>Date of MV Request</i> C date <i>Cond187</i> <i>Date for which Reply is Requested</i> C date <i>Cond187</i> <i>Refusal Reason Code</i> O n..2 Rule094</p> <p><i>Refusal Reason Complement</i> C an..999 <i>Cond125</i> <i>Refusal Reason Complement_LNG</i> C a2 Rule018 <i>Cond002</i></p> • <IE732. HISTORY REFUSAL REASON> Data Group should be updated as follows: <p><IE732. REFUSAL REASON></p>
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- <IE732. HISTORY REFUSAL REASON LSD> Data Group should be updated as follows:

<IE732. REFUSAL REASON LSD>

- Cond061 included in Appendix D:

"IF <History Refusal Reason Code> is given

THEN

<Deadline for Results> does not apply

<Delayed Result Reason Code> does not apply

ELSE

<Deadline for Results> is 'R'

<Delayed Result Reason Code> is 'R'"

shall be updated as follows:

"IF <Refusal Reason Code> is given

THEN

<Deadline for Results> does not apply

<Delayed Result Reason Code> does not apply

ELSE

<Deadline for Results> is 'R'

<Delayed Result Reason Code> is 'R'"

- Cond062 included in Appendix D:

IF <Message Type> is "History answer message"

THEN < Refusal Reason Code> is 'O'

ELSE < Refusal Reason Code> does not apply

Shall be removed as the refusal mechanism is not only applicable to History requests but also to Administrative Cooperation and Movement Verification Requests.

- The conditionality of the Data Item <IE868. ANSWER. Refusal Reason Code>:

Refusal Reason Code C n..2 Rule094 Cond062

Shall be updated as follows:

Refusal Reason Code O n..2 Rule094

	<ul style="list-style-type: none"> • Cond125 included in FESS Appendix D: <i>"IF <History Refusal Reason Code> is "Other" THEN <History Refusal Reason Complement> is 'R' ELSE <History Refusal Reason Complement> does not apply"</i> shall be updated as follows: <i>"IF <Refusal Reason Code> is "Other" THEN < Refusal Reason Complement> is 'R' ELSE <Refusal Reason Complement> does not apply"</i> • Value (- 26 = History refusal reasons) included in <Requested List of Code> defined in Rule025 shall be updated as follows: (- 26 = Refusal reasons) • Rule094 included in Appendix D: <i>"An existing <History Refusal Reason Code> in the list of <HISTORY REFUSAL REASON>"</i> shall be updated as follows: <i>"An existing <Refusal Reason Code> in the list of <REFUSAL REASON>"</i> • Rule170 included in Appendix D: <i>"For the detailed code values, see the list of codes "HISTORY REFUSAL REASONS" in "Appendix B-LIST OF CODES"</i> shall be updated as follows: <i>"For the detailed code values, see the list of codes "REFUSAL REASONS" in "Appendix B-LIST OF CODES"</i> • Rule102 included in FESS Appendix D shall be removed; • In section "1.3 Validation rules" of Appendix D, the following entry in the table "Common Lists of Codes": <i>"HISTORY REFUSAL REASONS"</i> shall be updated as follows: <i>"REFUSAL REASONS".</i>
Impact assessment	Specification documents: <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the	The effect of not performing the updates in FESS will be to retain the issue of lack of support for a reasoned refusal of a request for

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Change	Administrative Cooperation, even though it is required by the relevant legislation (Article 25 of Regulation (EU) 389/2012).
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-220; • Other RFCs: FESS-191.
Indicative changes to legislation	
Draft recital for information	Implementing Regulation 2016/323
Location of change in Legislation	Implementing Regulation 2016/323
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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FESS-190 – Feedback process

RFC Information

RFC number	FESS-190
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM149951
Known Error	N/A
Date at which the Change was proposed	07/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>During the ACO Workshop held in Brussels on 20/01/2016-21/01/2016, it was discussed (among other issues) the lack of support for providing feedback by a Requesting MSA on further action taken on the basis of information received from the Requested MSA, even though it is required by the relevant legislation (Articles 8, 15 and 16 of Regulation (EU) 389/2012).</p> <p>The present RFC proposes the necessary updates so as to enable the Requested MSA to request feedback from the Requesting MSA on the follow up action taken based on the results provided for an ACO Request. The Requesting MSA shall reply back by sending the feedback. The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS:</p> <ul style="list-style-type: none"> • Section IV: Follow Up and Collaboration <ul style="list-style-type: none"> ○ Chapter “2.1 Administrative cooperation” <ul style="list-style-type: none"> • The following text will be added at the end of Chapter “2.1 Administrative cooperation” <p style="margin-left: 20px;"><i>“The requested MSA has the possibility to request feedback from the requesting MSA. This later shall send back the requested feedback.”</i></p> • The existing references to “<i>feedback</i>” or “<i>feedback message</i>” (which referred to the Administrative Cooperation results) will be updated to “<i>results</i>”, in order not to be confused with the newly added feedback functionality.

- Chapter “5 Administrative cooperation”
 - The updates that will be performed in Chapter “5 Administrative cooperation” are shown in track changes in “**Annex 4-1: Administrative cooperation**”. More specifically:
 - The introduction of Chapter 5: “Administrative cooperation” and also Chapters 5.2.1 “Overview”, 5.2.2 “Participants, motivations and commitments”, 5.2.3 “General conditions”, 5.2.8 “Major result” and 5.2.9 “Minor results” will be updated;
 - EBPs “UC-307-230- Prepare and send results message” and “UC-307-130- Receive results message” will be updated;
 - 2 new EBPs (i.e. UC-307-140 and UC-307-250) will be introduced.
- Chapter “7 Movement Verification for Duty Paid Movements”
 - The updates that will be performed in Chapter “7 Movement Verification for Duty Paid Movements” are shown in track changes in “**Annex 4-2: Movement Verification for Duty Paid**”. More specifically:
 - The Chapters 7.1.1 “Overview”, 7.1.2 “Participants, motivations and commitments”, 7.1.3 “General conditions”, 7.1.8 “Major result” and 7.1.9 “Minor results” will be updated;
 - EBPs “UC-315-230- Prepare and send results message” and “UC-315-130- Receive results message” will be updated;
 - 2 new EBPs (i.e. UC-315-140 and UC-315-250) will be introduced.
- Figure “STD 1 Requests – Requesting MSA” will be updated to indicate the following state transitions:
 - from “Open”, “Extended” or “Late” state to the “Answered and Feedback Expected” state with the reception of an IE867 message indicating that feedback is requested;
 - from “Answered and Feedback Expected” state to “Closed” state with the transmission of an IE867 message indicating that feedback is provided.

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- Figure “STD 2 Requests - Requested MSA” will be updated to indicate the following state transitions:
 - from “Open”, “Extended” or “Late” state to the “Answered and Feedback Expected” state with the transmission of an IE867 message indicating that feedback is requested;
 - from “Answered and Feedback Expected” state to “Closed” state with the reception of an IE867 message indicating that feedback is provided.
- Figure “STD 7 Movement Verification Requests – Requesting MSA” will be updated to indicate the following state transitions:
 - from “Open”, “Extended” or “Late” state to the “Answered and Feedback Expected” state with the reception of an IE725 message indicating that feedback is requested;
 - from “Answered and Feedback Expected” state to “Closed” state with the transmission of an IE725 message indicating that feedback is provided.
- Figure “STD 8 Movement Verification Requests – Requested MSA” will be updated to indicate the following state transitions:
 - from “Open”, “Extended” or “Late” state to the “Answered and Feedback Expected” state with the transmission of an IE725 message indicating that feedback is requested;
 - from “Answered and Feedback Expected” state to “Closed” state with the reception of an IE725 message indicating that feedback is provided.

The diagrams included in Sections 5.2.4 "Process flow diagram" and 7.1.4 "Process flow diagram" will be updated as per the updates described above.

- Appendix D:Functional messages
 - A new Data Group, that is <FEEDBACK REQUEST> will be added under the <(ADMINISTRATIVE COOPERATION) ACTION RESULT> Data Group of the IE867 message and also under the <ACTIONS RESULT> Data Group of the IE725 message.The optionality of the aforementioned Data Group shall be “Optional”, i.e. “O” and its’ multiplicity shall be 1.The Data Items of the new Data Group along with their optionality and the rules and conditions applying to each of them is as follows:

FEEDBACK REQUEST

<i>Feedback Requested or Provided</i>	R	n1	Rule243	
<i>Follow up Actions</i>	C	an..999		Cond188
<i>Follow up Actions_LNG</i>	C	a2	Rule018	Cond002
<i>Relevance of Information Provided</i>	C	an..999		Cond188
<i>Relevance of Information Provided_LNG</i>	C	a2	Rule018	Cond002

- Rule243 and Cond188 will be introduced as follows:

- Rule243:

The possible values of <Feedback Requested or Provided> are:

- 0 = No feedback requested
- 1 = Feedback requested
- 2 = Feedback provided

- Cond188:

IF <Feedback Requested or Provided> is "Feedback provided"

THEN

at least one of the <Follow up Actions> or <Relevance of

Information Provided> must be present

ELSE

<Follow up Actions> does not apply

<Relevance of Information Provided> does not apply

- The optionality of the Data Group <IE725.ACTIONS Result> shall be updated from Required ('R') to Conditional ('C');
- The optionality of the Data Group <IE725.CONTACT> shall be updated from Required ('R') to Conditional ('C');
- A new condition, that is Cond189, shall apply to the <IE725.ACTIONS Result> and the <IE725.CONTACT> Data Groups. The specific condition shall read as follows:

- Cond189:

IF <Feedback Requested or Provided> is "Feedback provided"

THEN

<CONTACT> is 'O'

<ACTIONS Result> does not apply

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	<i>ELSE</i> <CONTACT> is 'R' <ACTIONS Result> is 'R'
Impact assessment	Specification documents: <ul style="list-style-type: none"> FESS (Medium); DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, the lack of the feedback procedure by a Requesting MSA on further action taken on the basis of information received from the Requested MSA (through the Administrative Cooperation functionality) will be retained in FESS. Therefore, FESS will continue not being aligned with Articles 8, 15 and 16 of Regulation (EU) 389/2012.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: -; Children RFCs: DDNEA-P3-221; Other RFCs:-.

Indicative changes to legislation

Draft recital for information	Implementing Regulation 2016/323
Location of change in Legislation	Implementing Regulation 2016/323

Approval Process

CAB recommendation	<ul style="list-style-type: none"> Category of the Change: Review Approval process: <ul style="list-style-type: none"> The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
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Review results	
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FESS-191 – Reduce the scope of the History Results (IE820) and the History Request Functionality

RFC Information

RFC number	FESS-191
RFC status	Closed
Reason for Change	Change of Functionality
Incidents	IM149949
Known Error	N/A
Date at which the Change was proposed	07/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>Currently, UC3.29 “Request for history information” of FESS v3.65, Section IV: “Follow Up and Collaboration” (which uses the IE721-IE820 messages) largely duplicates the Download of an e-AD UC2.51 (which uses the IE784-IE785-IE838 messages).</p> <p>More specifically, the IE820 (History results) currently may contain:</p> <ul style="list-style-type: none"> • the IE838 message, i.e. all records concerning the requested movement (requested ARC); • the IE721 messages, i.e. all the Administrative cooperation requests concerning the requested movement (requested ARC) with <Request Type> = "1: Administrative cooperation"; and • the IE867 messages, i.e. all the Administrative cooperation results or Spontaneous Information concerning the requested movement (requested ARC). <p>Evidence from the production of EMCS revealed that UC3.29 “Request for history information” of FESS v3.65 is rarely used by the Member States.</p> <p>Furthermore, when the MSAs were requested (following the EMCS Administrative Cooperation (ACO) and Phase 3.3 Workshop of 20-21/01/2016) to provide the national EMCS' retention period for online information, the MSAs replied that they retain or they are willing to retain the movement information online (i.e. not archived) for at least 5 years.</p> <p>According to Article 21 of the Council Regulation (EU) No 389/2012:</p> <p><i>“Each Member State shall keep the information concerning movements of excise goods within the Union and the records contained in the national registers referred to in Article 19 for at least five years from the end of the calendar year in which the movement began, in order that such information can be used for the procedures provided for in this Regulation. That period may be limited to three years with respect to information entered into the national registers before 1 July 2012.”</i></p>

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Considering all the above, the present RFC proposes to reduce the scope of UC3.29 “Request for history information” of FESS v3.65, so that it is used for requesting and receiving the history information concerning only administrative cooperation exchanges (i.e. IE721 and IE867 messages) pertaining to a given movement. The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.

Proposed Solution:

As per the analysis in the [Problem Statement] section, the following updates will be implemented in:

1) FESS Appendix D: Functional Messages:

- The <ARCHIVES INFORMATION> and the <C_HIS_VAL> Data Groups as well as the Data Items included in these Data Groups will be removed from the IE820 message.
- The “Complement of Information Expected flag” Data Item, which was previously included in the <ARCHIVES INFORMATION> Data Group of the IE820, shall now be inserted in the <ATTRIBUTES> Data Group of the IE820, as follows :

Complement of Information Expected flag R n1 Rule004

- Rule102 shall be deleted as it is applied only to “Archives Refusal Reason Code” Data Item which along with the <ARCHIVES INFORMATION> Data Group shall be deleted.
- Cond124 shall be deleted as it is applied only to “Archives Refusal Reason Complement” Data Item which as part of the <ARCHIVES INFORMATION> Data Group shall be deleted.
- Cond037 shall be deleted as it is applied only to “Archives Used Flag” Data Item which along with the <ARCHIVES INFORMATION> Data Group shall be deleted.

2) FESS Appendix B: List of Codes:

- The statement: “Used in the rule102 in the message IE820” in “2.8 REFUSAL REASONS” Code List shall be removed as Rule102 shall be deleted from the list of rules.

3) FESS Section IV Follow-Up and Collaboration:

- Section 6 “Access to the history of movements” shall be updated as described in detail in “**Annex 5-1: Access to the history of movements**”.
- Section “6.1.1 Overview” shall be updated as described in detail in “**Annex 5-2: Overview**”;
- Section “6.1.3 General conditions - Trigger” the following text:

“the requesting ELO need to access an offline information at the

	<p><i>MSA that initially registered the operator or the movement”</i></p> <p>Will be updated as follows:</p> <p><i>“the requesting ELO need to access history information concerning administrative cooperation exchanges of an identified movement at the MSA that initially registered the operator or the movement”</i></p> <ul style="list-style-type: none"> Section “6.1.3 General conditions – Pre-conditions” the following text: <p><i>“the history data requested is not anymore available through online consultation”</i></p> <p>Will be updated as follows:</p> <p><i>“none”</i></p> <ul style="list-style-type: none"> Section “6.1.5 Major event” the following text: <p><i>“the requesting ELO needs to consult data that are not kept any longer available for consultation”</i></p> <p>Will be updated as follows:</p> <p><i>“the requesting ELO needs to consult historical data concerning administrative cooperation exchanges of an identified movement for consultation”</i></p> <ul style="list-style-type: none"> EBP: (UC-329-220 – Prepare history results message) will be updated as described in detail in “Annex 5-3: EBP: UC-329-220 – Prepare history results message”.
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> FESS (Medium); DDNEA for EMCS Phase 3 (Medium)
Effect of not implementing the Change	<p>The effect of not performing the updates in FESS will be to retain the issue of the duplication of the movement history request from IE721 message and as a consequence IE838 message will continue not to be used by MSAs in production.</p>
Risk assessment	<p>There is no risk associated with the implementation of the present RFC.</p>
Deployment approach	<p>The deployment approach is addressed in the downstream DDNEA RFC</p>
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: -; Children RFCs: DDNEA-P3-222; Other RFCs: -.

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Indicative changes to legislation

Draft recital for information	No impact on the current legislation.
Location of change in Legislation	Implementing Regulation 2016/323

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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FESS-192 – Sharing National Domain messages via Movement Download

RFC Information

RFC number	FESS-192
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM102922, IM87331
Known Error	N/A
Date at which the Change was proposed	18/08/2014
Requester	MSA BE

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>During the ACO Workshop held in Brussels on 20/01/2016-21/01/2016, it was discussed that in some situations (e.g. export), it is useful to quickly share messages of National Domain movements with other Member States via the Movement Download mechanism.</p> <p>For example, it may be useful to share messages of National Domain movements that make an incursion through another MSA's territory. For example, a movement from Givet (France) to Lille (France) via the Belgian territory could be made available to Belgian excise officers for control purposes.</p> <p>Additionally, when an e-AD is created for export with the MSA of Dispatch being the MSA of Export as well, there is no way for the Office of Exit located in another member state to consult the contents of this e-AD*. More specifically, upon receipt of the IE784 request, the MSA of Dispatch only returns a results (IE785) message but no history (IE838) message, since no message had been exchanged over the Common Domain.</p> <p><i>*It is assumed that the Office of Exit would gain access to the e-AD via EMCS (with the MS acting as the Requesting MSA since, the Office of Exit does not have access to the Movement Download mechanism of EMCS).</i></p> <p>The present RFC proposes the necessary updates so as allow messages for National Movements to be shared over the Common Domain via the Movement Download mechanism.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following update shall be performed in FESS:</p> <ul style="list-style-type: none"> • Section II: Core Business <ul style="list-style-type: none"> ○ EBP UC-251-310- "Perform retrieval"

	<ul style="list-style-type: none"> ▪ The following text: <p><i>"The initiator MSA application first sends a results message (IE785:C_REQ_RES) with the following information</i></p> <ul style="list-style-type: none"> • <i>ARC of the requested movement;</i> • <i>The local state of the movement;"</i> <p>will be updated as follows:</p> <p><i>"The initiator MSA application first sends a results message (IE785:C_REQ_RES) with the following information</i></p> <ul style="list-style-type: none"> • <i>ARC of the requested movement;</i> • <i>The local state of the movement.</i> <p><i>If a national movement related to the requested ARC exists, then the local state of this movement must be provided. The initiator MSA may also provide additional information on a voluntary basis."</i></p> ▪ The following text: <p><i>"Additionally, if the e-AD was retrieved, the initiator MSA application builds the history message of the movement (IE838:C_HIS_VAL) including the successive states of the e-AD as well as all attached reports of receipt, of control and of event. It sends the history message (IE838:C_HIS_VAL) back to the requesting MSA."</i></p> <p>will be updated as follows:</p> <p><i>"Additionally, if the e-AD was retrieved, the initiator MSA application builds the history message of the movement (IE838:C_HIS_VAL) including the successive states of the e-AD as well as all attached reports of receipt, of control and of event. It sends the history message (IE838:C_HIS_VAL) back to the requesting MSA. In case any national movements exist related to the retrieved ARC, the initiator MSA can include the messages for national movements in the history message (IE838:C_HIS_VAL). The pre-condition is that these national messages should first be translated into the Common Domain messages type and format."</i></p> • Appendix D:Functional Messages <ul style="list-style-type: none"> ○ A new Data Item, that is the "National Movement Information Requested flag" will be inserted in the < EXCISE MOVEMENT e-AD> Data Group of the IE784 message as follows: <p style="text-align: center;"><i>National Movement Information Requested flag R n1 Rule004</i></p>
Impact assessment	Specification documents: <ul style="list-style-type: none"> • FESS (Medium);

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	<ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then it would not be possible to share messages of National Movements over the Common Domain.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-223; • Other RFCs:-.

Indicative changes to legislation

Draft recital for information	No impact on the current legislation
Location of change in Legislation	Implementing Regulation 2016/323

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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FESS-193 – Allow exchanging of certain Follow-up and Collaboration information for Distance Selling excise movements or any undocumented excise movement

RFC Information

RFC number	FESS-193
RFC status	Closed
Reason for Change	Increase of Functionality
Incidents	IM151058, IM108438, IM113519
Known Error	KE13763, KE13808
Date at which the Change was proposed	09/03/2015
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>During the ACO Workshop held in Brussels on 20/01/2016-21/01/2016, it was discussed (among other issues), the lack of exchanging certain Follow-up and Collaboration information for duty paid – business to business movements (i.e. duty paid B2B movements), duty paid – distance selling movements (i.e. duty paid B2C movements) and any undocumented movements (i.e. movements using a non-European Union standard document).</p> <p>More specifically, it was revealed that there is a need to:</p> <ol style="list-style-type: none"> 1. Allow cooperation on request (in alignment with Article 8 of Regulation (EU) 389/2012) for duty paid B2C movements and any undocumented movements; 2. Allow exchanging an Event Report IE840 (in alignment with Article 15 of Regulation (EU) 389/2012) for a duty paid B2B movement, a duty paid B2C movement or any undocumented movement; 3. Allow exchanging a Control Report IE717 (in alignment with Article 15 of Regulation (EU) 389/2012) for a duty paid B2B movement, a duty paid B2C movement or any undocumented movement (i.e. movement using a non-European Union standard document). <p>The present RFC proposes the necessary updates in FESS v3.65 in order to incorporate the abovementioned functionality.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates shall be performed in FESS:</p> <ul style="list-style-type: none"> • Section IV: Follow Up and Collaboration <ul style="list-style-type: none"> ○ Chapter “7 Movement Verification for Duty Paid

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Movements”

- The introductory text of Chapter “7 Movement Verification for Duty Paid Movements”, the Chapter “7.1.1 Overview”, the Chapter “7.1.2 Participants, motivations and commitments”, the “7.1.4 Process flow diagram” and “7.1.5 Major event” will be updated in order to indicate that the MVS functionality shall enable the electronic exchange of information for the verification of duty paid B2B movements, duty paid B2C movements and any undocumented movements (i.e. movements using a non-European Union standard document). The proposed updates in the abovementioned Chapters are shown via track changes, in “**Annex 6-1: - Movement Verification for Duty Paid Movements**”;
- In the Chapter “7.1.7 Processes”, the EBP “UC-315-110 Prepare request message” will be updated, in order to be aligned with the updates performed in the IE722 message (see updates in FESS Appendix D below). In addition, the list of the grounds for the request and the list of the predefined verification actions shall be removed from the specific EBP, since they are considered redundant. More specifically, these lists refer to the code list values of the “MOVEMENT VERIFICATION REQUEST REASONS” and “MOVEMENT VERIFICATION ACTIONS” code lists, respectively, which are already provided and maintained in FESS Appendix B. The exact updates in the EBP “UC-315-110 Prepare request message” are shown via track changes, in “**Annex 6-1: - Movement Verification for Duty Paid Movements**”.
- Chapter “3.1 Submission of an event report (UC3.24)”
 - The Chapter “3.1.1 Overview” will be updated in order to indicate that an Event Report IE840 can be submitted for either a duty suspension movement or a duty paid B2B movement or a duty paid B2C movement or an undocumented movement (i.e. a movement using a non-European Union standard document). The exact updates in the Chapter “3.1.1 Overview” are shown via track changes, in “**Annex 6-2: Submission of an event report (UC3.24)**”;
 - In the Chapter “3.1.7 Processes”, EBPs “UC-324-210 Submit draft event report”, “UC-324-310 Check draft event report” and “UC-324-410 Validate event report” will be updated in order to be aligned with the updates performed in the IE840 message (see updates in FESS Appendix D below). The exact updates in the aforementioned EBPs are indicated

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via track changes, in “**Annex 6-3: EBPs**”.

- Chapter “3.2 Control and submission of the control report (UC3.03)”
 - The Chapters “3.2.1 Overview” and “3.2.5 Major event” will be updated in order to indicate that a Control Report IE717 can be submitted for either a duty suspension movement or a duty paid B2B movement or a duty paid B2C movement or an undocumented movement (i.e. a movement using a non-European Union standard document). The exact updates in these Chapters are shown via track changes, in “**Annex 6-4: Control and submission of the control report (UC3.03)**” and “**Annex 6-5: Major Events - Processes**”;
 - In the Chapter “3.2.7 Processes”, EBPs “UC-303-110 Perform control”, “UC-303-120 Submit draft control report”, “UC-303-210 Check draft control report”, “UC-303-310 Register control report at MSA of dispatch” and “UC-303-410 Register control report at MSA of destination” will be updated, in order to be aligned with the updates performed in the “3.2.1 Overview” (mentioned above) and the IE717 message (see updates in FESS Appendix D below). The exact updates in these Chapters are shown via track changes, in “**Annex 6-5: Major Events - Processes**”;
- Appendix D:Functional messages

IE722 message: The IE722 message will be updated as indicated in “**Annex 6-6: IE722, IE840, IE717 messages**”. The main updates include the following:

- The <SAAD DOCUMENTS> Data Group, along with the Data Items contained in this, will be removed from the IE722 message;
- A new Data Group, that is <OTHER ACCOMPANYING DOCUMENT> will be added under the <TRADER Person Consignee> Data Group of the IE722 message;
- The Data Group <DOCUMENTS> will be inserted in the IE722 under the <MEANS OF TRANSPORT> Data Group. *(The name of the <DOCUMENTS> Data Group and its Data Items, along with their format, optionality and rules and conditions applying to each of them, will be implemented in alignment with the updates proposed in the RFCs FESS-188 and FESS-186).* That is, the <DOCUMENTS> Data Group in the IE722 message shall become aligned with the <SUPPORTING DOCUMENTS> Data Group (and its constituent

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elements) in the IE721 and IE867 messages (as per RFCs FESS-186 and FESS-188);

- Conditions Cond166, Cond167, Cond168 shall be updated as indicated in “**Annex 6-6: IE722, IE840, IE717 messages**”;

IE840 message: The IE840 message will be updated as indicated in “**Annex 6-6: IE722, IE840, IE717 messages**”. The main updates include the following:

- The Data Items “ARC” and “Sequence Number” will be removed from the Data Group <EVENT REPORT HEADER> and Cond144 will be updated accordingly;
- The Data Group <EXCISE MOVEMENT e-AD> will be inserted in the IE840 under the <EVENT REPORT HEADER> Data Group;
- The new Data Group <OTHER ACCOMPANYING DOCUMENT> will be inserted in the IE840 under the newly inserted <EXCISE MOVEMENT e-AD> Data Group (see above). The <OTHER ACCOMPANYING DOCUMENT> will also contain three nested Data Groups: <TRADER Person Involved in Movement>, <GOODS ITEM> and <MEANS OF TRANSPORT>;
- Three Data Items: “Description of the Goods”, “CN Code” and “Additional code” will be inserted in <EVENT REPORT Body> Data Group. In addition the “Body Record Unique Reference” Data Item of the <EVENT REPORT Body> Data Group will be updated from “Optional” (“O”) to “Conditional” (“C”) and a new Condition will apply to this (as indicated in “**Annex 6-6: IE722, IE840, IE717 messages**”).

IE717 message: The IE717 message will be updated as indicated in “**Annex 6-6: IE722, IE840, IE717 messages**”. The main updates include the following:

- The Data Items “ARC” and “Sequence Number” will be removed from the Data Group <CONTROL REPORT HEADER>;
- The Data Group <EXCISE MOVEMENT e-AD> will be inserted in the IE717 under the <CONTROL REPORT HEADER> Data Group;
- The new Data Group <OTHER ACCOMPANYING DOCUMENT> will be inserted in the IE717 under the newly inserted <EXCISE MOVEMENT e-AD> Data Group (see above). The <OTHER ACCOMPANYING DOCUMENT> will also contain three nested Data Groups: <TRADER Person Involved in Movement>, <GOODS ITEM> and <MEANS OF TRANSPORT>;
- Three Data Items: “Description of the Goods”, “CN

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	<p>Code” and “Additional code” will be inserted in <CONTROL REPORT Body> Data Group. In addition the “Body Record Unique Reference” Data Item of the <CONTROL REPORT Body> Data Group will be updated from “Optional” (“O”) to “Conditional” (“C”) and a new Condition will apply to this (as indicated in “Annex 6-6: IE722, IE840, IE717 messages”).</p> <p><i>Note1: Complementary submission of Event Report (IE840) will be removed if RFC FESS-167 is approved.</i></p> <p><i>Note2: The following updates to the format and the name of the Data Item “Complementary Information” included in the <MEANS OF TRANSPORT> will be performed to IE717 and IE840 messages if RFC DDNEA-P3-217 is approved:</i></p> <ul style="list-style-type: none"> ○ The Data Item “Complementary Information” included in the <MEANS OF TRANSPORT> Data Group in the IE717 and IE840 messages will be renamed to “ACO_Complementary Information” and its format will be updated from “an..350” to “an..999”; ○ The Data Item “Complementary Information_LNG” included in the <MEANS OF TRANSPORT> Data Group in the IE717 and IE840 messages will be renamed to “ACO_Complementary Information_LNG”.
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (High); • DDNEA for EMCS Phase 3 (High).
Effect of not implementing the Change	<p>If the proposed change is not implemented, the specific Follow-up and Collaboration information will not be exchanged for duty paid – business to business movements (i.e. duty paid B2B movements), duty paid – distance selling movements (i.e. duty paid B2C movements) or any undocumented movements (i.e. movements using a non-European Union standard document). Therefore, FESS will continue not being aligned with Articles 8 and 15 of Regulation (EU) 389/2012.</p>
Risk assessment	<p>There is no risk associated with the implementation of the present RFC.</p>
Deployment approach	<p>The deployment approach is addressed in the downstream DDNEA RFC.</p>
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-224; • Other RFCs: FESS-167, FESS-186, FESS-187, FESS-188, FESS-189, FESS-190, FESS-194 and FESS-198.

Indicative changes to legislation

Draft recital for information	No impact in the current legislation.
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review
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	<ul style="list-style-type: none"> • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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FESS-194 – Reflection of Legal requirements in the Common Specifications

RFC Information

RFC number	FESS-194
RFC status	Closed
Reason for Change	Change of Functionality
Incidents	IM151059
Known Error	N/A
Date at which the Change was proposed	19/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>In the current situation, some processes in the Excise Functional Specifications (FESS v3.65) are not always in line with the existing Regulation (EU) 389/2012. This RFC concerns the update of common specification (FESS v3.65) in order to:</p> <ol style="list-style-type: none"> 1. better align the processes falling under Articles 15 and 16 of Regulation (EU) 389/2012 to reflect better the legislation (e.g. mandatory exchanges, spontaneous exchanges timers); 2. introduce Legal Deadline Timers (1 month/3 months) in the Cooperation on Request processes; 3. enforce the usage of the Automatic Processes (i.e. Status Request, Status Synchronisation Request, e-AD Download UC2.51 or General query to retrieve an e-AD UC2.52) before the launching an Administrative cooperation – request for assistance. <p>The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates will be implemented in FESS:</p> <ol style="list-style-type: none"> 4. Section IV Follow-Up and Collaboration: <ul style="list-style-type: none"> • Section “3.1.1 Overview - Submission of an event report (UC3.24) - ” the following text: <p><i>“Submission of an event report is recommended as soon as it has potential consequences on the results of the movement, in particular significant shortages. After examination, the MSA of submission considers whether an event is worth entering into</i></p>

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investigation procedures and/or that complementary controls must be achieved”.

shall be updated as follows:

“Submission of an event report is mandatory where one of the cases, referred to in points (a) to (e) of Article 15(1) of Regulation (EU) No 389/2012, is detected and shall be sent as soon as it has potential consequences on the results of the movement, in particular significant shortages, within seven days of the moment when the competent authority becomes aware of the event (as defined in Article 14 of Implementing Regulation (EU) 2016/323). After examination, the MSA of submission considers whether an event is worth entering into investigation procedures and/or that complementary controls must be achieved.”

- Section “3.2.1 Overview - Control and submission of the control report (UC3.03)” shall be updated as described in detail in **“Annex 7-1: Overview - Control and Submission of the Control Report (UC3.03)”**.
- Section “3.3.1 Overview - Interruption of a movement (UC3.05) - ” the following text:

“The interruption message (including the reference of the event or control report) is sent to the previously nominated MSA of destination and to the MSA of dispatch.”

shall be updated as follows:

“Submission of the interruption message (including the reference of the event or control report) is mandatory where one of the cases, referred to in points (a) to (e) of Article 15(1) of Regulation (EU) No 389/2012, is detected and shall be sent to the previously nominated MSA of destination and to the MSA of dispatch, within one day of the moment when the MSA of interruption becomes aware of the definitive interruption (as defined in Article 12 of Implementing Regulation (EU) 2016/323).”

- Section “5.1.1 Overview - Administrative cooperation - spontaneous information (UC3.01)” shall be updated as described in detail in **“Annex 7-2: Administrative cooperation - spontaneous information (UC3.01)”**.
- Section “5.2.1 Overview - Administrative cooperation - request for assistance (UC3.07)” shall be updated as described in detail in **“Annex 7-3: Overview - Administrative cooperation - request for assistance (UC3.07)”**.
- Section “6.1.1 Overview - Request for history information

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(UC3.29)" shall be updated as described in detail in the attached document "**Annex 7-4: Overview - Request for history information (UC3.29)**".

- Section "7.x.1 Overview - Movement Verification -request" shall be updated as described in detail in "**Annex 7-5: Overview - Movement Verification -request**".

- Section "2.1 Administrative cooperation" the following text:

"The Administrative cooperation in the field of Excise duties is currently ruled by the Council Regulation (EC) No 2073/2004. of 16 November 2004 on administrative cooperation in the field of excise duties, hereafter referred to as "the Regulation"."

shall be updated as follows:

"The Administrative cooperation in the field of Excise duties is currently ruled by the Council Regulation (EU) No 389/2012 of 2 May 2012 on administrative cooperation in the field of excise duties, hereafter referred to as "the Regulation"."

- Section "3.2.7 Processes", EBP "UC-303-110: Perform control" the following text:

"According to Article 24 of Regulation (EC) N° 2073/2004, the control officer is entitled to request the competent authorities of another Member State for additional information."

shall be updated as follows:

"The control officer is entitled to request the competent authorities of another Member State for additional information."

5. Section II Core Business:

- Section "3.4.1 Overview - Alert or rejection" shall be updated as described in detail in "**Annex 7-6: Overview - Alert or rejection**".
- Section "3.12.1 Overview - Download of an e-AD (UC2.51)" the following text:

"The function remains available during the whole time window commonly agreed by the Member States, i.e. at least three years after the date of submission of the e-AD (See Article 25.1 of Council Regulation (EC) No 2073/ 2004)."

shall be updated as follows:

"The function remains available during the whole time window commonly agreed by the Member States, i.e. at least three years after the date of submission of the e-AD (See Article 21(1) of Regulation (EU) No 389/2012)."

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6. Section I General Introduction:

- Section “1.5.1 Applicable Documents”, two new insertion (Ref “A15” and “A16”) will be added as follows:

“A15” “(EU) No 389/2012” “Council Regulation (EU) No 389/2012” “02/05/2012”

“A16” “(EU) 2016/323” “Implementing Regulation (EU) 2016/323” “24/02/2016”

- Section “1.7 Assumptions” the following text:

“According to Article 25(1) of Regulation (EC) No 2073/2004, the timeframe cannot be shorter than three years.”

shall be updated as follows:

“According to Article 21(1) of Regulation (EU) No 389/2012, the timeframe cannot be shorter than three years.”

7. Section III Seed and Reference Data:

- Section “2.1 The registration data” the following text:

“to comply with Article 22 of Council Regulation (EC) No 2073/2004 of 16 November 2004 on administrative cooperation in the field of excise duties”

shall be updated as follows:

“to comply with Article 19 of Regulation (EU) No 389/2012 of 2 May 2012 on administrative cooperation in the field of excise duties”

- Section “2.1 The registration data” the following text:

“Are considered as registration information all items that are described in Article 22 of Council Regulation (EC) No 2073/2004, namely”

shall be updated as follows:

“Are considered as registration information all items that are described in Article 19 of Regulation (EU) No 389/2012, namely”

- Section “4.1.1 Overview - Maintenance of registration data (UC1.14)” the following text:

“For movement information, Regulation (EC) No 2073/2004 [A13] explicitly quotes three years from the end of the calendar year in which the movement was initiated.”

shall be updated as follows:

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	<p><i>“For movement information, Article 21(1) of Regulation (EU) No 389/2012 [A15] explicitly quotes three years from the end of the calendar year in which the movement was initiated.”</i></p> <ul style="list-style-type: none"> • Section “6.1.3 General conditions - SEED statistics (UC3.16)” the following text: <p><i>“SEED statistics are available to the requesting MSA (See art. 26 of Regulation 2073/2004 [A13]).”</i></p> <p>shall be updated as follows:</p> <p><i>“SEED statistics are available to the requesting MSA (See Article 34 of Regulation (EU) No 389/2012 [A15]).”</i></p> <p>8. FESS Executive Summary:</p> <ul style="list-style-type: none"> • Section “1.5 SEED (System for Exchange of Excise Data) and reference data” the following text: <ul style="list-style-type: none"> ▪ <i>“register of permanently registered economic operators as described in Article 22 of Council Regulation (EC) N° 2073/2004;</i> ▪ <i>register of tax warehouses as described in Article 22 of Council Regulation (EC) N° 2073/2004;”</i> <p>shall be updated as follows:</p> <ul style="list-style-type: none"> ▪ <i>“register of permanently registered economic operators as described in Article 19 of Regulation (EU) No 389/2012;</i> ▪ <i>register of tax warehouses as described in Article 19 of Regulation (EU) No 389/2012;”</i> <p><i>Note: This update shall be performed similarly in sections 2.5 and 3.5 (of FESS Executive Summary) where the translation of the aforementioned text is provided in French and German, respectively.</i></p>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium)
Effect of not implementing the Change	<p>The effect of not performing the updates in FESS will be to retain the issue of misalignment with the current legislation (Regulation (EU) 389/2012).</p>
Risk assessment	<p>There is no risk associated with the implementation of the present RFC</p>
Deployment approach	<p>The deployment approach is addressed in the downstream DDNEA RFC</p>
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-225; • Other RFCs: -.

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Indicative changes to legislation

Draft recital for information	No impact in the current legislation.
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016

Release information

Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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FESS-195 – Describe the Status/ Status synchronisation mechanism in FESS

RFC Information

RFC number	FESS-195
RFC status	Closed
Reason for Change	Change of Functionality
Incidents	IM151060
Known Error	N/A
Date at which the Change was proposed	19/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>In the current situation, some processes in the Excise Functional Specifications (FESS v3.65) are not always in line with the existing Regulation (EU) 389/2012. This RFC concerns the Inclusion of the Status Request and Status Synchronisation Request processes in (FESS v3.65). The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates will be implemented in FESS:</p> <ol style="list-style-type: none"> Section II CORE BUSINESS - 3.3 Submission of report of receipt (UC2.06) - 3.3.7 Processes - EBP: (UC-206-210: Check draft report of receipt), as indicated in "Annex 8-2: Submission of report of receipt": <ul style="list-style-type: none"> <i>"If the e-AD does not exist (i.e. it is unknown to the MSA destination application), processing continues with use case 3.30 - Status/ status synchronisation mechanism."</i> <p>shall be added (within the "Final situation" of EBP UC-206-210) right after the following sentence:</p> <ul style="list-style-type: none"> <i>If the draft of report of receipt is found valid, processing continues with UC-206-230;</i> Section II CORE BUSINESS - 3.3 Submission of report of receipt (UC2.06) - 3.3.7 Processes - (UC-206-210: Check draft report of receipt): <ul style="list-style-type: none"> <i>"If the e-AD does not exist (i.e. it is unknown to the MSA destination application), processing continues with use case 3.30 - Status/ status synchronisation mechanism. That is, the</i>

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MSA destination application uses the mechanism of the automatic status synchronisation request, in order to identify whether an e-AD is missing due to a technical failure or a business discrepancy"

shall be added (within the "Description" of EBP UC-206-210) right after the italic text:

"When the formal validation raises errors, the MSA destination application submits the diagnostics to automatic examination according to national criteria."

3. Section II CORE BUSINESS - 3.3 Submission of a report of receipt (UC2.06) - 3.3.7 Processes:

- A new EBP: Handle response UC-206-220 shall be introduced, as defined in (ECP1-ESS-FESSv3.71-2-SECTION II CORE BUSINESS) common specifications document, right after UC-206-210 EBP as described in "**Annex 8-2: Submission of report of receipt**".

4. Section II CORE BUSINESS - 3.5. Reminder at expiry of time limit for report of receipt (UC2.33) - 3.5.1 Overview:

- *"If the e-AD is accepted and the destination is known, the MSA of dispatch sends the reminder message to the MSA of destination and to the consignor. In turn, the MSA of destination forwards the reminder message to the consignee. If the consignee is a temporary registered consignee or an exempted consignee, the MSA of destination and/or the consignor informs him."*

shall be updated as follows:

"If the e-AD is accepted, the destination is known and the MSA of dispatch detects that the e-AD in the MSA of destination is also in the accepted state (via use case 3.30 - Status/ status synchronisation mechanism), the MSA of dispatch sends the reminder message to the MSA of destination and to the consignor. In turn, the MSA of destination forwards the reminder message to the consignee. If the consignee is a temporary registered consignee or an exempted consignee, the MSA of destination and/or the consignor informs him."

5. Section II CORE BUSINESS - 3.5. Reminder at expiry of time limit for report of receipt (UC2.33) - 3.5.3 General Conditions – Trigger:

- *"the time limit of timer expires"*

shall be updated as follows:

"the time limit of timer expires and the MSA of dispatch detects that the e-AD in the MSA of destination is also in the accepted state (via use case 3.30 - Status/ status synchronisation mechanism)"

6. Section II CORE BUSINESS - 3.5. Reminder at expiry of time limit for report of receipt (UC2.33) - 3.5.5 Major event

- *"the time limit of timer expires"*

shall be updated as follows:

"the time limit of timer expires and the MSA of dispatch application detects that the e-AD in the MSA of destination application is also in the accepted state (via use case 3.30 - Status/ status synchronisation mechanism)."

7. Section II CORE BUSINESS - 3.5. Reminder at expiry of time limit for report of receipt (UC2.33) - 3.5.7 Processes - (EBP: Expiry of timer - UC-233-210):

- *"Else, the application of the MSA of dispatch:"*

shall be updated as follows:

"Else, the application of the MSA of dispatch (which detected that the e-AD in the MSA of destination is also in the accepted state via use case 3.30 - Status/ status synchronisation mechanism):"

8. Section IV Follow-Up and Collaboration:

- Section "8 Status Synchronisation Mechanism" shall be included as described in detail in "**Annex 8-1: Status synchronisation mechanism**".

9. Appendix B: List of Codes

- Introduction of Section "2.28 REQUESTED MESSAGE TYPE" as follows:

Segment corresponding: REQUESTED MESSAGE TYPE

Used in Rule247 in the messages IE784 and IE785.

Code	Description	Remarks
<i>IE801</i>	<i>E-AD</i>	
<i>IE803</i>	<i>NOTIFICATION OF DIVERTED E-AD</i>	
<i>IE807</i>	<i>INTERRUPTION OF MOVEMENT</i>	
<i>IE810</i>	<i>CANCELLATION OF AN E-AD</i>	
<i>IE813</i>	<i>CHANGE OF DESTINATION</i>	
<i>IE818</i>	<i>ACCEPTED OR (PARTIALLY) REFUSED REPORT OF RECEIPT/EXPORT</i>	

<i>IE819</i>	<i>ALERT OR REJECTION OF AN E-AD</i>	
<i>IE829</i>	<i>NOTIFICATION OF ACCEPTED EXPORT</i>	
<i>IE839</i>	<i>REJECTION OF E-AD FOR EXPORT</i>	
<i>None</i>	<i>NONE</i>	

10. Appendix D: Functional Messages

- The format of the Data Item <IE784. ATTRIBUTES.Request Correlation Identifier> will be updated as follows:

Request Correlation Identifier R an..44 Rule193

- The <IE784. EXCISE MOVEMENT e-AD> Data Group shall be updated to include the following Data Items as follows:

Status R an3 Rule210
Last Received Message Type R an..5 Rule246
Status Request Message Type O n1 Rule247

- The format of the Data Item <IE785. ATTRIBUTES.Request Correlation Identifier> will be updated as follows:

Request Correlation Identifier R an..44 Rule193

- The <IE785. EXCISE MOVEMENT e-AD> Data Group shall be updated to include the following Data Item as follows:

Last Received Message Type R an..5 Rule246

- Rule246 shall be included in FESS Appendix D as follows:

“An existing <Last Received Message Type> in the list of <REQUESTED MESSAGE TYPE>”

- Rule247 shall be included in FESS Appendix D as follows:

*“The possible values of <Status Request Message Type> are:
- 1 = Status Synchronisation Request
- 2 = Movement History Request”*

Impact assessment

Specification documents:

- FESS (Medium);
- DDNEA for EMCS Phase 3 (None)

Effect of not implementing the

If the proposed change is not implemented, then the Status Request and Status Synchronisation Request mechanism will not be included in

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Change	(FESS v3.65) specification.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	N/A
Reference to other RFCs	There is no reference to any other RFCs.
Indicative changes to legislation	
Draft recital for information	No impact in the current legislation.
Location of change in Legislation	Implementing Regulation 2016/323
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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FESS-196 – Transfer the processes “Download of an e-AD (UC2.51)” and “General query to retrieve an e-AD (UC2.52)” from FESS Section II “Core Business” to Section IV “Follow-up and Collaboration”

RFC Information

RFC number	FESS-196
RFC status	Closed
Reason for Change	Change of Functionality
Incidents	IM151063
Known Error	N/A
Date at which the Change was proposed	19/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>Before launching ACO request which involves manual intervention of MSAs official, NEA proceeds with available automatic processes. For this reason several use cases from (FESS v3.65) Section II on Core business would need to be presented in Section IV Follow up and Collaboration. This RFC concerns the transfer of the processes “Download of an e-AD (UC2.51)” and “General query to retrieve an e-AD (UC2.52)” from Section II “Core Business” to Section IV “Follow-up and Collaboration”. The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates will be implemented in FESS:</p> <ol style="list-style-type: none"> 1. Section II Core Business: <ul style="list-style-type: none"> • Section “3.12 Download of an e-AD (UC2.51)” shall be removed. • Section “3.13 General query to retrieve an e-AD (UC2.52)” shall be removed. 2. Section IV Follow-Up and Collaboration: <ul style="list-style-type: none"> • Section “9.1 Download of an e-AD (UC3.31)” shall be included as described in detail in “Annex 9-1: Download of an e-AD”. • Section “10.1 General queries to retrieve an e-AD (UC3.32)” shall be included as described in detail in “Annex 9-2: General

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	query to retrieve an e-AD”.
Impact assessment	Specification documents: <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (None)
Effect of not implementing the Change	If the proposed change is not implemented, then the processes “Download of an e-AD (UC2.51)” and “General query to retrieve an e-AD (UC2.52)” will not be included in (FESS v3.65) specification.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	N/A
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: -; • Other RFCs: FESS-194.
Indicative changes to legislation	
Draft recital for information	No impact in the current legislation.
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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FESS-197 – Set Journey Time per Transport Mode

RFC Information

RFC number	FESS-197
RFC status	Closed
Reason for Change	Change of Functionality
Incidents	IM151062
Known Error	N/A
Date at which the Change was proposed	19/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>In the current situation of EMCS, the journey time limits are a source of fraud. The journey time estimate is performed by the consignor when submitting a draft e-AD. The consignor provides the normal period of time necessary for the journey, taking into account the means of transport and the distance involved, expressed in hours (H) or days (D), with maximum possible value the 92 days (Regulation (EU) 684/2009, Annex I, Tables 1, 3, 5).</p> <p>For improving the quality of the data submitted by the traders in the draft e-ADs and for reducing the risk of fraud, lower journey time limits based on the mode of transport of each movement shall be established and a new rule for checking the information added in a draft e-AD should be introduced. The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates will be implemented in FESS:</p> <ol style="list-style-type: none"> Appendix D: Functional Messages: <ul style="list-style-type: none"> The Data Item <IE709. SYSTEM PARAMETERS. Maximum Journey Time> shall be removed. The structure of the IE709 message shall be updated so as to incorporate the new Data Group <MAXIMUM JOURNEY TIME PARAMETERS> before the <IE709. EXCISE PRODUCTS CATEGORY PARAMETERS for Splitting> Data Group as follows: <p style="text-align: center;"><i>MAXIMUM JOURNEY TIME PARAMETERS 8x 0</i></p> The following Data Items shall be included in the < IE709. MAXIMUM JOURNEY TIME PARAMETERS> Data Group:

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	<p><i>Maximum Journey Time</i> R an3 Rule248</p> <p><i>Transport Mode Code</i> R n..2 Rule056</p> <ul style="list-style-type: none"> The structure of the IE709 message shall be updated so as to incorporate the new Data Group <ACTION> under the <IE709. MAXIMUM JOURNEY TIME PARAMETERS> Data Group, as follows: <p style="padding-left: 40px;">ACTION 1x R</p> <ul style="list-style-type: none"> The following Data Items shall be included in the <IE709. MAXIMUM JOURNEY TIME PARAMETERS. ACTION> Data Group: <p style="padding-left: 40px;"><i>Operation</i> R a1 Rule007</p> <p style="padding-left: 40px;"><i>Activation Date</i> C dateCond001</p> <p style="padding-left: 40px;"><i>Responsible Data Manager</i> O an..35</p> <p style="padding-left: 40px;"><i>Modification Date and Time</i> O dateTime</p> <ul style="list-style-type: none"> Rule054 included in the lists of Rules: <p style="padding-left: 40px;"><i>“The format of <Journey Time> or of <Maximum Journey Time> is expressed in hours or in days, with the format P99, where:</i></p> <ul style="list-style-type: none"> - <i>“P” is a letter in (“H” = Hours or “D” = Day)</i> - <i>“99” is a two digits number</i> <p style="padding-left: 40px;"><i>If P is “H” then the number is less or equal to 24</i></p> <p style="padding-left: 40px;"><i>if P is “D” then the number is less or equal to 92</i></p> <p style="padding-left: 40px;"><i>(Note: The maximum 92 days period for the journey time is derived from the maximum limit of the TIM_EAD timer defined in Appendix H)”</i></p> <p style="padding-left: 40px;">Shall be updated as follows:</p> <p style="padding-left: 40px;"><i>“The format of <Journey Time> is expressed in hours or in days, with the format P99, where:</i></p> <ul style="list-style-type: none"> - <i>“P” is a letter in (“H” = Hours or “D” = Day)</i> - <i>“99” is a two digits number</i> <p style="padding-left: 40px;"><i>If P is “H” then the number is less or equal to 24</i></p> <p style="padding-left: 40px;"><i>if P is “D” then the number is less or equal to the possible values of <Maximum Journey Time> per <Transport Mode Code>.”</i></p> <ul style="list-style-type: none"> Rule248 shall be introduced and applied to the < IE709. MAXIMUM JOURNEY TIME PARAMETERS. Maximum Journey Time> Data Item as follows: <p style="padding-left: 40px;"><i>“The format of <Maximum Journey Time> is expressed in hours or in days, with the format P99, where:</i></p> <ul style="list-style-type: none"> - <i>“P” is a letter in (“H” = Hours or “D” = Day)</i> - <i>“99” is a two digits number</i> <p style="padding-left: 40px;"><i>If P is “H” then the number is less or equal to 24</i></p> <p style="padding-left: 40px;"><i>if P is “D” then the number is less or equal to the possible values</i></p>
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of <Maximum Journey Time> per <Transport Mode Code> as described in the following table:

<i>Transport Mode Code</i>	<i>Maximum Journey Time</i>
0 - Other	D45
1 - Sea Transport	D45
2 - Rail transport	D35
3 - Road transport	D35
4 - Air transport	D20
5 - Postal consignment	D30
7 - Fixed transport installations	D15
8 - Inland waterway transport	D35

Note 1: The value "Other" refers to multimode transport (where there is unload and reload of cargo) and covers the cases of Groupage, Export, Split and Change of destination.

Note 2: In case of export, the journey time is the estimated duration of the journey up to the exit of the EU customs territory.

2. FESS Appendix B: List of Codes:

- The context of the section "2.17 TRANSPORT MODES" included in List of Codes in FESS Appendix B: List of Codes:

"Segment corresponding: TRANSPORT MODE

Used in the rule056 in the messages IE801 and IE815.

<i>Code</i>	<i>Description</i>
0	Other
1	Sea Transport
2	Rail transport
3	Road transport
4	Air transport
5	Postal consignment
7	Fixed transport installations
8	Inland waterway transport

shall be updated as follows:

"Segment corresponding: TRANSPORT MODE

Used in the rule056 in the messages IE801 and IE815.

<i>Code</i>	<i>Description</i>
0	Other
1	Sea Transport
2	Rail transport
3	Road transport

4	Air transport
5	Postal consignment
7	Fixed transport installations
8	Inland waterway transport

Note: The "Other" code list value refers to multimode transport (where there is unload and reload of cargo) and covers the cases of Groupage, Export, Split and Change of destination.

3. FESS Appendix J – Business Rules Catalogue:

- BR007 included in the Business Rules Catalogue shall be updated as follows:

BR ID	BR007
BR Category	Relation
BR Description	It is obligatory that each journey time of the draft message is less or equal to the maximum defined journey time, considering the transport mode code of the draft message. It is permitted that the maximum defined journey time is equal to the maximum journey time for the corresponding transport mode code of the reference data in seed , or a national system parameter for journey time, if the national system parameter for journey time is less or equal to the maximum journey time.
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210
FESS Validation Rule	• the journey time is less than the maximum duration allowed (common system parameter) as defined for the transport mode of the movement and it conforms to additional national provisions.
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	1) (HEADER) E-AD.Journey Time 2) (UPDATE) E-AD.Journey Time 3) (SPLIT DETAILS) E-AD.Journey Time
Optionality	Mandatory
Comments	Following the proposed update of R054, the current Business Rule should be introduced in order to define that:

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	<div style="border: 1px solid black; padding: 5px;"> <p>a. The <Journey Time> should be less or equal to the maximum defined <Journey Time>;</p> <p>b. The maximum <Journey Time> can be either the common system parameter defined (<Maximum Journey Time>) or a National system parameter, provided that the latest is less or equal to the common system parameter.</p> </div>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • FESS (Medium); • DDNEA for EMCS Phase 3 (Medium)
Effect of not implementing the Change	The effect of not performing the updates in FESS will be to retain current situation of EMCS in which the journey time limits are a source of fraud.
Risk assessment	There is no risk associated with the implementation of the present RFC.
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-226; • Other RFCs: -.
Indicative changes to legislation	
Draft recital for information	Implementing Regulation 2016/323
Location of change in Legislation	Commission Regulation 684/2009
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016
Release information	
Release number	v3.81
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

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Review date	
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FESS-198 – MVS Spontaneous information

RFC Information

RFC number	FESS-198
RFC status	Closed
Reason for Change	Change of Functionality
Incidents	IM151061
Known Error	N/A
Date at which the Change was proposed	19/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>The present RFC concerns the introduction of spontaneous information mechanism to Movement Verification for Duty Paid Movements in FESS v3.65.</p> <p>Proposed Solution:</p> <p>As per the analysis in the [Problem Statement] section, the following updates will be implemented in FESS:</p> <ol style="list-style-type: none"> Section IV Follow-Up and Collaboration: <ul style="list-style-type: none"> Section “7 Movement Verification for Duty Paid Movements” shall be updated as described in detail in “Annex 10-1: Movement Verification for Duty Paid Movements”. Section “7 Movement Verification for Duty Paid Movements” shall be updated in order to include the new section “7.3 Movement Verification – spontaneous information (UC3.17)” as described in detail in “Annex 10-2: Movement Verification for Duty Paid Movements - spontaneous information (UC3.17)”. <p><i>Note: The implementation that is described analytically in “Annex 10-2: Movement Verification for Duty Paid Movements - spontaneous information (UC3.17)” is in alignment with the respective updates performed in the scope of the “RFC-FESS-194: Reflection of Legal requirements in the Common Specifications”.</i></p>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> FESS (Medium); DDNEA for EMCS Phase 3 (Medium)
Effect of not implementing the	If the proposed change is not implemented, then the Spontaneous Information mechanism will not be introduced to the Movement

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Change	Verification for Duty Paid Movements.
Risk assessment	There is no risk associated with the implementation of the present RFC
Deployment approach	The deployment approach is addressed in the downstream DDNEA RFC.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: -; • Children RFCs: DDNEA-P3-227; • Other RFCs: FESS--194, FESS-193, FESS-187.
Indicative changes to legislation	
Draft recital for information	No impact in the current legislation.
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016
Release information	
Release number	v3.81
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Deadline for alignment in Production	After Milestone M _h
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Review results	

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DDNEA-P3-192 – Updates in Appendix D concerning the validation of the Check Digit/ Rev2

RFC Information

RFC number	DDNEA-P3-192
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM43415
Known Error	KE11908
Date at which the Change was proposed	14/06/2013
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align the DDNEA for EMCS Phase 3 v1.77 with the updates proposed for FESS by FESS-160 RFC.</p> <p>The FESS-160 RFC proposed the insertion of a new Rule in the following Data Items:</p> <ul style="list-style-type: none"> • “ARC” Data Item included in the <EXCISE MOVEMENT e-AD> Data Group of the IE801 message; • “Event Report Number” Data Item included in the <EVENT REPORT HEADER> Data Group of the IE840 message; • “Control Report Reference” Data Item included in the <CONTROL REPORT HEADER> Data Group of the IE717 message. <p>in order to explicitly mandate the validation of the Check Digit field at the time that these Data Items are generated.</p> <p>Proposed solution:</p> <p>As per the analysis in the [Problem Statement] Section the following change will be performed in DDNEA for EMCS Phase 3 Appendix D:</p> <ul style="list-style-type: none"> • A new Rule R235 will be introduced in the list of Rules and will be applied to the following Data Items, in order to explicitly mandate the validation of the Check Digit field of these Data Items at the time they are generated: • “Administrative Reference Code” Data Item included in the <EXCISE MOVEMENT E-AD> Data Group of the IE801 message;

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	<ul style="list-style-type: none"> • “Event Report Number” Data Item included in the <(HEADER) EVENT REPORT> Data Group of the IE840 message; • “Control Report Reference” Data Item included in the <(HEADER) CONTROL REPORT> Data Group of the IE717 message. <p>The aforementioned Rule shall read as follows:</p> <p><u>R235</u></p> <p><i>“The validation of the Check Digit field shall be performed at the time the <ARC> or the <Event Report Number> or the <Control Report Reference> is generated.”</i></p>
Impact Assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium) • CTP for EMCS Phase 3 (Low). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Low). <p><i>Note: The specific RFC will have no impact on the MSAs already complying with the newly introduced Rule R235 (i.e. on those MSAs being aligned with the "Proposed Solution" section of the RFC "DDNEA-P3-108- Validations for the ARC and the Follow Up Correlation ID").</i></p>
Effect of not implementing the Change	<p>If the proposed change is not implemented, then the DDNEA for EMCS Phase 3 will be in misalignment with the changes proposed for FESS by FESS-160 RFC.</p>
Risk assessment	<p>This RFC concerns a change at the semantic level.</p> <p>More specifically, it concerns the addition of R235 on the following Data Items:</p> <ul style="list-style-type: none"> • “Administrative Reference Code” Data Item included in the <EXCISE MOVEMENT E-AD> Data Group of the IE801 message; • “Event Report Number” Data Item included in the <(HEADER) EVENT REPORT> Data Group of the IE840 message; • “Control Report Reference” Data Item included in the <(HEADER) CONTROL REPORT> Data Group of the IE717 message. <p>The specific RFC can be deployed in production in a Migration Period, since:</p> <ul style="list-style-type: none"> • Alike any other semantic validation, this rule will be validated only at the sending side of the IE801, IE840 and IE717 messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of this rule by the sender will not trigger any semantic rejection (IE906) by the receiver; • Regarding the ARC, even if the validation of the Check Digit field at the time it is generated is not performed, in accordance

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	<p>with the R235, there will be no significant business impact on the receiver, since according to the analysis presented in the [Problem Statement] section, a validation of the Check digit field of the ARC shall not be performed for the messages received over the CD, as part of the coordination protocol validations (error code 93).</p> <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE801, IE840 and IE717 messages are also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-160; • Children RFCs: CTP-P3-237; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #123 on 31/07/2014

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _n

Change Review

Review date	
Review results	

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DDNEA-P3-193 – Introduction of IE717 in TR0104

RFC Information

RFC number	DDNEA-P3-193
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM71132
Known Error	KE12261
Date at which the Change was proposed	23/01/2014
Requester	MSA BE

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>According to TR0104, applying to the <HEADER> Data Group of both the IE906 and IE917 messages, the aforementioned Data Group is required for rejecting the IE801, IE802, IE803, IE810, IE813, IE818, IE819, IE837, IE861, IE871, IE904 and IE905 messages and it must not be present for rejecting all other messages.</p> <p>The <HEADER> Data Group that exists in the IE906 and IE917 messages, contains two data items: the "Administrative Reference Code" and the "Sequence Number; and both are Required Data Items.</p> <p>In addition to the aforementioned messages, it was identified that the <HEADER> Data Group of the IE906 and IE917 messages should be required also when rejecting an IE717 message that is semantically and syntactically invalid, respectively.</p> <p>More specifically, it was identified that the IE717 message concerns an ARC and a Sequence Number and it is exchanged over the Common Domain. Moreover, the optionality of the "ARC" and "Sequence Number" Data Items included in the specific message is Required (this update has been performed due to the implementation of the RFC "DDNEA-P3-115 - Corrections for the Control Report (IE717: C_CCR_DAT) message" in DDNEA v1.71).</p> <p>Considering the above as well as the Section VIII.I.3.2.2 Syntactic layer (of DDNEA for EMCS Phase 3 Main Document), the "Administrative Reference Code" and "Sequence Number" Data Items contained in the <HEADER> Data Group (of an IE906 or IE917 message) should be present when rejecting an IE717, given that the rejected IE717 message contains a syntactically valid "Administrative Reference Code".</p> <p>Thus, the purpose of the specific RFC is to update TR0104 by including the IE717 message, so as to enable the message correlation (of the rejected IE717 with the IE906 or IE917 message) with the use of the "Administrative Reference Code" and "Sequence Number" Data Items. This correlation shall be used to support the business level.</p>

	<p>Proposed solution:</p> <p>As per the analysis in the [Problem Statement] Section the following changes are proposed to be performed in DDNEA:</p> <ul style="list-style-type: none"> • Main document <p>The following paragraph included in DDNEA for Phase 3 in Section “VIII.I.3.2.2 Syntactic layer”:</p> <p><i>“More specifically, the following cases are identified that complement Technical Rule TR0104 of Appendix D. When the received message is IE801, IE802, IE803, IE807, IE810, IE813, IE818, IE819, IE837, IE861, IE871, IE904, or IE905...”</i></p> <p>will be updated as follows:</p> <p><i>“More specifically, the following cases are identified that complement Technical Rule TR0104 of Appendix D. When the received message is IE717, IE801, IE802, IE803, IE807, IE810, IE813, IE818, IE819, IE837, IE861, IE871, IE904, or IE905....”</i></p> <ul style="list-style-type: none"> • Appendix D: Technical Message Structure <p>The current wording of TR0104:</p> <p><u>TR0104</u></p> <p><i>The HEADER Data Group is required for rejecting IE801, IE802, IE803, IE807, IE810, IE813, IE818, IE819, IE837, IE861, IE871, IE904, IE905 messages.</i></p> <p><i>It must not be present for rejecting all other messages (refer to Section “Design Principles - Exception Handling - Syntactic layer” of DDNEA for EMCS Phase 3 Main Document).</i></p> <p>will be updated as follows, in order to also include the IE717 message:</p> <p><u>TR0104</u></p> <p><i>The HEADER Data Group is required for rejecting IE717, IE801, IE802, IE803, IE807, IE810, IE813, IE818, IE819, IE837, IE861, IE871, IE904, IE905 messages.</i></p> <p><i>It must not be present for rejecting all other messages (refer to Section “Design Principles - Exception Handling - Syntactic layer” of DDNEA for EMCS Phase 3 Main Document).</i></p>
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium) • CTP for EMCS Phase 3 (None). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (None).

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	<p>NEAs:</p> <ul style="list-style-type: none"> Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the IE717 message will not be included in TR0104 so as to indicate the correlation of an erroneous IE717 with an IE906 or IE917 message.
Risk assessment	<p>This RFC concerns a change at the semantic level.</p> <p>More specifically, the current RFC proposes to update the TR0104 (that applies on the <HEADER> Data Group of both the IE906 and IE917 messages) by including the IE717 message; so as to enable the message correlation (of the rejected IE717 with the IE906 or IE917 message) with the use of the “Administrative Reference Code” and “Sequence Number” Data Items.</p> <p>The aforementioned change can be deployed in production in a Migration Period, since:</p> <ul style="list-style-type: none"> Alike any other semantic validation, this technical rule is validated only at the sending side of the IE906 and IE917 messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of this rule by the sender will not trigger any semantic rejection (IE906) by the receiver; Even if the existence of the <HEADER> Data Group in the IE906 and IE917 messages (in case of rejection of an IE717 message) is not aligned with the updated wording of TR0104, there will be no significant business impact on the receiver. The reason is that the use of the “Administrative Reference Code” and “Sequence Number” Data Items, included in the <HEADER> Data Group of the IE906 and IE917 messages, is proposed in order to support the correlation of the IE717 message with the IE906 and IE917 messages at a business level. At application level, a more robust mechanism (with the use of the “Message Identifier” and “Correlation Identifier” Data Items of the message header) has already been defined, for the correlation of the IE717 message with the IE906 and IE917 messages. <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE917 message is also exchanged over the ED.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: -; Children RFCs: -; Other RFCs: DDNEA-P3-176.
Indicative changes to legislation	
Draft recital for information	N/A
Location of change in	N/A

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Legislation	
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #121 on 21/05/2014
Release information	
Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

DDNEA-P3-195 – Validation of the “Trader Identification” Data Item when Rule045 applies to it

RFC Information

RFC number	DDNEA-P3-195
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM40669, IM26311
Known Error	N/A
Date at which the Change was proposed	17/04/2013
Requester	MSA LV

Change Assessment

Change priority	Medium									
Change Description	<p>Problem Statement:</p> <p>The current RFC proposes the necessary updates in order to align the DDNEA v1.77 for EMCS Phase 3 with the updates for FESS by FESS-156 RFC. The FESS RFC proposes the following changes:</p> <p>The FESS RFC is about the introduction of validations that will apply to the values of the Data Item “Trader Identification”, provided that Rule045 applies to it, making sure that the right structure shall be used each time.</p> <p>Proposed solution:</p> <p>As per the analysis in the [Problem Statement] section it is proposed to update rule R045 in Appendix D of the DDNEA as follows:</p> <ol style="list-style-type: none"> 1. Appendix D: Functional Messages <ul style="list-style-type: none"> o Enhance R045 in order to enforce the validation of the Data Item “TraderID” when it holds: Excise Number or Tax Warehouse Reference or Temporary authorisation. The updated rule is shown below (added text in bold letters): <p>“The possible values of <Trader Identification> are described in the following table:</p> <table border="1"> <thead> <tr> <th>Destination Type Code</th> <th>TRADER CONSIGNEE. Trader Identification</th> <th>TRADER Place of Delivery. Trader Identification</th> </tr> </thead> <tbody> <tr> <td>1 - Destination - Tax warehouse</td> <td>Excise number (1)</td> <td>Tax Warehouse Reference (Excise Number) (5)</td> </tr> <tr> <td>2 - Destination - Registered consignee</td> <td>Excise number (2)</td> <td>Any identification (*)</td> </tr> </tbody> </table>	Destination Type Code	TRADER CONSIGNEE. Trader Identification	TRADER Place of Delivery. Trader Identification	1 - Destination - Tax warehouse	Excise number (1)	Tax Warehouse Reference (Excise Number) (5)	2 - Destination - Registered consignee	Excise number (2)	Any identification (*)
Destination Type Code	TRADER CONSIGNEE. Trader Identification	TRADER Place of Delivery. Trader Identification								
1 - Destination - Tax warehouse	Excise number (1)	Tax Warehouse Reference (Excise Number) (5)								
2 - Destination - Registered consignee	Excise number (2)	Any identification (*)								

	<table border="1"> <tr> <td data-bbox="523 210 746 331">3 - Destination - Temporary registered consignee</td> <td data-bbox="746 210 1062 331">Temporary authorisation reference (4)</td> <td data-bbox="1062 210 1347 331">Any identification (*)</td> </tr> <tr> <td data-bbox="523 331 746 430">4 - Destination - Direct delivery</td> <td data-bbox="746 331 1062 430">Excise number (3)</td> <td data-bbox="1062 331 1347 430">(Does not apply)</td> </tr> <tr> <td data-bbox="523 430 746 528">5 - Destination - Exempted consignee</td> <td data-bbox="746 430 1062 528">(Does not apply)</td> <td data-bbox="1062 430 1347 528">Any identification (*)</td> </tr> <tr> <td data-bbox="523 528 746 645">6 - Destination - Export</td> <td data-bbox="746 528 1062 645">VAT number (optional)</td> <td data-bbox="1062 528 1347 645">(The data group <TRADER Place of Delivery> does not exist)</td> </tr> </table> <p>(1) The operator type of the consignee is "Authorised warehouse keeper". An existing identifier <Trader Excise Number> in the set of <TRADER AUTHORISATION>;</p> <p>(2) The operator type of the consignee is "Registered consignee". An existing identifier <Trader Excise Number> in the set of <TRADER AUTHORISATION>;</p> <p>(3) The operator type of the consignee is either "Authorised warehouse keeper" or "Registered consignee" An existing identifier <Trader Excise Number> in the set of <TRADER AUTHORISATION>;</p> <p>(4) An existing <Temporary Authorisation Reference> in the set of <TEMPORARY AUTHORISATION>;</p> <p>(5) An existing identifier <Tax Warehouse Reference> in the set of <TAX WAREHOUSE>;</p> <p>(*) For the place of delivery, "Any identification" means: a VAT number or any other identifier; it is optional.</p> <p>When the value of the "TRADER CONSIGNEE.Trader Identification" and "TRADER Place of Delivery.Trader Identification" is any of the following: "Excise Number" or "Tax Warehouse Reference" or "Temporary Authorisation Reference", then the structure of the value should comply with the structure of the "Trader Excise Number/Tax Warehouse Reference" as defined in Section 3.2 in FESS Appendix B."</p>	3 - Destination - Temporary registered consignee	Temporary authorisation reference (4)	Any identification (*)	4 - Destination - Direct delivery	Excise number (3)	(Does not apply)	5 - Destination - Exempted consignee	(Does not apply)	Any identification (*)	6 - Destination - Export	VAT number (optional)	(The data group <TRADER Place of Delivery> does not exist)
3 - Destination - Temporary registered consignee	Temporary authorisation reference (4)	Any identification (*)											
4 - Destination - Direct delivery	Excise number (3)	(Does not apply)											
5 - Destination - Exempted consignee	(Does not apply)	Any identification (*)											
6 - Destination - Export	VAT number (optional)	(The data group <TRADER Place of Delivery> does not exist)											
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (None). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium). 												
Effect of not implementing the	<p>If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-156 RFC.</p>												

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Change	
Risk assessment	<p>This RFC entails no business risks since there are no changes to the XSD and XML files of the involved messages: IE801, IE813, IE815, IE818, IE819, IE821, IE825, IE829, IE839 and IE871. Additionally, no semantic violation is expected to be encountered by the receiving MSAs, under the general EMCS principle that the responsibility for the business validity of the message lays at the sending side.</p> <p>The SEED database was also checked for "TraderID" values with lower case letters. Only one value was found that contained one letter in lower case.</p>
Deployment approach	All NEAs can deploy the current RFC within a migration period.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-156; • Children RFCs: TA-P3-089; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-156
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB, but it is subject to prior approval of FESS-156.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #122 on 06/06/2014

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

DDNEA-P3-196 – Remove the Data Group <OFFICE of Dispatch – Import> from the IE871 message

RFC Information

RFC number	DDNEA-P3-196
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM16217
Known Error	KE12271
Date at which the Change was proposed	24/10/2011
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem Statement:</p> <p>The current RFC proposes the necessary updates in order to align the DDNEA v1.77 for EMCS Phase 3 with the updates for FESS by FESS-157 RFC.</p> <p>The FESS RFC is about the removal of the Data Group <OFFICE of Dispatch – Import> from the IE871 message and the update of condition C063 accordingly, reflecting the aforementioned removal.</p> <p>Proposed solution:</p> <p>As per the analysis in the [Problem Statement] section it is proposed to remove the <OFFICE of Dispatch – Import> Data Group from the IE871 message and to amend the description of the condition C063 in the DDNEA. More specifically the following changes will be performed in the Appendices of the DDNEA:</p> <ul style="list-style-type: none"> • APPENDIX C: EMCS CORRELATION TABLES: <ul style="list-style-type: none"> ○ Delete the letter “D” against the IE871 message for the message element: MESSAGE - (DISPATCH) IMPORT OFFICE ○ Delete the letter “R” against the IE871 message for the message element: MESSAGE - (DISPATCH) IMPORT OFFICE.Reference Number • APPENDIX D: TECHNICAL MESSAGE STRUCTURE <ul style="list-style-type: none"> ○ In the IE871 message, delete the following entry from the Structure section: “(DISPATCH) IMPORT OFFICE 1x D C063” ○ In the IE871 message, delete the following entry from the Data group details section: “(DISPATCH) IMPORT OFFICE Reference Number R an8 R032”

	<ul style="list-style-type: none"> ○ The description of condition C063 will be updated by deleting the references to the <OFFICE of Dispatch - Import> shown below: <ul style="list-style-type: none"> ▪ <OFFICE of Dispatch - Import> is 'O' ▪ <OFFICE of Dispatch - Import> does not apply <p>More specifically, the description of C063 will change from:</p> <pre> "IF <Submitter Type> is "Consignor" THEN <TRADER Consignor> is 'R' <OFFICE of Dispatch - Import> is 'O' <TRADER Consignee> does not apply ELSE <TRADER Consignor> does not apply <OFFICE of Dispatch - Import> does not apply <TRADER Consignee> is 'R'" </pre> <p>To</p> <pre> "IF <Submitter Type> is "Consignor" THEN <TRADER Consignor> is 'R' <TRADER Consignee> does not apply ELSE <TRADER Consignor> does not apply <TRADER Consignee> is 'R'" </pre> <ul style="list-style-type: none"> ● APPENDIX H: DIRECTORY WITH XML SCHEMAS (XSDS) <ul style="list-style-type: none"> ○ In ie871.xsd remove the following entries: <ul style="list-style-type: none"> ▪ <xs:element name="DispatchImportOffice" type="ie:DispatchImportOfficeType" minOccurs="0" /> ▪ <xs:complexType name="DispatchImportOfficeType"> <xs:annotation> <xs:documentation> <doc:description value="(DISPATCH) IMPORT OFFICE" /> </xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="ReferenceNumber" type="emcs:ExciseOfficeCodeType" /> </xs:sequence> </xs:complexType>
Impact assessment	<p>Specification documents:</p> <ul style="list-style-type: none"> ● DDNEA for EMCS Phase 3 (Medium); ● CTP for EMCS Phase 3 (Medium).

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	<p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-157 RFC.
Risk assessment	<p>Concerning the .xsd changes (removal of the Data Group <(DISPATCH) IMPORT OFFICE>), it is considered that it has no impact on business continuity, thus, this RFC can be deployed in a Migration period provided that the application that will implement the current RFC before the end of the Migration Period, will also implement a transformation solution until M_h. More specifically:</p> <ul style="list-style-type: none"> • Assuming that the receiving application has implemented the change whereas the sending application has not, the IE871 message that will be sent will not be validated successfully by the receiving application in case it contains the Data Group <(DISPATCH) IMPORT OFFICE>. To avoid such rejections, it is proposed as a transformation solution that, the receiving application removes any occurrences of the Data Group <(DISPATCH) IMPORT OFFICE> from the IE871 messages received. It is considered that the proposed bypass solution does not entail any business continuity risk; • Assuming that the sending application has implemented the change whereas the receiving application has not, the IE871 message that will be sent will not contain the (ex-required) Data Group <(DISPATCH) IMPORT OFFICE>. On the other hand the receiving application would not necessarily be expecting the <(DISPATCH) IMPORT OFFICE> Data Group as part of the IE871 message, because according to C063 the aforementioned Data Group would either be optional or not required. This means that in the xsd file of the IE871 message, the aforementioned Data Group is defined as optional, so the absence of the aforementioned Data Group from the IE871 message would be within the expected operation of the Data Group according to C063.
Deployment approach	This RFC can be deployed within a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-157; • Children RFCs: TA-P3-090, CTP-P3-238; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-157
Location of change in Legislation	N/A

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Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #122 on 06/06/2014

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-197 – Updates in the IE813 message due to violation of Rule216 and in order to allow the change of Movement Guarantee information

RFC Information

RFC number	DDNEA-P3-197
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM58344
Known Error	N/A
Date at which the Change was proposed	27/08/2013
Requester	MSA SI

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align the DDNEA for EMCS Phase 3 v1.77 with the updates proposed for FESS by FESS-158 RFC.</p> <p>More specifically, the RFC FESS-158 proposes the required updates in order:</p> <ul style="list-style-type: none"> to overcome the issue of the violation of Rule216 in the IE801 message that is to be generated after the submission of an IE813 message, indicating either a change of MS of Destination or a change of Consignee. (This violation is caused when the value of the “Guarantor Type Code” Data Item in the initial IE801 message is set to '5: No guarantee is provided according to Article 18.4(b) of 2008/118/EC' and the value of the “Transport Mode Code” Data Item in the IE813 message is other than 'Sea Transport' or 'Fixed transport installations'); and to also allow the update of the Movement Guarantee information through the IE813 message. <p>Proposed solution:</p> <p>As per the analysis in the [Problem Statement] section, it is proposed to perform the following changes in DDNEA for EMCS Phase 3:</p> <ul style="list-style-type: none"> Appendix C: EMCS Correlation Tables <ul style="list-style-type: none"> The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE> shall apply also to the IE813 message with optionality set to “O” (i.e. “Optional”); The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE.Guarantor type code> shall apply also to the IE813 message with optionality set to “R” (i.e. “Required”); The Message Element <MESSAGE - (DESTINATION)

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	<p>CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER> shall apply also to the IE813 message with optionality set to “D” (i.e. “Dependent”);</p> <ul style="list-style-type: none"> • The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER.Trader Excise Number> shall apply also to the IE813 message with optionality set to “O” (i.e. “Optional”); • The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER.Trader Name> shall apply also to the IE813 message with optionality set to “D” (i.e. “Dependent”); • The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER.Street Name> shall apply also to the IE813 message with optionality set to “D” (i.e. “Dependent”); • The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER.Street Number> shall apply also to the IE813 message with optionality set to “O” (i.e. “Optional”); • The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER.City> shall apply also to the IE813 message with optionality set to “D” (i.e. “Dependent”); • The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER.Postcode> shall apply also to the IE813 message with optionality set to “D” (i.e. “Dependent”); • The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER.VAT Number> shall apply also to the IE813 message with optionality set to “O” (i.e. “Optional”); • The Message Element <MESSAGE - (DESTINATION) CHANGED - MOVEMENT GUARANTEE - (GUARANTOR) TRADER.NAD_LNG> shall apply also to the IE813 message with optionality set to “D” (i.e. “Dependent”); <ul style="list-style-type: none"> • The optionality of the <MESSAGE - (UPDATE) E-AD.Transport Mode Code> Message Element included in the IE813 message shall be updated from “Optional” to “Dependent”. <ul style="list-style-type: none"> • Appendix D:Technical Message Structure <ul style="list-style-type: none"> • The structure of the IE813 message shall be updated so as to incorporate the <MOVEMENT GUARANTEE> Data Group, under the <(DELIVERY PLACE) CUSTOMS OFFICE> Data Group, with optionality set to “Optional”, as follows:
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MOVEMENT GUARANTEE 1x O

- The <MOVEMENT GUARANTEE> Data Group shall include the "Guarantor type code" Data Item as follows:

MOVEMENT GUARANTEE

Guarantor type code R n..4 TC29 R215

- The structure of the IE813 message shall be updated so as to incorporate the <(GUARANTOR) TRADER> Data Group, within the <MOVEMENT GUARANTEE> Data Group, as follows:

(GUARANTOR) TRADER 2x D C017

- The <(GUARANTOR) TRADER> Data Group shall be "Dependent" (i.e. "D") and it will be regulated by C017.
- The Data Items included in the specific Data Group shall be as follows:

(GUARANTOR) TRADER

<i>Trader Excise Number</i>	<i>O</i>	<i>an13</i>	<i>R027</i>
<i>Trader Name</i>	<i>D</i>	<i>an..182</i>	<i>C101</i>
<i>Street Name</i>	<i>D</i>	<i>an..65</i>	<i>C101</i>
<i>Street Number</i>	<i>O</i>	<i>an..11</i>	
<i>City</i>	<i>D</i>	<i>an..50</i>	<i>C101</i>
<i>Postcode</i>	<i>D</i>	<i>an..10</i>	<i>C101</i>
<i>VAT Number</i>	<i>O</i>	<i>an..14</i>	
<i>NAD_LNG</i>	<i>D</i>	<i>a2</i>	<i>BC12 C002</i>

- The optionality of the "Transport Mode Code" Data Item included in the <(UPDATE) E-AD> Data Group of the IE813 message shall be updated from "Optional" to "Dependent" and it shall be regulated by a new condition i.e. C182 which shall read as follows:

C182:

"IF <MOVEMENT GUARANTEE.Guarantor Type Code> is given and is "No guarantee is provided according to Article 18.4(b) of 2008/118/EC"

THEN

<Transport Mode Code> is 'R'

ELSE

<Transport Mode Code> is 'O'

- The validation implied by Rule216 shall also apply to the "Transport Mode Code" Data Item of the IE813 message.
- The description of R216 shall be updated as follows:

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	<p><u>R216</u> <i>"IF <MOVEMENT GUARANTEE.Guarantor Type Code> is "No guarantee is provided according to Article 18.4(b) of 2008/118/EC"</i> THEN <i><Transport Mode Code> must be 'Sea Transport' or 'Fixed transport installations'</i></p> <p>(Note:</p> <ul style="list-style-type: none"> • <i>When the message under validation is the IE815 or the IE801, the Guarantor Type Code used in the current validation is that contained in the IE815 or the IE801 respectively</i> • <i>When the message under validation is the IE813, the Guarantor Type Code used in the current validation is that contained:</i> <ul style="list-style-type: none"> ○ <i>in the IE813, in case the Guarantor Type Code is given in this message</i> <li style="padding-left: 20px;"><i>Otherwise</i> ○ <i>in the last IE801 or the last, if any, IE813 that indicated change of place of delivery.)"</i> <ul style="list-style-type: none"> • R215 shall apply to the "Guarantor type code" Data Item of the IE813 message. • The description of R215 shall be updated as follows: <p><u>R215</u> <i>"IF <MOVEMENT GUARANTEE.Guarantor Type Code> is "No guarantee is provided according to Article 18.4(b) of 2008/118/EC"</i> THEN <i><Excise Product Code> must be an energy product</i></p> <p>(Note:</p> <ul style="list-style-type: none"> • <i>When the message under validation is the IE815 or the IE801, the excise product code used in the current validation is that contained in the IE815 or the IE801 respectively;</i> • <i>When the message under validation is the IE813, the excise product code used in the current validation is that contained in the last IE801 or in the last, if any, IE818 that indicated partial refusal.)"</i> <ul style="list-style-type: none"> • Appendix H: DIRECTORY WITH XML SCHEMAS (XSDS): The ie813.xsd shall be updated in order to also incorporate the <MOVEMENT GUARANTEE> and <GUARANTOR TRADER > Data Groups as described in detail in "Annex 1: DDNEA-P3-197 - Updates in the IE813 message due to violation of Rule216 and in order to allow the change of Movement Guarantee information".
Impact assessment	Specification Documents: <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium);

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	<ul style="list-style-type: none"> • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Medium). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA for EMCS Phase 3 will be in misalignment with the changes proposed for FESS by FESS-158 RFC.
Risk assessment	<p>This RFC concerns changes both at the syntactic and the semantic level.</p> <p>Changes at semantic level</p> <p>-----</p> <p>Concerning the changes at semantic level (namely, the applicability of a new condition, i.e. C182, on the “Transport Mode Code” Data Item of the IE813 message, the updates of R215 and R216, as well as the applicability of existing rules and conditions on the newly introduced Data Groups and Data Items in the IE813 message); they can be deployed in production in a Migration Period, since alike any other semantic validations are validated only at the sending side of the IE813 message (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over the CD). Hence, if the sender is aligned with these updates while the receiver is not, no semantic rejection (IE906 message) shall be triggered by the receiver.</p> <p>However, considering that the aforementioned changes at semantic level can become applicable only if the relevant changes at syntactic level are performed (e.g. C182 can be validated only if the <MOVEMENT GUARANTEE.Guarantor type code> Data Item is added in the IE813.xsd), the migration rollout approaches shall be examined with a focus on the changes at syntactic level, as described below.</p> <p>Changes at syntactic level</p> <p>-----</p> <p>Considering the .xsd changes, namely the introduction of the <MOVEMENT GUARANTEE> Data Group (which will contain the “Guarantor type code” Data Item and <(GUARANTOR) TRADER> Data Group as described above in the [Proposed Solution] section) in the structure of the IE813 message with optionality set to “Optional”, they can be deployed in production in a Migration Period, as explained below. (It shall be noted that the applicability of C182 on the “Transport Mode Code” Data Item does not affect its’ optionality in the xsd; hence this data item shall remain “Optional” in the new IE813.xsd).</p> <ul style="list-style-type: none"> • If the sender is aligned with the new IE813.xsd with respect to the aforementioned changes while the receiver is not, the following scenarios may occur: <ul style="list-style-type: none"> ○ if the sender decides not to include the Data Group <MOVEMENT GUARANTEE> in the IE813 message, then the IE813.xml will be validated successfully by the receiver since the aforementioned Data Group does not

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	<p>exist in the old IE813.xsd;</p> <ul style="list-style-type: none"> ○ if the sender decides to include the Data Group <MOVEMENT GUARANTEE> (which is “Optional” in the new IE813.xsd) when communicating with MSAs that have not yet deployed this RFC in production, the IE813.xml sent will not be validated successfully by the receiver for the cases that the IE813 message will travel over the Common Domain, that is, when the IE813 message indicates either a Change of Place of Delivery or a change of MS (in this case the IE813 goes to the former MSA of Destination.) To avoid such rejections, it is proposed, that in the aforementioned cases, the Data Group <MOVEMENT GUARANTEE> (which may also contain the <(GUARANTOR) TRADER> Data Group as regulated by C017) is removed from the IE813 message by the sending application as a transformation solution. The aforementioned transformation solution entails risks since the updated movement guarantee information will be missed by the MSA of Destination (in case of IE813 indicating change of place of delivery) or by the former MSA of Destination (in case, of IE813 indicating change of MS). ● If the sender is not aligned with the new IE813.xsd with respect to this change while the receiver is aligned, the IE813.xml will be validated successfully by the receiver, since it will not include the <MOVEMENT GUARANTEE> Data Group (which is optional in the new IE813.xsd). <p>As a result, in case the MSAs deploy the current RFC in production before Mf and the abovementioned transformation solution is implemented, the movement flow shall not be blocked. However, as explained above, the proposed bypass solution entails the risk that the updated movement guarantee information, if any, shall not be communicated to the MSA of Destination (in case of IE813 indicating change of place of delivery) or to the former MSA of Destination (in case, of IE813 indicating change of MS).</p> <p><i>It should be noted that the changes introduced by this specific RFC affect also the External Domain, since the IE813 message is also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period.
Reference to other RFCs	<ul style="list-style-type: none"> ● Parent RFCs: FESS-158; ● Children RFCs: TA-P3-092, CTP-P3-239; ● Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-158
Location of change in Legislation	N/A

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Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB, but it is subject to prior approval of FESS-158.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #122 on 06/06/2014

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-198 – Clarifications on the Automatic Status Synchronisation Request Mechanism

RFC Information

RFC number	DDNEA-P3-198
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM87272
Known Error	N/A
Date at which the Change was proposed	18/08/2014
Requester	MSA BE

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>A misinterpretation of the Automatic Status Synchronisation Request Mechanism has been identified. More specifically, in cases of TIM_EAD expiration without reception of the RoR (IE818 message) by the MSA Dispatch, the Automatic Status Synchronisation Request mechanism is triggered by the MSA dispatch application in accordance with DDNEA v1.77 Section "III.VI.2.4.2 TIM_EAD timer expiration after Missed RoR".</p> <p>During the execution of the aforementioned scenario, it has been reported that the following exceptional case may occur. At the time the IE904 message is sent, the MSA Destination application forwards the IE818 message (RoR) to the MSA Dispatch application.</p> <p>Additionally, in the specific case, in accordance with the aforementioned DDNEA section, the MSA Destination application performs the following actions:</p> <ul style="list-style-type: none"> • Sends the Status Response (IE905 message); • Regenerates the RoR (IE818 message) and sends it to the MSA Dispatch application. <p>Further to the above, since the initial IE818 message has already been received by the MSA Dispatch application prior to the completion of the Automatic Status Synchronisation Request mechanism (i.e. prior to the reception of the lost/delayed IE818 message), it has been reported that the MSA Dispatch application rejects the regenerated message (i.e. the IE818 correlated with the IE904 message).</p> <p>In alignment with DDNEA Section "III.VI.2.1.2 Manual Status Synchronisation Request", the MSA Dispatch application should have not rejected (with an IE906 message with code '92': Message out of sequence) the IE818 message sent as part of the Automatic Status Synchronisation Request. More specifically, the MSA Dispatch application after successfully processing the initial IE818 message should have checked that the 2nd IE818 message has a value in the "Correlation Identifier" Data Item which signifies that the message is sent</p>

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	<p>as a result of a Status Synchronisation Request and that it should be treated differently. Therefore, given that the lost/delayed IE818 message had already been received and processed successfully, the 2nd IE818 message (i.e. the message correlated with the Automatic Status Synchronisation Request) should have been ignored (instead of being rejected with an IE906).</p> <p>Additionally, it shall be noted that in DDNEA Section "III.VI.2.4.1.1 Missed e-AD" it is clarified the following: "If the MSA destination application also receives the original e-AD (IE801: C_EAD_VAL), either before or after the reception of the re-submitted e-AD (IE801: C_EAD_VAL), the application shall behave in accordance with the requirements in Section III.VI.2.1.2 Manual Status Synchronisation Request."</p> <p>The present RFC proposes the insertion of clarifications in DDNEA Section "III.VI.2.4.2 TIM_EAD timer expiration after Missed RoR" in alignment with the above extract of DDNEA Section III.VI.2.4.1.1</p> <p>Proposed solution:</p> <p>As per the analysis in the [Problem Statement] section, it is proposed to add clarifications in DDNEA Section "III.VI.2.4.2 TIM_EAD timer expiration after Missed RoR" regarding the exceptional case of reception of the original IE818 message either before or after the reception of the re-submitted RoR, during the execution of the scenario included in the aforementioned DDNEA Section. More specifically, in DDNEA Section III.VI.2.4.2 following the sentence below:</p> <p>"When the RoR (IE818: C_DEL_DAT) is received, it is registered at the MSA of Dispatch and the state of the e-AD changes to <i>"Delivered"</i> or <i>"Partially Refused"</i> or <i>"Refused"</i>."</p> <p>the following paragraph shall be added:</p> <p>"If the MSA dispatch application also receives the original RoR (IE818: C_DEL_DAT), either before or after the reception of the re-submitted RoR (IE818: C_DEL_DAT), the application shall behave in accordance with the requirements in Section III.VI.2.1.2 Manual Status Synchronisation Request."</p>
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Low); • CTP for EMCS Phase 3 (None). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (None). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (None).
Effect of not implementing the Change	<p>If the proposed change is not implemented, then the DDNEA for EMCS Phase 3 will miss the clarifications regarding the reception of the original IE818 message either before or after the reception of the re-submitted IE818 message, during the execution of the scenario included in DDNEA Section "III.VI.2.4.2 TIM_EAD timer expiration after Missed</p>

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	RoR”.
Risk assessment	This RFC entails no business continuity risks, since it concerns documentation update inserting clarifications in the scenario included in DDNEA Section “III.VI.2.4.2 TIM_EAD timer expiration after Missed RoR”. <i>It should be noted that the changes introduced by the specific RFC do not affect the External Domain.</i>
Deployment approach	The RFC can be deployed in a Migration Period .
Reference to other RFCs	There is no reference to any other RFCs.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Objection • Approval process: <ul style="list-style-type: none"> ○ The Change is recommended by the CAB for approval and subject to an Objection Period.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #125 on 24/10/2014 End of Objection Period: 17/06/2016

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-200 – Update of rule Rule026 in order to enable a registered consignor to leave empty the destination fields

RFC Information

RFC number	DDNEA-P3-200
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM80978
Known Error	KE12680
Date at which the Change was proposed	29/05/2014
Requester	MSA LV

Change Assessment

Change priority	Medium												
Change Description	<p>Problem statement:</p> <p>The present RFC incorporates the necessary changes in order to align DDNEA v1.77 with the changes proposed for FESS by RFC FESS-166.</p> <p>More specifically, the FESS-166 RFC proposes to update Rule026 in order to also enable a registered consignor to leave empty the destination fields in accordance with Article 22 of Council Directive 2008/118/EC.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement] the following changes shall be performed in DDNEA:</p> <ul style="list-style-type: none"> Appendix D: Technical Message Structure: <p>The following part of R026:</p> <p>The couplings <Operator Type / Operator Role Code> are as follows:</p> <table border="1"> <thead> <tr> <th>A / B</th> <th>C</th> <th>D</th> <th>E</th> </tr> </thead> <tbody> <tr> <td>Allowed to practise direct delivery</td> <td>X</td> <td>X</td> <td></td> </tr> <tr> <td>Allowed to leave empty the destination fields according to Article 22 of the Directive</td> <td>X</td> <td></td> <td></td> </tr> </tbody> </table> <p>shall be updated as follows:</p> <p>The couplings <Operator Type / Operator Role Code> are as follows:</p>	A / B	C	D	E	Allowed to practise direct delivery	X	X		Allowed to leave empty the destination fields according to Article 22 of the Directive	X		
A / B	C	D	E										
Allowed to practise direct delivery	X	X											
Allowed to leave empty the destination fields according to Article 22 of the Directive	X												

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	A / B	C	D	E

	Allowed to practise direct delivery	X	X	

	Allowed to leave empty the destination fields according to Article 22 of the Directive	X		X

Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (None). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (Low); • TA (None). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Low). 			
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-166 RFC.			
Risk assessment	<p>This RFC concerns changes at the semantic level.</p> <p>More specifically, it proposes to update R026 in order to also enable a registered consignor to leave empty the destination fields in accordance with Article 22 of Council Directive 2008/118/EC.</p> <p>It is considered that the aforementioned change has no impact on business continuity and can therefore be deployed in a Migration Period. More specifically alike any other semantic validation, R026 is validated only at the sending side of the IE713 message (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of this condition/rule by the sender will not trigger any semantic rejection (IE906) by the receiver.</p> <p><i>It should be noted that the changes introduced by the specific RFC do not affect the External Domain, since the IE713 message is not exchanged over the ED.</i></p>			
Deployment approach	The specific RFC can be deployed in a Migration Period without any business continuity risks.			
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-166; • Children RFCs: -; • Other RFCs: -. 			

Indicative changes to legislation

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Draft recital for information	Please refer to FESS-166
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #126 on 24/11/2014

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

DDNEA-P3-201 – Removal of the complementary event report functionality/Rev1

RFC Information

RFC number	DDNEA-P3-201
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM30857, INC1104.169292
Known Error	N/A
Date at which the Change was proposed	14/09/2012
Requester	MSA FI

Change Assessment

Change priority	Medium										
Change Description	<p>Problem statement:</p> <p>The present RFC incorporates the necessary changes in order to align DDNEA v1.77 with the changes proposed for FESS by RFC FESS-167.</p> <p>More specifically, the FESS-167 RFC proposes the removal of the complementary event report functionality since it is not justified from a business perspective and also the implementation and maintenance of the specific functionality is too expensive compared with the benefits.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement] the following changes shall be performed in DDNEA:</p> <ul style="list-style-type: none"> DDNEA Section “IV.1.1 Submission of an event report (UC3.24)” shall be updated in order to remove all references to the complementary event report functionality. The aforementioned DDNEA Section shall be updated as described in “Annex 2: DDNEA-P3-201 – Removal of the complementary event report functionality”. TC85 included in DDNEA Appendix B <table border="1" data-bbox="785 1662 1252 1783"> <tr> <td>1</td> <td>Initial submission</td> </tr> <tr> <td>2</td> <td>Complementary submission</td> </tr> <tr> <td>3</td> <td>Validated document</td> </tr> </table> <p>shall be updated as follows:</p> <table border="1" data-bbox="785 1906 1252 1984"> <tr> <td>1</td> <td>Initial submission</td> </tr> <tr> <td>3</td> <td>Validated document</td> </tr> </table>	1	Initial submission	2	Complementary submission	3	Validated document	1	Initial submission	3	Validated document
1	Initial submission										
2	Complementary submission										
3	Validated document										
1	Initial submission										
3	Validated document										

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- The optionality of the Data Item "Place of Event" included in the <EVENT REPORT> Data Group of the IE840 message shall be updated from "D" (i.e. Dependent) to "R" (i.e. Required);
- Condition C058 shall be removed from DDNEA Appendix D;
- Condition C144 shall be removed from DDNEA Appendix D;
- The optionality of Data Items: <Administrative Reference Code>, <Sequence Number>, <Reference Number of Excise Office> and <Member State of Event> included in IE840 message shall change from "D" to "R";
- Condition C145 shall be removed from DDNEA Appendix D;
- The optionality of the Data Group <EVENT REPORT> included in IE840 message shall change from "D" to "R";
- Condition C080 included in DDNEA Appendix D:

"IF <message type> is "Initial submission"

THEN

<Event Report Number> does not apply

IF (MS of submission is not equal to MS of event)

THEN <MS of Submission Event Report Reference> is

'R'

ELSE <MS of Submission Event Report Reference> is

'O'

IF <message type> is "Validated document"

THEN

<Event Report Number> is 'R'

IF (MS of submission is not equal to MS of event)

THEN <MS of Submission Event Report Reference> is

'R'

ELSE <MS of Submission Event Report Reference> is

'O'

IF <message type> is "Complementary submission"

THEN

IF <Event Report Number> is given

THEN <MS of Submission Event Report Reference>

does not apply

ELSE <MS of Submission Event Report

Reference> is 'R'."

shall be updated as follows:

"IF <message type> is "Initial submission"

THEN

<Event Report Number> does not apply

IF (MS of submission is not equal to MS of event)

THEN <MS of Submission Event Report Reference> is

'R'

ELSE <MS of Submission Event Report Reference> is

'O'

IF <message type> is "Validated document"

THEN

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	<p><i><Event Report Number> is 'R' IF (MS of submission is not equal to MS of event) THEN <MS of Submission Event Report Reference> is 'R' ELSE <MS of Submission Event Report Reference> is 'O''</i></p> <ul style="list-style-type: none"> Appendix H: Directory With XML Schemas (XSDs): Removal of the minOccurs="0" from the element "PlaceOfEvent" of the "EventReportType" complexType of the "IE840.xsd" file.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> DDNEA for EMCS Phase 3 (Medium); CTP for EMCS Phase 3 (Low). <p>CDEAs:</p> <ul style="list-style-type: none"> Central SEED v1 application (None); TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> Impact on NEAs (Low).
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-167 RFC.
Risk assessment	<p>This RFC concerns changes both at the syntactic and at the semantic level.</p> <p>Changes at semantic level -----</p> <p>Concerning the removal of condition C058 and the update of condition C080 they can both be deployed in production in a Migration Period, since alike any other semantic validation, these two conditions are validated only at the sending side of the IE840 message (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over the CD). Hence, the violation of these two conditions by the sender will not trigger any semantic rejection (IE906) by the receiver.</p> <p>Changes at syntactic level -----</p> <p>Concerning the .xsd changes (namely, changing the optionality of the "Place of Event" Data Item from Dependent to Required and also the update of the TC85 (i.e. tcl.xsd)), they have no impact on business continuity, and can therefore be deployed in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> Even if the sender is aligned with the new IE840.xsd with respect to these changes, while the receiver is not, the IE840.xml will be validated successfully by the receiver. More specifically, the submitted IE840.xml will always include the

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	<p>“Place of Event” Data Item and also it will include one of the two accepted values for the event report message type (i.e. codes “1” or “3”). Therefore, it will be accepted by the IE840.xsd of the receiver, since the “Place of Event” Data Item is currently a Dependent Data Item and the currently valid codes (i.e. “1”, “2” and “3”) for the event report type include also the updated valid codes(i.e. “1” and “3”);</p> <ul style="list-style-type: none"> • In the same way, if the sender is not aligned with the new IE840.xsd with respect to the aforementioned changes when communicating with MSAs that have already deployed this RFC in production, the messages sent will not be validated successfully by the receiver, since they may not include the required Data Item “Place of Event” or they may include the removed code “2” for the “Event Report Message Type” Data Item. To avoid such rejections, it is proposed that the receiving application: <ul style="list-style-type: none"> ○ Fills-in the “Place of Event” Data Item (if it is empty) with the same value as in the “Place of Event” Data Item of the corresponding initial event report (i.e. the IE840 message with the same “Administrative Reference Code” Data Item and with the “Event Report Message Type” Data Item set to “1- Initial Submission”) and also ○ Removes the value “2” from the “Event Report Message Type”, fills-in one of the 2 accepted values (i.e. “1” or “3”) for the specific Data Item and adds relevant comments for the type of the event report in the “Comments” Data Item of the <Event Report> Data Group of the IE840 message. <p style="margin-left: 40px;">It is considered that the proposed bypass solution does not entail any business continuity risk</p> <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE840 message is also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	The specific RFC can be deployed in a Migration Period without any business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-167; • Children RFCs: TA-P3-093, CTP-P3-240; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-167
Location of change in Legislation	N/A

Approval Process

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CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #132 on 06/05/2015 ⁷

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

⁷ DDNEA-P3-201 RFC was first discussed at EMCS CAB #130

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DDNEA-P3-203 – Update of Rule032 in order to align FESS with DDNEA/Rev2

RFC Information

RFC number	DDNEA-P3-203
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM109887, IM75471, IM104639, IM115359
Known Error	KE12494
Date at which the Change was proposed	14/03/2014
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA v1.77 with the changes proposed for FESS by FESS-169 RFC. More specifically, the FESS-169 RFC proposed to add a new Rule in FESS Appendix D in order to align FESS with DDNEA concerning the rule Rule032.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], in order to align DDNEA with FESS concerning the rule R032 the following updates shall be performed in DDNEA:</p> <ul style="list-style-type: none"> • Appendix D: Technical Message Structure <ul style="list-style-type: none"> ○ Update of R032 from: <p><i>“The format of <OFFICE> is defined in "FESS Appendix B”.</i></p> <p>to:</p> <p><i>“The format of <Office Reference Number> is defined in "FESS Appendix B”.</i></p> <p>The new rule shall apply to the same Data Items that FESS Rule032 currently applies to. More specifically, the updated rule R032 will apply to the same Data Items that it currently applies to plus to the following Data Items:</p> <ul style="list-style-type: none"> • <IE813.(DELIVERY PLACE) CUSTOMS OFFICE.Reference Number>; • <IE821.(DISPATCH) IMPORT OFFICE.Reference Number>;

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	<ul style="list-style-type: none"> • <IE821.(DELIVERY PLACE) CUSTOMS OFFICE.Reference Number>. ○ A new rule, namely R236, shall be added as follows: <i>“An existing identifier <Office Reference Number> in the Customs Office List (COL)”</i> The new rule shall apply to the same Data Items that FESS Rule032 currently applies to.
Impact assessment	Specification Documents: <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Low). CDEAs: <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). NEAs: <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-169 RFC.
Risk assessment	This RFC concerns a change at the semantic level. More specifically, it proposes the introduction of a new rule. The aforementioned change can be deployed in production in a Migration Period, since: <ul style="list-style-type: none"> • Alike any other semantic validation, the specific rule is validated only at the sending side of the corresponding messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of the newly added rule by the sender will not trigger any semantic rejection (IE906) by the receiver. <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE717, IE801, IE807, IE815, IE818, IE819, IE825, IE829, IE839, IE840, IE807 and IE871 messages are also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	The specific RFC can be deployed in a Migration Period without any business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-169; • Children RFCs: - TA-P3-088, CTP-P3-241; • Other RFCs: -.

Indicative changes to legislation

Draft recital for

Please refer to FESS-169

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information	
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #144 on 07/03/2016 ⁸
Release information	
Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

⁸ DDNEA-P3-203 RFC was first discussed at EMCS CAB #132 on 06/05/2015

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DDNEA-P3-205 – Update of the description of the code ‘95’ included in TC49 of DDNEA Appendix B so as to be aligned with DDNEA Section "VIII.I.3.2.3.1 Coordination protocol validations"

RFC Information

RFC number	DDNEA-P3-205
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM119403
Known Error	KE14073
Date at which the Change was proposed	19/06/2015
Requester	MSA NL

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>It has been identified that there is a misalignment between Section "VIII.I.3.2.3.1 Coordination protocol validations" of DDNEA v1.77 for EMCS Phase 3 main document and TC49 included in DDNEA Appendix B, regarding the error code 95.</p> <p>More specifically, in Section "VIII.I.3.2.3.1 Coordination protocol validations" of DDNEA for EMCS Phase 3 main document, the description of the error code 95 is "Unknown Follow Up Correlation ID / MV Correlation ID", whereas in TC49 included in DDNEA Appendix B, the description of the same error code is "Unknown Follow Up Correlation ID".</p> <p>The current RFC proposes the necessary updates so as to align DDNEA for EMCS Phase 3 main document and TC49 included in DDNEA Appendix B, regarding the error code 95.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], in order to align DDNEA for EMCS Phase 3 main document and TC49 included in DDNEA Appendix B, regarding the error code 95, the following changes will be performed in DDNEA v1.77:</p> <ul style="list-style-type: none"> • Appendix B: Codelists: <ul style="list-style-type: none"> ○ The description of the code ‘95’ included in TC49 shall be updated from “Unknown Follow Up Correlation ID” to “Unknown Follow Up Correlation ID / MV Correlation ID”. ○ The “Remarks” field of the code ‘95’ included in TC49, i.e. the following text: <p><i>“The Follow Up Correlation ID of the received message is not known, whereas it is expected to be known.”</i></p> will be updated as follows:

	<p><i>“The Follow Up Correlation ID or MV Correlation ID of the received message is not known, whereas it is expected to be known.”</i></p> <ul style="list-style-type: none"> • Appendix H: Directory With XML Schemas (XSDs): <ul style="list-style-type: none"> ○ TC49 will be updated in tcl.xsd as follows: <pre> “ ... <!--=====--> <!-- Functional Error Codes --> <!--=====--> </xs:enumeration> <xs:enumeration value="95"> <xs:annotation> <xs:documentation>Unknown Follow Up Correlation ID/ MV Correlation ID </xs:documentation> </xs:annotation> </xs:enumeration> ...” </pre> • Appendix I: Directory with Web Service Interface Definitions (WSDLs): <ul style="list-style-type: none"> ○ The change applicable to tcl.xsd of Appendix H is also applicable to Appendix I.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (None). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (None). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	<p>If the proposed change is not implemented, then the identified misalignment between Section "VIII.I.3.2.3.1 Coordination protocol validations" of DDNEA for EMCS Phase 3 main document and TC49 included in DDNEA Appendix B, regarding the error code 95, shall be retained.</p>
Risk assessment	<p>This RFC concerns a change in the tcl.xsd in order to change the description of the code '95' of TC49.</p> <p>If not all MSAs deploy this RFC in production at the same time, it is assessed that the business continuity over the CD will not be affected. More specifically, even if an MSA has not deployed this RFC in production, the IE906.xml it generates will be validated successfully by the receiving MSA, since only the description of the code '95' of TC49 is changed (the codes in TC49 remain intact).</p> <p>Following the business continuity analysis of the change in the tcl.xsd, it is derived that no message transformation rules are need for this case.</p> <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE704 message is also exchanged over the ED.</i></p>

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	<i>Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	There is no reference to any other RFCs.
Indicative changes to legislation	
Draft recital for information	N/A
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #139 on 08/10/2015
Release information	
Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	TBD
Change Review	
Review date	
Review results	

DDNEA-P3-207 – Update of BR028/ Rev1

RFC Information

RFC number	DDNEA-P3-207
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM102803, IM76597
Known Error	KE12457
Date at which the Change was proposed	31/03/2014
Requester	EMCS SK

Change Assessment

Change priority	Medium		
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align the DDNEA v1.77 with the updates for FESS by FESS-176 RFC.</p> <p>RFC FESS-176 updates BR028 of Appendix J of FESS, so as to ensure that the cross-check defined is also performed in the case that the "Destination Type Code" Data Item of both the IE801 and IE813 messages is set to either "Direct Delivery" or to "Temporary registered consignee".</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following update shall be performed in DDNEA:</p> <ul style="list-style-type: none"> Appendix J:Business Rules Catalogue <p>The following part of BR028 definition:</p> <table border="1" data-bbox="577 1467 1337 1854"> <tr> <td style="background-color: #e1eef6;">BR Description</td> <td>It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.</td> </tr> </table> <p>will be updated as follows:</p>	BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.
BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.		

	<table border="1"> <tr> <td data-bbox="577 241 791 837">BR Description</td> <td data-bbox="791 241 1337 837">It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.</td> </tr> </table>	BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.
BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city) or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.		
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (None). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (None). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium). 		
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-176 RFC.		
Risk assessment	<p>This RFC concerns a change at the semantic level.</p> <p>More specifically, it proposes the update of BR028 of DDNEA Appendix J in order to ensure that the cross-check defined is also performed in the case that the "Destination Type Code" Data Item of both the IE801 and IE813 messages is set to either "Direct Delivery" or to "Temporary registered consignee".</p> <p>The aforementioned change can be deployed in production in a Migration Period, since:</p> <ul style="list-style-type: none"> • Alike any other semantic validation, BR028 is validated only at the sending side of the IE813 message (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of this rule by the sender will not trigger any semantic rejection (IE906) by the receiver. <p><i>It should be noted that the changes introduced by the specific RFC affect also the External Domain since the IE813 message is also</i></p>		

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	<i>exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-176; • Children RFCs: -; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-176
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #144 on 07/03/2016 ⁹

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

⁹ DDNEA-P3-207 RFC was first discussed at EMCS CAB #143 on 15/02/2015

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DDNEA-P3-208 – Update of Section VIII.I.3.2.3 "Semantic layer"

RFC Information

RFC number	DDNEA-P3-208
RFC status	Closed
Reason for Change	Specification Defect
Incidents	IM67557
Known Error	KE12535
Date at which the Change was proposed	18/12/2013
Requester	MSA NL

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The condition C150 included in Appendix D of DDNEA v1.77 is described as follows:</p> <p><i>“The Data Items marked with this condition are required for rejecting IE810, IE813, IE818, IE819, IE825, IE837, IE871 messages. They must not be present for rejecting an IE815 message.”</i></p> <p>The aforementioned condition applies to the “Administrative Reference Code” and “Sequence Number” Data Items of the IE704 message.</p> <p>However, it has been identified that the IE810 and IE825 messages do not include the “Sequence Number” Data Item. Therefore, when semantically rejecting an IE810 or IE825 message over the External Domain, the value of the “Sequence Number” Data Item could not be completed in the IE704 message.</p> <p>The current RFC proposes the necessary updates so as to incorporate in DDNEA the guidelines for the values that should be used for the “Administrative Reference Code” and “Sequence number” Data Items of the <HEADER> Data Group of the IE704 message.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates shall be performed in DDNEA main document:</p> <p>The following sentence included in DDNEA Section VIII.I.3.2.3 "Semantic Layer":</p> <p><i>“Similarly, since C150 that applies on the “Sequence number” and the “Administrative Reference Code” Data Items included in the <HEADER> Data Group of the IE704 message follows the same logic with TR0104, the aforementioned guidelines applicable to the IE906 message should also apply for the values of these Data Items of the</i></p>

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	<p><i>IE704 message.</i></p> <p>shall be replaced by the following text:</p> <p><i>“Similarly, since C150 applies on the “Administrative Reference Code” and “Sequence number” Data Items included in the <HEADER> Data Group of the IE704 message, when the received message is IE810, IE813, IE818, IE819, IE825, IE837 or IE871 the following guidelines apply for the values that should be used for the aforementioned Data Items of the IE704 message:</i></p> <ul style="list-style-type: none"> • <i>If the “Administrative Reference Code” Data Item is known (the message receiver has already received at least one message with the specific Administrative Reference Code):</i> <ul style="list-style-type: none"> • <i>If the “Sequence Number” Data Item of the received message is missing (the received message is an IE810 or an IE825), the value of the “Administrative Reference Code” Data Item should equal to the received Administrative Reference Code and the value of the “Sequence Number” Data Item should equal to the value of the last known Sequence Number for the specific Administrative Reference Code;</i> • <i>If the “Administrative Reference Code” Data Item is unknown (the message receiver hasn’t received any message with the specific Administrative Reference Code):</i> <ul style="list-style-type: none"> • <i>If the “Sequence Number” Data Item of the received message is missing (the received message is an IE810 or an IE825), the value of the “Administrative Reference Code” Data Item should equal to the received Administrative Reference Code and the value of the “Sequence Number” Data Item should equal to the value of “1”.</i>
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (None). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (None). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Low).
Effect of not implementing the Change	<p>If the proposed change is not implemented, then Section VIII.I.3.2.3 “Semantic layer” will not include the required guidelines for defining the values of the “Administrative Reference Code” and “Sequence Number” Data Items of the IE704 message.</p>
Risk assessment	<p>This RFC concerns an update of DDNEA Section VIII.I.3.2.3 “Semantic layer” in order to add the guidelines that should be followed when defining the values to be used for the “Administrative Reference Code” and “Sequence number” Data Items of the IE704 message.</p> <p>The aforementioned update can be deployed in production in a</p>

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	<p>Migration Period, since:</p> <ul style="list-style-type: none"> The guidelines included in the aforementioned section are provided for consistency reasons and in order to help the NEAs define the values that should be used for the “Administrative Reference Code” and “Sequence number” Data Items of the IE704 message, in the same way that they are provided for the IE917 message. Yet they have not been defined as rules and should therefore not be implemented as validations in the NEAs. Hence, the violation of these guidelines by the sender will not trigger any semantic rejection (IE906) by the receiver; <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE704 message is exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: -; Children RFCs: -; Other RFCs: DDNEA-P3-176.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> Category of the Change: Review Approval process: <ul style="list-style-type: none"> The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #143 on 15/02/2016

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

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Change Review

Review date	
Review results	

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DDNEA-P3-210 – Update of the IE871 message

RFC Information

RFC number	DDNEA-P3-210
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM109388
Known Error	KE13969, KE13970
Date at which the Change was proposed	19/03/2015
Requester	MSA FR

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-179 RFC. The changes proposed in RFC FESS-179 affecting the DDNEA are the following:</p> <ul style="list-style-type: none"> • The optionality of the Data Item <IE871.ANALYSIS. Global Explanation> shall be updated from Optional ('O') to Required ('R'); • The optionality of the Data Item <IE871.ANALYSIS. Global Explanation_LNG> shall be updated from Conditional ('C') to Required ('R'); • The optionality of the Data Item <IE871. ANALYSIS Body. Explanation> shall be updated from Optional ('O') to Required ('R'); • The optionality of the Data Item <IE871.ANALYSIS Body. Explanation_LNG> shall be updated from Conditional ('C') to Required ('R'). <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], in order to align DDNEA with FESS the following updates shall be performed:</p> <ul style="list-style-type: none"> • Appendix C: EMCS Correlation Tables: <ul style="list-style-type: none"> ○ The optionality of the <IE871.ANALYSIS. Global Explanation> Data Item will be updated from Optional ('O') to Required ('R'); ○ The optionality of the <IE871. ANALYSIS Body. Explanation> Data Item will be updated from Optional ('O') to Required ('R');

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	<ul style="list-style-type: none"> • Appendix D: Technical Message Structure <ul style="list-style-type: none"> ○ The optionality of the Data Item <IE871.ANALYSIS. Global Explanation> shall be updated from Optional ('O') to Required ('R'); ○ The optionality of the Data Item <IE871.ANALYSIS. Global Explanation_LNG> shall be updated from Dependent ('D') to Required ('R'); ○ The optionality of the Data Item <IE871. (BODY) ANALYSIS. Explanation> shall be updated from Optional ('O') to Required ('R'); ○ The optionality of the Data Item <IE871. (BODY) ANALYSIS. Explanation_LNG> shall be updated from Dependent ('D') to Required ('R'). • Appendix H: Directory With XML Schemas (XSDs): <ul style="list-style-type: none"> ○ Removal of the minOccurs="0" from the element "GlobalExplanation" of the "AnalysisType" complexType of the "IE871.xsd" file; ○ Removal of the minOccurs="0" from the element "Explanation" of the "BodyAnalysisType" complexType of the "IE871.xsd" file.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Low). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	<p>If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-179 RFC.</p>
Risk assessment	<p>This RFC concerns a change in the ie871.xsd.</p> <p>More specifically, it incorporates the necessary changes so that the optionality of both the <IE871.ANALYSIS. Global Explanation> and <IE871. (BODY) ANALYSIS. Explanation> Data Items is updated from Optional ('O') to Required ('R').</p> <p>This RFC can be deployed in production in a Migration Period, since:</p> <ul style="list-style-type: none"> • If the sender is aligned with the new ie871.xsd with respect to the aforementioned change while the receiver is not, the respective messages will include the Data Items "Global

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	<p>Explanation” and “Explanation”. Therefore, they will be validated successfully by the receiver, since these are Optional ('O') in the existing ie871.xsd;</p> <ul style="list-style-type: none"> In the same way, if the sender is not aligned with the new ie871.xsd with respect to the aforementioned change when communicating with MSAs that have already deployed this RFC in production, then there may be IE871 messages not including the “Global Explanation” and “Explanation” Data Items; hence they will be not successfully validated by the receiver. To avoid such rejections, it is proposed that, if the “Global Explanation” and/or “Explanation” Data Items not included in the IE871 messages sent, they are added by the receiving application as a transformation solution. More specifically, taking into consideration the condition C181 according to which at least one of the <ANALYSIS> or <ANALYSIS Body> Data Groups must be present in the IE871 message, it is proposed that the Data Item “Global Explanation” (in case of existence of the <ANALYSIS> Data Group) and/or the Data Item “Explanation” (in case of existence of the <(BODY) ANALYSIS > Data Group) are added in the IE871 message by the receiving application using the dummy value “None”. The “None” value in the “Global Explanation” and “Explanation” fields should be considered as a null value. It is considered that the proposed bypass solution does not entail any business continuity risk. <p>Additionally, it may be noted that the number of the IE871 messages exchanged is about 0.6% of the number of IE801; in other words, explanations of shortages occur in about 0.6% of the movements.</p> <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE871 message is also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	The specific RFC can be deployed in a Migration Period without any business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: FESS-179; Children RFCs: CTP-P3-242; Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-179
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> Category of the Change: Review Approval process: <ul style="list-style-type: none"> The Change is authorised for approval by the CAB.
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ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #144 on 07/03/2016
Release information	
Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

DDNEA-P3-211- Leading zeroes not allowed for numerical values

RFC Information

RFC number	DDNEA-P3-211
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM118260
Known Error	KE14429
Date at which the Change was proposed	09/06/2015
Requester	MSA AT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>According to Section VIII.I.2.1.1.1 “Numerical Fields” of DDNEA v1.77, leading zeroes shall not be used for numerical values,</p> <p>However, the aforementioned validation has not been propagated to the .xsds.</p> <p>The specific RFC proposes the necessary updates in order to propagate in the .xsds the validation that the leading zeroes shall not be used for numerical values.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following update shall be performed in DDNEA:</p> <ul style="list-style-type: none"> • Appendix H: <ul style="list-style-type: none"> ○ The types.xsd will be updated so that leading zeroes are not allowed for the numerical Data Items. • Appendix I: <ul style="list-style-type: none"> ○ The changes applicable to Appendix H are also applicable to Appendix I.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (None). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low).

	<p>NEAs:</p> <ul style="list-style-type: none"> Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the validation that the leading zeroes shall not be used for numerical values will be missing from the .xsds.
Risk assessment	<p>This RFC concerns changes in types.xsd.</p> <p>More specifically, the current RFC proposes the necessary updates in order to propagate in the .xsds the validation that the leading zeroes shall not be used for numerical values.</p> <p>Therefore, the present RFC can be deployed in production in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> If the sender is aligned with the new .xsds with respect to the aforementioned update while the receiver is not, the respective messages will be validated successfully by the receiver, since there is no restriction to the use of leading zeroes for the receiver. If the sender is not aligned with the new .xsds with respect to the aforementioned change when communicating with MSAs that have already deployed this RFC in production, the messages sent will not be validated successfully by the receiver in cases that they include leading zeroes in numeric Data Items. To avoid such rejections, as a transformation solution, it is proposed that the receiving application removes any leading zeroes from the numerical Data Items in the messages received. It is considered that the proposed bypass solution does not entail any business continuity risk, since mathematically the leading zeroes do not change the value of neither a decimal nor an integer number. <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the messages including numerical Data Items are also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	There is no reference to any other RFC.

Indicative changes to legislation

Draft recital for information	N/A
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> Category of the Change: Review Approval process: <ul style="list-style-type: none"> The Change is authorised for approval by the CAB.
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ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016
Release information	
Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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DDNEA-P3-212 – Update of the language specific data of the codelists included in the IE734 message

RFC Information

RFC number	DDNEA-P3-212
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM74354
Known Error	KE12599
Date at which the Change was proposed	28/02/2014
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to:</p> <ol style="list-style-type: none"> a) align DDNEA with the changes proposed for FESS by FESS-181 RFC that is to update the language specific data of the all the codelists (including the MVS codelists) included in the IE734 message and also b) align the name of the Data Groups and the respective Data Items defined for the language specific data for the MVS codelists of the IE734 message (i.e. "MOVEMENT VERIFICATION ACTIONS" and "MOVEMENT VERIFICATION REQUEST REASONS") with the ones used for all other codelists in the specific message. <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed in DDNEA:</p> <ul style="list-style-type: none"> • Appendix C: EMCS Correlation Tables <ul style="list-style-type: none"> ○ The message element "MESSAGE-C_COD_DAT-MV_ACTION-MV_ACTION LSD" will be updated to "MESSAGE-C_COD_DAT-MV_ACTION- LANGUAGE SPECIFIC DATA"; ○ The message element "MESSAGE- C_COD_DAT-MV_ACTION-MV_ACTION LSD.Movement Verification Action Name" will be updated to "MESSAGE-C_COD_DAT- MV_ACTION- LANGUAGE SPECIFIC DATA.Description" and its' format will be updated from "an..65" to "an..256". ○ The message element "MESSAGE - C_COD_DAT - MV_ACTION - MV_ACTION LSD.Movement Verification Action Name_LNG" will be updated to

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	<p>“MESSAGE - C_COD_DAT - MV_ACTION - LANGUAGE SPECIFIC DATA.Language Code”;</p> <ul style="list-style-type: none"> ○ The message element “MESSAGE-C_COD_DAT-MV_REQUEST REASON-MV_REQUEST REASON LSD” will be updated to “MESSAGE-C_COD_DAT-MV_REQUEST REASON- LANGUAGE SPECIFIC DATA”; ○ The message element “MESSAGE - C_COD_DAT - MV_REQUEST REASON - MV_REQUEST REASON LSD.Movement Verification Request Reason Name” will be updated to “MESSAGE-C_COD_DAT-MV_REQUEST REASON-LANGUAGE SPECIFIC DATA.Description” and its’ format will be updated from “an..65” to “an..256”; ○ The message element “MESSAGE- C_COD_DAT-MV_REQUEST REASON- MV_REQUEST REASON LSD.Movement Verification Request Reason Name_LNG” will be updated to “MESSAGE-C_COD_DAT-MV_REQUEST REASON- LANGUAGE SPECIFIC DATA. Language Code”. <ul style="list-style-type: none"> ● Appendix D: Technical Message Structure <p>The format of the following Data Items will be updated from “an..65” to “an..256”:</p> <ul style="list-style-type: none"> ○ <IE734. MV_ACTION LSD.Movement Verification Action Name>; ○ <IE734.MV_REQUEST REASON LSD. Movement Verification Request Reason Name> <p>Additionally, in alignment with the codelists included in the IE734 message, the names that are currently used for the Data Groups defined in the IE734 message for the MVS codelists (i.e. < MV_ACTION> and <MV_REQUEST REASON>) will be updated as follows:</p> <ul style="list-style-type: none"> ● Data Group <MV_ACTION LSD> <ul style="list-style-type: none"> ○ The Data Group <MV_ACTION LSD> will be renamed to <LANGUAGE SPECIFIC DATA>; ○ The Data Item “Movement Verification Action Name” (included in the <MV_ACTION LSD> Data Group) will be renamed to “Description”; ○ The Data Item “Movement Verification Action Name_LNG” (included in the <MV_ACTION LSD> Data Group) will be renamed to “Language Code”. ● Data Group <MV_REQUEST REASON LSD> <ul style="list-style-type: none"> ○ The Data Group <MV_REQUEST REASON LSD> will be renamed to <LANGUAGE SPECIFIC DATA>; ○ The Data Item “Movement Verification Request Reason Name” (included in the <MV_REQUEST REASON
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LSD > Data Group) will be renamed to “Description”;

- The Data Item “Movement Verification Request Reason Name_LNG” (included in the <MV_REQUEST REASON LSD> Data Group) will be renamed to “Language Code”.

- Appendix E: XML Mapping

- The Data Group <MESSAGE-C_COD_DAT - MV_ACTION-MV_ACTION LSD> will be renamed to <MESSAGE-C_COD_DAT - MV_ACTION-LANGUAGE SPECIFIC DATA> and the corresponding XML tag will be updated from “MvActionLsd” to “LanguageSpecificData”;
- The Data Item “MESSAGE - C_COD_DAT - MV_ACTION - MV_ACTION LSD.Movement Verification Action Name” will be renamed to “MESSAGE - C_COD_DAT - MV_ACTION - LANGUAGE SPECIFIC DATA.Description”, its’ Data Type will be updated from “an..65” to “an..256” and the corresponding XML tag will be updated from “MovementVerificationActionName” to “Description”;
- The Data Item “MESSAGE - C_COD_DAT - MV_ACTION - MV_ACTION LSD.Movement Verification Action Name_LNG” will be renamed to “MESSAGE - C_COD_DAT - MV_ACTION - MV_ACTION LSD.Language Code” and the corresponding XML tag will be updated from “MovementVerificationActionNameLng” to “LanguageCode”;
- The Data Group < MESSAGE-C_COD_DAT - MV_REQUEST REASON-MV_REQUEST REASON LSD > will be renamed to < MESSAGE - C_COD_DAT - MV_REQUEST REASON - LANGUAGE SPECIFIC DATA> and the corresponding XML tag will be updated from “MvRequestReasonLsd” to “LanguageSpecificData”;
- The Data Item “MESSAGE - C_COD_DAT - MV_REQUEST REASON - MV_REQUEST REASON LSD.Movement Verification Request Reason Name” will be renamed to “MESSAGE - C_COD_DAT - MV_REQUEST REASON - MV_REQUEST REASON LSD.Description”, its’ Data Type will be updated from “an..65” to “an..256” and the corresponding XML tag will be updated from “MovementVerificationRequestReasonName” to “Description”;
- The Data Item “MESSAGE - C_COD_DAT - MV_REQUEST REASON - MV_REQUEST REASON LSD.Movement Verification Request Reason Name_LNG” will be renamed to “MESSAGE - C_COD_DAT - MV_REQUEST REASON - MV_REQUEST REASON LSD.Language Code” and the corresponding XML tag will be updated from “MovementVerificationRequestReasonNameLng” to

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“LanguageCode”.

- Appendix F: Data Groups & Transaction hierarchy
 - The Data Group <MV_ACTION LSD> will be renamed to <LANGUAGE SPECIFIC DATA>;
 - The Data Group < MV_REQUEST REASON LSD> will be renamed to <LANGUAGE SPECIFIC DATA>.

- Appendix G: Data Items

The following Data Items will be removed:

- Movement Verification Action Name;
- Movement Verification Action Name_LNG;
- Movement Verification Request Reason Name;
- Movement Verification Request Reason Name_LNG

Due the aforementioned updates, in Appendix G, the specific Data Items will be covered by the already existing Data Items “Description” and “Language Code”.

- Appendix H: Directory with XML Schemas (XSDS)

- In ie734.xsd:
 - <xs:element name="MvActionLsd" type="ie:MvActionLsdType" maxOccurs="99" />

will be updated as follows:

```
<xs:element name="LanguageSpecificData"
type="ie:LanguageSpecificDataType"
maxOccurs="99"/>
```

- Removal of the following part of the .xsd


```
<xs:complexType name="MvActionLsdType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="MV_ACTION LSD" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element
      name="MovementVerificationActionName"
      type="ie:LSDMovementVerificationActionNameType" />
  </xs:sequence>
</xs:complexType>
```
- <xs:element name="MvRequestReasonLsd" type="ie:MvRequestReasonLsdType"

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maxOccurs="99"/>

will be updated as follows:

```
<xs:element name="LanguageSpecificData"
type="ie:LanguageSpecificDataType"
maxOccurs="99" />
```

- Removal of the following part of the .xsd

```
<xs:complexType
name="MvRequestReasonLsdType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="MV_REQUEST
REASON LSD" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element
name="MovementVerificationRequestReasonName"
type="ie:LSDMovementVerificationRequestReasonNameType" />
  </xs:sequence>
</xs:complexType>
<xs:complexType
name="LSDMovementVerificationActionNameType"
">
  <xs:simpleContent>
    <xs:extension
base="emcs:MovementVerificationActionNameType"
">
      <xs:attribute name="language"
type="emcs:LanguageCodeType" use="required"/>
    </xs:extension>
  </xs:simpleContent>
</xs:complexType>
<xs:complexType
name="LSDMovementVerificationRequestReasonNameType">
  <xs:simpleContent>
    <xs:extension
base="emcs:MovementVerificationRequestReasonNameType">
      <xs:attribute name="language"
type="emcs:LanguageCodeType" use="required"/>
    </xs:extension>
  </xs:simpleContent>
</xs:complexType>
```

- In types.xsd:
 - Removal of the following types:
 - Movement Verification Action Name;
 - Movement Verification Request Reason Name.

- Appendix I: Directory with Web Service Interface Definitions

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	<p>(WSDLS):</p> <ul style="list-style-type: none"> ○ All changes applicable to Appendix H are also applicable to Appendix I.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Low). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (Medium); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Low).
Effect of implementing Change	<p>not the</p> <p>If the proposed changes are not implemented, then DDNEA will be misaligned with FESS concerning the format of the description Data Items (included in the Data Group for the language specific data) defined for the MVS codelists.</p> <p>Additionally, the name of the Data Groups and also the names and the format of the respective Data Items defined for the language specific data for the MVS codelists, will continue being in misalignment with the ones used for all other codelists in the IE734 message.</p>
Risk assessment	<p>This RFC concerns changes at the syntactic level.</p> <p>More specifically, it incorporates the necessary updates in order to align the name of the Data Groups and also the names and the format of the respective Data Items defined for the language specific data for the MVS codelists in the IE734 message with the ones used for all other codelists in the specific message.</p> <p>According to the proposed changes, the ie734.xsd will be updated. If Central SEED uses the updated .xsds when communicating with MSAs that have not yet deployed this RFC in production, the receiving MSAs will reject the IE734 messages received.</p> <p>To avoid such rejections, Central SEED should use the updated ie734.xsd only when communicating with the MSAs in the new phase (i.e. P3.3). When communicating with the Phase 3.2 MSAs, Central SEED should use the existing ie734.xsd.</p> <p>It is considered that the aforementioned proposal will enable Central SEED to support both phases (the old and the new one) without entailing any business continuity risk.</p> <p>However, as soon as the new Phase, i.e. EMCS Phase 3.3 is in production, all MSAs as well as Central SEED should be aligned with the new ie734.xsd.</p> <p><i>The changes introduced by the specific RFC, do not affect the External Domain, since the IE734 message is not exchanged over the ED.</i></p>
Deployment approach	<p>This RFC can be deployed in a Migration Period without any business continuity risks. It is also proposed that Central SEED is enabled to support both phases (the old and the new one).</p>

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Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-181; • Children RFCs: SEED-134, IE734-016, TA-P3-094; • Other RFCs: -.
Indicative changes to legislation	
Draft recital for information	Please refer to FESS-181
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016
Release information	
Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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DDNEA-P3-213 - Validation of the Data Item “Gross Weight” against the Data Item “Net Weight” in the IE801, IE815 and IE825 messages

RFC Information

RFC number	DDNEA-P3-213
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM95495
Known Error	KE14428
Date at which the Change was proposed	31/10/2014
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-183 RFC.</p> <p>More specifically, the FESS-183 RFC proposed to apply Rule219, except from the IE722 message, also to the IE801, IE815 and IE825 messages.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following update shall be performed in DDNEA:</p> <ul style="list-style-type: none"> • Appendix D: Technical Message Structure <p>R219 (except from the IE722 message) will apply also to the following Data Items:</p> <ul style="list-style-type: none"> ○ “Gross Weight” and “Net Weight” included in the <(BODY) E-AD> Data Group of the IE801, IE815 and IE825 messages.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).

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Effect of not implementing the Change	If the proposed change is not implemented, then DDNEA will be in misalignment with the changes proposed for FESS by FESS-182 RFC.
Risk assessment	<p>This RFC concerns changes at semantic level.</p> <p>More specifically, the current RFC proposes the necessary updates in order to apply R219 (except from the IE722 message) also to the Data Items “Gross Weight” and “Net Weight” included in the <(BODY) E-AD> Data Group of the IE801, IE815 and IE825 messages.</p> <p>Therefore, the present RFC can be deployed in production in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> • Alike any other semantic validation, R219 is validated only at the sending side of the IE801, IE815 and IE825 messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of R219 by the sender will not trigger any semantic rejection (IE906) by the receiver; <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE801, IE815 and IE825 messages are also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-182; • Children RFCs: TA-P3-095, CTP-P3-243; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-182
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016

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Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-214 - Maximum value of the Data Item “Alcoholic strength” included in the IE801 and IE815 messages

RFC Information

RFC number	DDNEA-P3-214
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM107035
Known Error	KE14443
Date at which the Change was proposed	31/10/2014
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-183 RFC.</p> <p>More specifically, the FESS-183 RFC proposed the renaming of the "Alcoholic strength" Data Item and the introduction of a new rule so as to ensure that the value of the “Alcoholic strength” Data Item is greater than or equal to 0.5 and less than or equal to 100.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following update shall be performed in DDNEA:</p> <ul style="list-style-type: none"> • Appendix C: EMCS Correlation Tables <ul style="list-style-type: none"> ○ The “<MESSAGE - (BODY) E-AD.Alcoholic Strength>” will be updated to “<MESSAGE - (BODY) E-AD.Alcoholic Strength by Volume in Percentage>”. • Appendix D: Technical Message Structure <ul style="list-style-type: none"> ○ A new rule, i.e. R237, will be introduced, which will read as follows: <p style="margin-left: 40px;"><i>“The value of the Data Item must be greater than or equal to 0.5 and less than or equal to 100”</i></p> <p>The new rule will apply to the “Alcoholic Strength” Data Item included in the <(BODY) E-AD> Data Group of the IE801 and IE815 messages.</p> ○ The Rule R232 will no longer apply to the “Alcoholic Strength” Data Item included in the <(BODY) E-AD>

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Data Group of the IE801 and IE815 messages.

- The Data Item "Alcoholic Strength" included in the <(BODY) E-AD> Data Group of the IE815 and IE801 messages will be renamed from:

"Alcoholic Strength"

to:

"Alcoholic Strength by Volume in Percentage"

- In conditions C047 and C152, the references to the Data Item <*Alcoholic Strength*> will be replaced with <*Alcoholic Strength by Volume in Percentage*>.
- Appendix E: XML Mapping:
 - The <MESSAGE - (BODY) E-AD.*Alcoholic Strength*> will be updated to <MESSAGE - (BODY) E-AD.*Alcoholic Strength by Volume in Percentage*>.
- Appendix G: Data Items:
 - The Data Item "*Alcoholic Strength*" will be renamed to "*Alcoholic Strength by Volume in Percentage*".
- Appendix K: Rules and Conditions Mapping
 - The associations of R232 with the IE paths "IE815.MESSAGE - (BODY) E-AD.*Alcoholic Strength*" and "IE801.MESSAGE - (BODY) E-AD.*Alcoholic Strength*" will be removed;
 - The references to the IE paths "*IE815.MESSAGE - (BODY) E-AD.Alcoholic Strength*" and "*IE801.MESSAGE - (BODY) E-AD.Alcoholic Strength*" will be replaced with the "*IE815.MESSAGE - (BODY) E-AD.Alcoholic Strength by Volume in Percentage*" and "*IE801.MESSAGE - (BODY) E-AD.Alcoholic Strength by Volume in Percentage*", respectively.
- Appendix H: Directory With XML Schemas (XSDs):

In the types.xsd, the description value in the simpleType "AlcoholicStrengthType" will be updated from:

```

<xs:annotation>
  <xs:documentation>
    <doc:description value="Alcoholic Strength" />
  </xs:documentation>

```

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	<p style="text-align: center;"></xs:annotation>”</p> <p style="text-align: center;">to</p> <p style="text-align: center;">“<xs:annotation> <xs:documentation> <doc:description value="Alcoholic Strength by Volume in Percentage" /> </xs:documentation> </xs:annotation>”</p>
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then DDNEA will be in misalignment with the changes proposed for FESS by FESS-183 RFC.
Risk assessment	<p>This RFC concerns changes at semantic level.</p> <p>More specifically, the current RFC proposes the introduction of a new rule so as to ensure that the maximum value of the “Alcoholic Strength” Data Item is 100.</p> <p>Therefore, the present RFC can be deployed in production in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> • Alike any other semantic validation, the newly introduced rule is validated only at the sending side of the IE801 and IE815 messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of the new rule by the sender will not trigger any semantic rejection (IE906) by the receiver; <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE801 and IE815 messages are also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-183; • Children RFCs: TA-P3-096, CTP-P3-244; • Other RFCs: -.
Indicative changes to legislation	

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Draft recital for information	Please refer to FESS-183
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-215- Update of the optionality of the <ADDRESSED AUTHORITY> Data Group in the IE724 message/ Rev1

RFC Information

RFC number	DDNEA-P3-215
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM113342
Known Error	N/A
Date at which the Change was proposed	24/04/2015
Requester	MSA BE

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-184 RFC.</p> <p>More specifically, the FESS-184 RFC proposed to update the optionality of the <ADDRESSED AUTHORITY> Data Group included in the IE724 message depending on the pre-existence of the corresponding Data Group of the IE723 message.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following update shall be performed in DDNEA:</p> <ul style="list-style-type: none"> • Appendix C: EMCS Correlation Tables: <ul style="list-style-type: none"> ○ The optionality of the <MESSAGE - ADDRESSED AUTHORITY> message element of the IE724 message should be updated from 'Optional' (i.e. 'O') to 'Dependent' (i.e. 'D') ; • Appendix D: Technical Message Structure <ul style="list-style-type: none"> ○ The optionality of the <ADDRESSED AUTHORITY> Data Group included in the IE724 message will be updated from "Optional" (i.e. "O") to "Dependent" (i.e. "D"). ○ A new condition, that is C183, shall apply to the <ADDRESSED AUTHORITY> Data Group of the IE724 message. The description of the specific condition shall be as follows: <p style="text-align: center;"><i>"IF at least one IE723 message was received for the corresponding request THEN <ADDRESSED AUTHORITY> is 'R' ELSE <ADDRESSED AUTHORITY> does not apply."</i></p>

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	<ul style="list-style-type: none"> ○ A new rule, that is R238, shall apply to the Data Items “Addressed Office Reference Number” and “Addressed Office Name” of the <ADDRESSED AUTHORITY> Data Group of the IE724 message. The description of the specific rule shall be as follows: <ul style="list-style-type: none"> <i>“The value must be the same as the corresponding value in the IE723 message(s) for the specific request”</i> ○ A new rule, that is R239, shall apply to the Data Items “Addressed Office Reference Number” and “Addressed Office Name” of the <ADDRESSED AUTHORITY> Data Group of the IE723 message. The description of the specific rule shall be as follows: <ul style="list-style-type: none"> <i>“When more than one IE723 messages exist for a specific request, the value must be the same in all IE723 messages”</i> <ul style="list-style-type: none"> ● Appendix K: Rules and Conditions Mapping <ul style="list-style-type: none"> ○ C183 (described above) will be added along the IE path associated with it, i.e. the following IE path “IE724. MESSAGE- ADDRESSED AUTHORITY”; ○ R238 (described above) will be added along the IE paths associated with it, i.e. the following IE paths “IE724. MESSAGE- ADDRESSED AUTHORITY. Addressed Office Reference Number” and “IE724. MESSAGE- ADDRESSED AUTHORITY. Addressed Office Name”; ○ R239 (described above) will be added along the IE paths associated with it, i.e. the following IE paths “IE723. MESSAGE- ADDRESSED AUTHORITY. Addressed Office Reference Number” and “IE723. MESSAGE- ADDRESSED AUTHORITY. Addressed Office Name”.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> ● DDNEA for EMCS Phase 3 (Medium); ● CTP for EMCS Phase 3 (Low). <p>CDEAs:</p> <ul style="list-style-type: none"> ● Central SEED v1 application (None); ● TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> ● Impact on NEAs (Low).
Effect of not implementing the Change	If the proposed change is not implemented, then DDNEA will be in misalignment with the changes proposed for FESS by FESS-184 RFC.
Risk assessment	<p>This RFC concerns a change at the semantic level.</p> <p>The current RFC proposes the necessary updates so that the</p>

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	<p>optionality of the <ADDRESSED AUTHORITY> is updated from “Optional” to “Dependent” in the IE724 message. Additionally new rules are introduced in the Data Items included in the <ADDRESSED AUTHORITY> Data Group of both the IE723 and IE724 messages. The aforementioned updates cause no change in the .xsds.</p> <p>The aforementioned change can be deployed in production in a Migration Period, since:</p> <ul style="list-style-type: none"> • Alike any other semantic validation, the new condition and the new rules are validated only at the sending side of the IE723 and IE724 messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of the new condition and/or the new rules by the sender will not trigger any semantic rejection (IE906) by the receiver <p><i>The changes introduced by the specific RFC do not affect the External Domain, since the IE724 message is not exchanged over the ED.</i></p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-184; • Children RFCs: CTP-P3-245; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-184
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016 ¹⁰

¹⁰ DDNEA-P3-215 RFC was first discussed at EMCS CAB #145 on 31/03/2016

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Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-216 – State transition from the “Extended” to the “Extended” state both for the ACO and MVS functionality

RFC Information

RFC number	DDNEA-P3-216
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM120057
Known Error	KE14435
Date at which the Change was proposed	26/06/2015
Requester	MSA DE

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-185 RFC.</p> <p>More specifically, the FESS-185 RFC proposed to update the Common Specification so that the state transition from the "Extended" to the "Extended" state are allowed both for ACO and MVS requests.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed in the main document of DDNEA:</p> <ol style="list-style-type: none"> <u>State transition updates</u> <ul style="list-style-type: none"> Figure 182: <i>STD at Requesting MSA for Request for assistance scenarios</i> will be updated to indicate the following state transition: <ul style="list-style-type: none"> “Extended” to “Extended” with the transmission of an IE868 message. Figure 183: <i>STD at Requested MSA for Request for assistance scenarios</i> will be updated to indicate the following state transition: <ul style="list-style-type: none"> “Extended” to “Extended” with the reception of an IE868 message. Figure 206: <i>STD at Requesting MSA for Movement verification for duty paid movements scenarios</i> will be updated to indicate the following state transition: <ul style="list-style-type: none"> “Extended” to “Extended” with the transmission of an IE723 message. Figure 207: <i>STD at Requested MSA for Movement</i>

	<p>verification for duty paid movements scenarios will be updated to indicate the following state transition:</p> <ul style="list-style-type: none"> ○ “Extended” to “Extended” with the reception of an IE723 message. <p><u>Scenario updates</u></p> <ul style="list-style-type: none"> • The following Sections will be updated as shown in “Annex 3: DDNEA-P3-216 – State transition from the “Extended” to the “Extended” state both for the ACO and MVS functionality”: <ul style="list-style-type: none"> a) IV.II.2.3.Submission of an answer for extending the deadline to a request for assistance; b) IV.IV.3 Submission of an answer for extending the deadline; • In Section “IV.IV.1 Submission of a movement verification request”, the following text: <p><i>“The Requesting MSA application initiates the timer TIM_MVS to expire at the expected deadline for results as specified in the request message.”</i></p> <p>will be updated as follows:</p> <p><i>“The Requesting MSA application initiates the timer TIM_MVS to expire at the expected deadline for results as specified in the request message or in the extended deadline for results as specified in the answer message.”</i></p>
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Low).
Effect of implementing Change	<p>not the</p> <p>If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-185 RFC.</p>
Risk assessment	<p>This RFC concerns changes at the semantic level. More specifically, it introduced the state transition from the "Extended" to the "Extended" state both for ACO and MVS requests.</p> <p>If not all MSAs deploy this RFC in production at the same time, then if the state of the corresponding ACO or MVS request is in the “Extended” state, any IE868 or IE723 message respectively sent to the Requesting MSA, will be rejected via an IE906 message reporting an “out-of-sequence” violation. This will be due to the current state transition</p>

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	<p>diagrams, according to which, both for an ACO or an MVS request, the only possible next state after the “Extended” state, is either “Late” or “Closed”.</p> <p>In this case the Requesting MSA and the Requested MSA will be in a de-synchronised state, which will not be possible to synchronise via the status-synchronisation functionality.</p> <p>Hence:</p> <ul style="list-style-type: none"> • If the MSAs that opt to deploy this RFC in production before M_i are capable of differentiating the behaviour of their NEAs, so that they behaves as today when communicating with P3.2 MSAs whereas they behave in alignment with this RFC when communicating with P3.3 MSAs (only), this RFC can be deployed in a Migration Period without business continuity risks. This is considered an operational guideline, in order to avoid rejections until this RFC is implemented by all MSAs; • Otherwise, a Simultaneous Deployment at M_i would be more appropriate than a Migration Period deployment for the rollout of the specific RFC due to the entailed business continuity risks (i.e. in order to avoid the de-synchronisation between the Requesting MSA and the Requested MSA). <p><i>The changes introduced by the specific RFC, do not affect the External Domain, since the IE868 and IE723 messages are not exchanged over the ED.</i></p>
Deployment approach	This RFC shall be simultaneously deployed by all MSAs at M_i due to the entailed business continuity risks, unless the operation guideline described in the “Risk assessment” is followed, in which case the RFC can be deployed in a Migration Period without business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-185; • Children RFCs: CTP-P3-246; • Other RFCs: -.
Indicative changes to legislation	
Draft recital for information	Please refer to FESS-185
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #145 on 31/03/2016

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Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _i

Change Review

Review date	
Review results	

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DDNEA-P3-217 – Increase of the length of free text fields in the ACO and MVS messages/ Rev1

RFC Information

RFC number	DDNEA-P3-217
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM148005
Known Error	KE15069
Date at which the Change was proposed	21/03/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-186 RFC.</p> <p>More specifically, the FESS-186 RFC proposed to increase the length of several free text Data Items included in the both the ACO and MVS messages.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed in DDNEA:</p> <ul style="list-style-type: none"> Appendix C: EMCS Correlation Tables: <p>Update of the Data Type from “an..350” to “an..999” for the following Message Elements:</p> <ul style="list-style-type: none"> MESSAGE - MEANS OF TRANSPORT.Complementary Information; <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information>; <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON - RISK ASSESSMENT REFERENCE.Other Risk Profile>; <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - ACTIONS REQUESTED.ACO Action Complement>; <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information>;

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- <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description>;
- <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document>;
- <MESSAGE- REQUEST REASON.Complementary Information>;
- <MESSAGE- ACTIONS REQUESTED.Complementary Information>;
- <MESSAGE - GOODS ITEM.Commercial Description of the Goods>;
- <MESSAGE - ACTIONS RESULT.Complementary Information>;
- <MESSAGE - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Not Possible Reason Complement>;
- <MESSAGE - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Other Finding Type>;
- <MESSAGE - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Complementary Explanations>;
- <MESSAGE - ANSWER.History Refusal Reason Complement>;
- <MESSAGE - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Complement>;
- <MESSAGE - DOCUMENTS.Document Description>;
- <MESSAGE - DOCUMENTS.Reference of Document>;
- <MESSAGE - C_COO_RES - DOCUMENTS. Document Description> ;
- <MESSAGE - C_COO_RES - DOCUMENTS. Reference of Document>;
- <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Not Possible Reason Complement>;
- <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Other Finding Type>;
- <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Complementary Explanations>;
- <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Complement>;

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- <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description> ;
- <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document>;
- <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON - RISK ASSESSMENT REFERENCE.Other Risk Profile>;
- <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - ACTIONS REQUESTED.ACO Action Complement>;
- <MESSAGE - HISTORY REQUEST.Request Reason>.
- <MESSAGE - C_COO_SUB - HISTORY REQUEST.Request Reason>;

Update of the Data Type from “an..500” to “an..999” for the following Message Element:

- <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST.Administrative Cooperation Request Information>;
- <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST.Administrative Cooperation Request Information>;

Update of the Data Type from “an..255” to “an..999” for the following Message Element:

- <MESSAGE - FINDINGS.Notes>.

Rename the following message elements:

- The message element “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description” will be updated to “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Supporting Document Description”;
- The message element “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description_LNG” will be updated to “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Supporting Document Description_LNG”;
- The message element “MESSAGE - DOCUMENTS.Document Description” will be updated

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	<p>to “MESSAGE - DOCUMENTS.Supporting Document Description”;</p> <ul style="list-style-type: none"> ▪ The message element “MESSAGE - DOCUMENTS.Document Description_LNG” will be updated to “MESSAGE - DOCUMENTS.Supporting Document Description_LNG”; ▪ The message element “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description” will be updated to “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Supporting Document Description”; ▪ The message element “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description_LNG” will be updated to “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Supporting Document Description_LNG”; ▪ The message element “MESSAGE - C_COO_RES - DOCUMENTS.Document Description” will be updated to “MESSAGE - C_COO_RES - DOCUMENTS.Supporting Document Description”; ▪ The message element “MESSAGE - C_COO_RES - DOCUMENTS.Document Description_LNG” will be updated to “MESSAGE - C_COO_RES - DOCUMENTS.Supporting Document Description_LNG”; ▪ The message element “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document” will be updated to “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Supporting Document”; ▪ The message element “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document_LNG” will be updated to “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Supporting Document_LNG”; ▪ The message element “MESSAGE - DOCUMENTS.Reference of Document” will be updated
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	<p>to “MESSAGE - DOCUMENTS.Reference of Supporting Document”;</p> <ul style="list-style-type: none"> ▪ The message element “MESSAGE - DOCUMENTS.Reference of Document_LNG” will be updated to “MESSAGE - DOCUMENTS.Reference of Supporting Document_LNG”; ▪ The message element “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document” will be updated to “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Supporting Document”; ▪ The message element “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document_LNG” will be updated to “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Supporting Document_LNG”; ▪ The message element “MESSAGE - C_COO_RES - DOCUMENTS.Reference of Document” will be updated to “MESSAGE - C_COO_RES - DOCUMENTS.Reference of Supporting Document”; ▪ The message element “MESSAGE - C_COO_RES - DOCUMENTS.Reference of Document_LNG” will be updated to “MESSAGE - C_COO_RES - DOCUMENTS.Reference of Supporting Document_LNG”; ▪ The message element “MESSAGE - HISTORY REQUEST.Request Reason” will be updated to “MESSAGE - HISTORY REQUEST.History Request Reason”; ▪ The message element “MESSAGE - HISTORY REQUEST.Request Reason_LNG” will be updated to “MESSAGE - HISTORY REQUEST.History Request Reason_LNG”; ▪ The message element “MESSAGE - C_COO_SUB - HISTORY REQUEST.Request Reason” will be updated to “MESSAGE - C_COO_SUB - HISTORY REQUEST.History Request Reason”;
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	<ul style="list-style-type: none"> ▪ The message element “MESSAGE - C_COO_SUB - HISTORY REQUEST.Request Reason_LNG” will be updated to “MESSAGE - C_COO_SUB - HISTORY REQUEST.History Request Reason_LNG”; ▪ The message element “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information” will be updated to “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.ACO_Complementary Information”; ▪ The message element “MESSAGE- REQUEST REASON.Complementary Information” will be updated to “MESSAGE- REQUEST REASON.ACO_Complementary Information”; ▪ The message element “MESSAGE- ACTIONS REQUESTED.Complementary Information” will be updated to “MESSAGE- ACTIONS REQUESTED.ACO_Complementary Information”; ▪ The message element “MESSAGE - ACTIONS RESULT.Complementary Information” will be updated to “MESSAGE - ACTIONS RESULT.ACO_Complementary Information”; ▪ The message element “MESSAGE - MEANS OF TRANSPORT.Complementary Information” will be updated to “MESSAGE - MEANS OF TRANSPORT.ACO_Complementary Information”; ▪ The message element “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information” will be updated to “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.ACO_Complementary Information”. ▪ The message element “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information_LNG” will be updated to “MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.ACO_Complementary Information_LNG”; ▪ The message element “MESSAGE- REQUEST REASON.Complementary Information_LNG” will be updated to “MESSAGE- REQUEST REASON.ACO_Complementary Information_LNG”; ▪ The message element “MESSAGE- ACTIONS REQUESTED.Complementary Information_LNG” will be updated to “MESSAGE- ACTIONS REQUESTED.ACO_Complementary Information_LNG”;
--	--

	<p>Information_LNG”;</p> <ul style="list-style-type: none"> ▪ The message element “MESSAGE - ACTIONS RESULT.Complementary Information_LNG” will be updated to “MESSAGE - ACTIONS RESULT.ACO_Complementary Information_LNG”; ▪ The message element “MESSAGE - MEANS OF TRANSPORT.Complementary Information_LNG” will be updated to “MESSAGE - MEANS OF TRANSPORT.ACO_Complementary Information_LNG”; ▪ The message element “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information_LNG” will be updated to “MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.ACO_Complementary Information_LNG”. <ul style="list-style-type: none"> • Appendix D: Technical Message Structure: <ul style="list-style-type: none"> ▪ The format of the Data Item <IE721. (ADMINISTRATIVE COOPERATION) REQUEST. Administrative Cooperation Request Information> will be updated from “an..500” to “an..999”; ▪ The format of the Data Item <IE820.MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST.Administrative Cooperation Request Information> will be updated from “an..500” to “an..999”; ▪ The format of the Data Item <IE721. REQUEST REASON. Complementary Information> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE721. RISK ASSESSMENT REFERENCE. Other Risk Profile> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE820.MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON - RISK ASSESSMENT REFERENCE.Other Risk Profile> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE721. ACTIONS REQUESTED. ACO Action Complement> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE820.MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - ACTIONS REQUESTED.ACO Action Complement> will be updated from “an..350” to “an..999”;
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- The format of the Data Item <IE820.MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Complement> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE721.DOCUMENTS. Document Description> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE721.DOCUMENTS. Reference of Document> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE721.HISTORY REQUEST.Request Reason> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE722. REQUEST REASON. Complementary Information> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE722.ACTIONS REQUESTED. Complementary Information> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE722. GOODS ITEM. Commercial Description of the Goods> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE722. MEANS OF TRANSPORT. Complementary Information> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE725 ACTIONS RESULT.Complementary Information> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE725 FINDINGS.Notes> will be updated from “an..255” to “an..999”;
- The format of the Data Item <IE867. (ADMINISTRATIVE COOPERATION) ACTION RESULT. ACO Action Not Possible Reason Complement> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE820.<MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Not Possible Reason Complement> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE867. (ADMINISTRATIVE COOPERATION) ACTION RESULT. Other Finding Type> will be updated from “an..350” to “an..999”;
- The format of the Data Item <IE820. MESSAGE -

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	<p>C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Other Finding Type> will be updated from “an..350” to “an..999”;</p> <ul style="list-style-type: none"> ▪ The format of the Data Item <IE867. (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Complement> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE868. ANSWER. History Refusal Reason Complement> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE867. (ADMINISTRATIVE COOPERATION) ACTION RESULT. Complementary Explanations> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE820.MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Complementary Explanations> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE867.DOCUMENTS. Document Description> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE867.DOCUMENTS. Reference of Document> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE820. C_COO_SUB.DOCUMENTS. Document Description> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE820. C_COO_SUB.DOCUMENTS. Reference of Document > will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE820. C_COO_RES.DOCUMENTS. Document Description> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE820. C_COO_RES.DOCUMENTS. Reference of Document> will be updated from “an..350” to “an..999”; ▪ The format of the Data Item <IE820. C_COO_SUB. HISTORY REQUEST. Request Reason> will be updated from “an..350” to “an..999”; ▪ The Data Item “Document Description” in the IE721, IE820 and IE867 will be renamed to “Supporting Document Description”; ▪ The Data Item “Document Description_LNG” in the IE721, IE820 and IE867 will be renamed to “Supporting Document Description_LNG”;
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	<ul style="list-style-type: none"> ▪ The Data Item “Reference of Document” in the IE721, IE820 and IE867 will be renamed to “Reference of Supporting Document”; ▪ The Data Item “Reference of Document_LNG” in the IE721, IE820 and IE867 will be renamed to “Reference of Supporting Document_LNG”; ▪ The Data Item “Request Reason” in the IE721 and IE820 will be renamed to “History Request Reason”; ▪ The Data Item “Request Reason_LNG” in the IE721 and IE820 will be renamed to “History Request Reason_LNG”; ▪ The Data Item “Complementary Information” in the IE721, IE722 and IE725 messages will be renamed to “ACO_Complementary Information” with format “an..999”. ▪ The Data Item “Complementary Information_LNG” in the IE721, IE722 and IE725 messages will be renamed to “ACO_Complementary Information_LNG”. ▪ C127 will be updated to: IF <MEANS OF TRANSPORT.Transport Mode Code> is "Other" THEN <ACO_Complementary Information> is 'R' ELSE <ACO_Complementary Information> does not apply ELSE IF <TRANSPORT MODE.Transport Mode Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> does not apply; ▪ In Conditions C131, C170 and C171 the references to “<Complementary Information>” will be updated to “<ACO_Complementary Information>”; ▪ In R229 the the references to “<Complementary Information>” and “<Complementary Information_LNG>” will be updated to “<ACO_Complementary Information>” and “<ACO_Complementary Information_LNG>”; ▪ In C142 the references to “<Short Description of Document>” and “<Reference of Document>” will be updated to “<Short Description of Supporting Document>” and “<Reference of Supporting Document>”, respectively. (Note that further updates will be performed in Cond142, if RFC DDNEA-P3-219 is approved); <ul style="list-style-type: none"> • Appendix E: XML Mapping: <ul style="list-style-type: none"> ▪ The data type of the Data Item <MESSAGE - (ADMINISTRATIVE COOPERATION)>
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	<p>REQUEST.Administrative Cooperation Request Information> will be updated from “an..500” to “an..999”;</p> <ul style="list-style-type: none"> ▪ The data type of the Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST.Administrative Cooperation Request Information> will be updated from “an..500” to “an..999”; ▪ The Data Item <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information> will be renamed to <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.ACO_Complementary Information> and its Data Type will be updated from “an..350” to “an..999”; ▪ The Data Item <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information_LNG> will be renamed to <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.ACO_Complementary Information_LNG>; ▪ The data type of the Data Item < MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON - RISK ASSESSMENT REFERENCE.Other Risk Profile> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON - RISK ASSESSMENT REFERENCE.Other Risk Profile> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item < MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - ACTIONS REQUESTED.ACO Action Complement> will be updated from “an..350” to “an..999”; ▪ The Data Item <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description> will be renamed to <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Supporting Document Description>, its Data Type will be updated from “an..350” to “an..999” and the corresponding XML tag will be updated from “DocumentDescription” to “SupportingDocumentDescription”; ▪ The Data Item <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description_LNG> will be renamed to <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Supporting Document
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	<p>Description_LNG> and the corresponding XML tag will be updated from “DocumentDescriptionLng” to “SupportingDocumentDescriptionLng”;</p> <ul style="list-style-type: none"> ▪ The Data Item <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document> will be renamed to <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Supporting Document>, its Data Type will be updated from “an..350” to “an..999” and the corresponding XML tag will be updated from “ReferenceOfDocument” to “ReferenceOfSupportingDocument”; ▪ The Data Item <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document_LNG> will be renamed to <MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Supporting Document_LNG> and the corresponding XML tag will be updated from “ReferenceOfDocumentLng” to “ReferenceOfSupportingDocumentLng”; ▪ The Data Item <MESSAGE - HISTORY REQUEST.Request Reason> will be renamed to <MESSAGE - HISTORY REQUEST.History Request Reason>, its Data Type will be updated from “an..350” to “an..999” and the corresponding XML tag will be updated from “RequestReason” to “HistoryRequestReason”; ▪ The Data Item <MESSAGE - HISTORY REQUEST.Request Reason_LNG> will be renamed to <MESSAGE - HISTORY REQUEST.History Request Reason_LNG> and the corresponding XML tag will be updated from “RequestReasonLng” to “HistoryRequestReasonLng”; ▪ The data type of the Data Item <MESSAGE - GOODS ITEM.Commercial Description of the Goods> will be updated from “an..350” to “an..999”; ▪ The Data Item <MESSAGE - MEANS OF TRANSPORT.Complementary Information> will be renamed to <MESSAGE - MEANS OF TRANSPORT.ACO_Complementary Information> and its Data Type will be updated from “an..350” to “an..999”; ▪ The Data Item <MESSAGE - MEANS OF TRANSPORT.Complementary Information_LNG> will be renamed to <MESSAGE - MEANS OF TRANSPORT.ACO_Complementary
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	<p>Information_LNG>;</p> <ul style="list-style-type: none"> ▪ The Data Item <MESSAGE - ACTIONS RESULT.Complementary Information> will be renamed to <MESSAGE - ACTIONS RESULT.ACO_Complementary Information> and its Data Type will be updated from “an..350” to “an..999”; ▪ The Data Item <MESSAGE - ACTIONS RESULT.Complementary Information_LNG> will be renamed to <MESSAGE - ACTIONS RESULT.ACO_Complementary Information_LNG>; ▪ The Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information> will be renamed to <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.ACO_Complementary Information> and its Data Type will be updated from “an..350” to “an..999”; ▪ The Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.Complementary Information_LNG> will be renamed to <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - REQUEST REASON.ACO_Complementary Information_LNG>; ▪ The Data Item <MESSAGE - ACTIONS REQUESTED.Complementary Information> will be renamed to <MESSAGE - ACTIONS REQUESTED.ACO_Complementary Information> and its Data Type will be updated from “an..350” to “an..999”; ▪ The Data Item <MESSAGE - ACTIONS REQUESTED.Complementary Information_LNG> will be renamed to <MESSAGE - ACTIONS REQUESTED.ACO_Complementary Information_LNG>; ▪ The data type of the Data Item < MESSAGE - FINDINGS.Notes> will be updated from “an..250” to “an..999”; ▪ The data type of the Data Item < MESSAGE - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Not Possible Reason Complement> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Not Possible Reason Complement> will be updated from “an..350” to “an..999”;
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	<ul style="list-style-type: none"> ▪ The data type of the Data Item < MESSAGE - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Other Finding Type> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Other Finding Type> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item < MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Complement> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - ACTIONS REQUESTED.ACO Action Complement> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Complement> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item < MESSAGE - ANSWER.History Refusal Reason Complement> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item <MESSAGE - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Complementary Explanations> will be updated from “an..350” to “an..999”; ▪ The data type of the Data Item <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Complementary Explanations> will be updated from “an..350” to “an..999”; ▪ The Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description> will be renamed to <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Supporting Document Description>, its Data Type will be updated from “an..350” to “an..999” and the corresponding XML tag will be updated from “DocumentDescription” to “SupportingDocumentDescription”; ▪ The Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Document Description_LNG> will be renamed to <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Supporting Document Description_LNG> and the corresponding XML tag will be updated from “DocumentDescriptionLng” to
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	<p>“SupportingDocumentDescriptionLng”;</p> <ul style="list-style-type: none"> ▪ The Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document> will be renamed to <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Supporting Document>, its Data Type will be updated from “an..350” to “an..999” and the corresponding XML tag will be updated from “ReferenceOfDocument” to “ReferenceOfSupportingDocument”; ▪ The Data Item <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Document_LNG> will be renamed to <MESSAGE - C_COO_SUB - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS.Reference of Supporting Document_LNG> and the corresponding XML tag will be updated from “ReferenceOfDocumentLng” to “ReferenceOfSupportingDocumentLng”; ▪ The Data Item <MESSAGE - C_COO_SUB - HISTORY REQUEST.Request Reason> will be renamed to <MESSAGE - C_COO_SUB - HISTORY REQUEST.History Request Reason>, its Data Type will be updated from “an..350” to “an..999” and the corresponding XML tag will be updated from “RequestReason” to “HistoryRequestReason”; ▪ The Data Item <MESSAGE - C_COO_SUB - HISTORY REQUEST.Request Reason_LNG> will be renamed to <MESSAGE - C_COO_SUB - HISTORY REQUEST.History Request Reason_LNG> and the corresponding XML tag will be updated from “RequestReasonLng” to “HistoryRequestReasonLng”; ▪ The Data Item <MESSAGE - C_COO_RES - DOCUMENTS.Document Description> will be renamed to <MESSAGE - C_COO_RES - DOCUMENTS.Supporting Document Description>, its Data Type will be updated from “an..350” to “an..999” and the corresponding XML tag will be updated from “DocumentDescription” to “SupportingDocumentDescription”; ▪ The Data Item <MESSAGE - C_COO_RES - DOCUMENTS.Document Description_LNG> will be renamed to <MESSAGE - C_COO_RES - DOCUMENTS.Document Description_LNG> and the corresponding XML tag will be updated from “DocumentDescriptionLng” to “SupportingDocumentDescriptionLng”; ▪ The Data Item <MESSAGE - C_COO_RES - DOCUMENTS.Reference of Document> will be renamed to <MESSAGE - C_COO_RES - DOCUMENTS.Reference of Supporting Document>, its
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Data Type will be updated from “an..350” to “an..999” and the corresponding XML tag will be updated from “ReferenceOfDocument” to “ReferenceOfSupportingDocument”;

- The Data Item <MESSAGE - C_COO_RES - DOCUMENTS.Reference of Document_LNG> will be renamed to <MESSAGE - C_COO_RES - DOCUMENTS.Reference of Supporting Document_LNG> and the corresponding XML tag will be updated from “ReferenceOfDocumentLng” to “ReferenceOfSupportingDocumentLng”;

- APPENDIX G: DATA ITEMS

- The following Data Items will be added in the table of Appendix G.

Data item	Format
Supporting Document Description	an..999
Supporting Document Description_LNG	a2
Reference of Supporting Document	an..999
Reference of Supporting Document_LNG	a2
History Request Reason	an..999
History Request Reason_LNG	a2
ACO_Complementary Information	an..999
ACO_Complementary Information_LNG	a2

- Appendix H: Directory With XML Schemas (XSDs):

- The following updates shall be performed in types .xsd:
 - The following part of the definition of the Data Item <IE721. (ADMINISTRATIVE COOPERATION) REQUEST. Administrative Cooperation Request Information> will be updated from:

```

"<xs:maxLength value="500" />
  <xs:pattern value=".{1,500}" />"

```

to:

```

"<xs:maxLength value="999" />
  <xs:pattern value=".{1,999}" />"

```

- The following part of the definition of the below Data Items:

- <IE721. REQUEST REASON. Complementary

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	<p>Information>;</p> <ul style="list-style-type: none"> ▪ <IE721. RISK ASSESSMENT REFERENCE. Other Risk Profile>; ▪ <IE721. ACTIONS REQUESTED. ACO Action Complement>; ▪ <IE722. REQUEST REASON. Complementary Information>; ▪ <IE722.ACTIONS REQUESTED. Complementary Information>; ▪ <IE722. GOODS ITEM. Commercial Description of the Goods>; ▪ <IE722. MEANS OF TRANSPORT. Complementary Information>; ▪ <IE725. ACTIONS RESULT.Complementary Information>; ▪ <IE867. (ADMINISTRATIVE COOPERATION) ACTION RESULT. ACO Action Not Possible Reason Complement>; ▪ <IE867. (ADMINISTRATIVE COOPERATION) ACTION RESULT. Other Finding Type>; ▪ <IE867. (ADMINISTRATIVE COOPERATION) ACTION RESULT.ACO Action Complement>; ▪ <IE868. ANSWER. History Refusal Reason Complement>; ▪ <IE867. (ADMINISTRATIVE COOPERATION) ACTION RESULT. Complementary Explanations>; <p>will be updated from:</p> <pre><<xs:maxLength value="350" /> <xs:pattern value=".{1,350}" />"</pre> <p>to:</p> <pre><<xs:maxLength value="999" /> <xs:pattern value=".{1,999}" />"</pre> <ul style="list-style-type: none"> ○ The following part of the definition of the Data Item <IE725.
--	--

FINDINGS.Notes> will be updated from:

```
"<xs:maxLength value="255" />
  <xs:pattern value=".{1,255}" />"
```

to:

```
"<xs:maxLength value="999" />
  <xs:pattern value=".{1,999}" />"
```

- o The following new types will be inserted in the types.xsd:

```
!--
=====
----->
<!--====      Supporting Document Description
=====-->
<!--
=====
----->
<xs:simpleType
name="SupportingDocumentDescriptionType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="Supporting Document
Description" />
    </xs:documentation>
  </xs:annotation>
  <xs:restriction base="xs:token">
    <xs:maxLength value="999" />
    <xs:pattern value=".{1,999}" />
  </xs:restriction>
</xs:simpleType>

<!--
=====
----->
<!--====      Reference of Supporting Document
=====-->
<!--
=====
----->
<xs:simpleType
name="ReferenceOfSupportingDocumentType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="Reference of Supporting
Document" />
    </xs:documentation>
  </xs:annotation>
```

```

<xs:restriction base="xs:token">
  <xs:maxLength value="999" />
  <xs:pattern value=".{1,999}" />
</xs:restriction>
</xs:simpleType>

<!--
=====
----->
<!--=====      History Request Reason
=====-->
<!--
=====
----->
<xs:simpleType name="HistoryRequestReasonType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="History Request Reason"
    />
  </xs:documentation>
</xs:annotation>
  <xs:restriction base="xs:token">
    <xs:maxLength value="999" />
    <xs:pattern value=".{1,999}" />
  </xs:restriction>
</xs:simpleType>

```

- The complextype "DocumentsType" included in the ie721.xsd will be updated from:

```

"<xs:complexType name="DocumentsType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="DOCUMENTS" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="DocumentDescription"
      type="ie:LSDDocumentDescriptionType"
      minOccurs="0" />
    <xs:element name="ReferenceOfDocument"
      type="ie:LSDReferenceOfDocumentType"
      minOccurs="0" />
    <xs:element name="ImageOfDocument"
      type="xs:base64Binary" minOccurs="0" />
  </xs:sequence>
</xs:complexType>"

```

to:

```

"<xs:complexType name="DocumentsType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="DOCUMENTS" />
    </xs:documentation>

```

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```

</xs:annotation>
<xs:sequence>
  <xs:element
    name="SupportingDocumentDescription"
    type="ie:LSDSupportingDocumentDescriptionType"
    minOccurs="0" />
  <xs:element
    name="ReferenceOfSupportingDocument"
    type="ie:LSDReferenceOfSupportingDocumentType"
    minOccurs="0" />
  <xs:element name="ImageOfDocument"
    type="xs:base64Binary" minOccurs="0" />
</xs:sequence>
</xs:complexType>

```

- The complextype "HistoryRequestType" included in the ie721.xsd will be updated from:

```

"<xs:complexType name="HistoryRequestType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="HISTORY
REQUEST" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element
      name="AdministrativeReferenceCode"
      type="emcs:AdministrativeReferenceCodeType" />
    <xs:element
      name="HistoryRequestScopeType"
      type="tcl:HistoryRequestScopeType" />
    <xs:element name="ScopeDate"
      type="emcs:DateType" minOccurs="0" />
    <xs:element name="RequestReason"
      type="ie:LSDRequestReasonType" />
  </xs:sequence>
</xs:complexType>"

```

to:

```

"<xs:complexType name="HistoryRequestType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="HISTORY
REQUEST" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element
      name="AdministrativeReferenceCode"
      type="emcs:AdministrativeReferenceCodeType" />
    <xs:element
      name="HistoryRequestScopeType"
      type="tcl:HistoryRequestScopeType" />
    <xs:element name="ScopeDate"
      type="emcs:DateType" minOccurs="0" />

```

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	<pre><xs:element name="HistoryRequestReason" type="ie:LSDHistoryRequestReasonType" /> </xs:sequence> </xs:complexType></pre> <ul style="list-style-type: none"> ○ The complextype "LSDDocumentDescriptionType", the complextype "LSDReferenceOfDocumentType" and the complextype "LSDRequestReasonType" included in the ie721.xsd will also be updated accordingly; ○ The complextype "LSDComplementaryInformationType" included in ie721.xsd, ie722.xsd and ie725.xsd will be updated accordingly; ○ The IE867.xsd and ie820.xsd will be updated accordingly (considering the proposed updates on the types.xsd and ie721.xsd described above); <ul style="list-style-type: none"> • Appendix I: Directory with Web Service Interface Definitions (WSDLs): <ul style="list-style-type: none"> ○ All changes applicable to Appendix H are also applicable to Appendix I.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Low).
Effect of not implementing the Change	<p>If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-186 RFC.</p>
Risk assessment	<p>This RFC concerns changes in the types.xsd.</p> <p>More specifically, it proposes the increase of the length of several free text fields included in the ACO and MVS messages.</p> <p>It is considered that the aforementioned change has no impact on business continuity and can therefore be deployed in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> • If the sender is aligned with the new .xsds (i.e. ie721.xsd, ie820.xsd ie867.xsd, ie868.xsd, ie868.xsd, ie722.xsd, ie723.xsd and ie725.xsd) with respect to the aforementioned updates while the receiver is not, the respective messages will not be validated successfully by the receiver, in case that the length of

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	<p>the free text fields included in them is bigger than that allowed by the receiver. To avoid such rejections, as a transformation solution, it is proposed, that the sending application removes any extra characters (compared to the existing length) from the free text fields included in the IE721, IE867, IE868, IE722, IE723 and IE725 messages sent. It is considered that the proposed bypass solution does not entail any business continuity risk, since the information included in the aforementioned free text fields is not considered to be critical;</p> <ul style="list-style-type: none"> In the same way, if the sender is not aligned with the new .xsds (i.e. ie721.xsd, ie820.xsd, ie867.xsd, ie868.xsd, ie868.xsd, ie722.xsd, ie723.xsd and ie725.xsd) with respect to the aforementioned updates when communicating with MSAs that have already deployed this RFC in production, the messages sent will be validated successfully by the receiver, since the length of the free text fields included in the ACO and MVS messages sent will be smaller than that the maximum length allowed by the receiver for these Data Items. <p><i>The changes introduced by the specific RFC, do not affect the External Domain, since the IE721, IE820, IE867, IE868, IE722, IE723 and IE725 messages are not exchanged over the ED.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> Parent RFCs: FESS-186; Children RFCs: TA-P3-097, CTP-P3-247; Other RFCs: DDNEA-P3-219.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-186
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> Category of the Change: Review Approval process: <ul style="list-style-type: none"> The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016 ¹¹

¹¹ DDNEA-P3-217 RFC was first discussed at EMCS CAB #145 on 31/03/2016

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Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-218 – Introduction of the Data Item “National Case Reference Identifier” in the ACO and MVS messages/ Rev1

RFC Information

RFC number	DDNEA-P3-218
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM148006
Known Error	N/A
Date at which the Change was proposed	21/03/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-187 RFC.</p> <p>More specifically, the FESS-187 RFC proposed the introduction of the “National Case Reference Identifier” Data Item in the <FOLLOW UP> Group of the Requests for assistance and in the Movement Verification Requests correlated messages.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed in DDNEA:</p> <ul style="list-style-type: none"> • Appendix C: EMCS Correlation Tables: <ul style="list-style-type: none"> ○ A new Message Element that is: < MESSAGE - FOLLOW UP. National Case Reference Identifier > will be inserted with: <ul style="list-style-type: none"> ▪ the Data Type set to “an..99”; and ▪ the optionality set to “Optional” (i.e. “O”) for the IE721 and IE722 messages and to “Dependent” (i.e. “D”) for the IE820, IE867, IE868, IE869, IE723, IE724 and IE725 messages. • Appendix D: Technical Message Structure: <ul style="list-style-type: none"> ○ Under the Data Group <FOLLOW UP> of the IE721 and IE722 messages, a new Data Item, that is “National Case Reference Identifier” will be added as follows: <p style="margin-left: 40px;"><i>National Case Reference Identifier O an..99</i></p> ○ Under the Data Group <FOLLOW UP> of the IE820, IE867, IE868 and IE869 messages, a new Data Item, that is

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“National Case Reference Identifier” will be added as follows:

*National Case Reference Identifier D an..99 C184
R240*

- Under the Data Group <FOLLOW UP> of the IE723, IE724 and IE725 messages, a new Data Item, that is “National Case Reference Identifier” will be added as follows:

*National Case Reference Identifier D an..99 C185
R241*

- C184 and C185 will be introduced in Section “Conditions” as follows:

- C184:

*“IF <Follow Up Correlation ID> does not match with <Follow Up Correlation ID> in a request message
THEN <National Case Reference Identifier> is ‘O’
ELSE IF <Follow Up Correlation ID> matches with <Follow Up Correlation ID> in a request message AND
<National Case Reference Identifier> is present in the request message
THEN <National Case Reference Identifier> is ‘R’
ELSE <National Case Reference Identifier> does not apply.”*

- C185:

*“IF <National Case Reference Identifier> is present in the request message
THEN <National Case Reference Identifier> is ‘R’
ELSE <National Case Reference Identifier> does not apply.”*

- R240 and R241 will be introduced in Section “Rules” as follows:

- R240:

*“IF <Follow Up Correlation ID> matches with <Follow Up Correlation ID> in a request message AND
<National Case Reference Identifier> is present in the request message
THEN
<National Case Reference Identifier> must be equal to the value of <National Case Reference Identifier> in the request message.”*

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- R241

“The value of the Data Item must be equal to the value of <National Case Reference Identifier> in the request message.”

Note: If RFC DDNEA-P3-227 is approved, then C185 and R241 will need to be amended (similarly to C184 and R240, respectively), due to the insertion of the MVS spontaneous information functionality.

- Appendix E: XML MAPPING:

- A new Data Item that is “MESSAGE –FOLLOW UP. National Case Reference Identifier” will be added with:
 - the Data Type set to “an..99”; and
 - the XML-Tag set to “NationalCaseReferencelIdentifier”.

- Appendix G: Data Items:

A new Data Item, that is “National Case Reference Identifier” will be added with format set to “an..99”.

- Appendix H: DIRECTORY WITH XML SCHEMAS (XSDS):

- In the ie820.xsd, ie721.xsd, ie867.xsd, ie868.xsd and ie869.xsd, under the “FollowUpType” complexType and more specifically following the element:

```
<xs:element name="FollowUpCorrelationId" type="emcs:FollowUpCorrelationIdType" />
```

a new element, that is the “NationalCaseReferencelIdentifier” will be inserted as follows:

```
<xs:element name="NationalCaseReferencelIdentifier" type="emcs:NationalCaseReferencelIdentifierType minOccurs="0"/>
```

- In the ie722.xsd, ie723.xsd, ie724.xsd and ie725.xsd, under the “FollowUpType” complexType and more specifically following the element:

```
<xs:element name="MvCorrelationId" type="emcs:MvCorrelationIdType" />
```

a new element, that is the “NationalCaseReferencelIdentifier” will be inserted as follows:

```
<xs:element name="NationalCaseReferencelIdentifier" type="emcs:NationalCaseReferencelIdentifierType minOccurs="0"/>
```

- In types.xsd, the definition of the simple type "NationalCaseReferenceIdentifier", will be added as follows:

```

<!--=====-->
<!--====      NationalCaseReferenceIdentifier      =====>
<!--=====-->
<xs:simpleType name="NationalCaseReferenceIdentifier">
  <xs:annotation>
  <xs:documentation>
  <doc:description value="National Case Reference
  Identifier"/>
  </xs:documentation>
  </xs:annotation>
  <xs:restriction base="xs:token">
  <xs:maxLength value="99" />
  <xs:pattern value=".{1,99}" />
  </xs:restriction>
</xs:simpleType>
<!--=====-->

```

- Appendix I: Directory with Web Service Interface Definitions (WSDLs):
 - The changes applicable to types.xsd of Appendix H are also applicable to Appendix I.
- Appendix K: Rules and Conditions Mapping
 - C184 and R240 will be added in the table "Rules and Conditions mapping to IE paths" and they will be associated with the following IE paths:
 - "IE820.MESSAGE- FOLLOW UP-National Case Reference Identifier";
 - "IE867.MESSAGE- FOLLOW UP-National Case Reference Identifier";
 - "IE868.MESSAGE- FOLLOW UP-National Case Reference Identifier";
 - "IE869.MESSAGE- FOLLOW UP-National Case Reference Identifier";
 - C185 and R241 will be added in the table "Rules and Conditions mapping to IE paths" and they will be associated with the following IE paths:
 - "IE723.MESSAGE- FOLLOW UP-National Case Reference Identifier";
 - "IE724.MESSAGE- FOLLOW UP-National Case Reference Identifier";
 - "IE725.MESSAGE- FOLLOW UP-National Case Reference Identifier".

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Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-187 RFC.
Risk assessment	<p>This RFC concerns changes at the syntactic level.</p> <p>More specifically, it incorporates the necessary updates so that the Data Item "National Case Reference Identifier" is inserted under the <FOLLOW UP> Data Group of the IE721, IE820 IE867, IE868, IE869, IE722, IE723, IE724 and IE725 messages.</p> <p>It is considered that the aforementioned change has no impact on business continuity and can therefore be deployed in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> • If the sender is aligned with the new .xsds with respect to the aforementioned updates while the receiver is not, the respective messages will not be validated successfully by the receiver in case that they may include the Data Item "National Case Reference Identifier". To avoid such rejections, as a transformation solution, it is proposed that the sending application removes any occurrences of the "National Case Reference Identifier" from the IE721, IE722, IE723, IE724, IE725, IE820, IE867, IE868 and IE869 messages sent. It is considered that the proposed bypass solution does not entail any business continuity risk; • In the same way, if the sender is not aligned with the new .xsds with respect to the aforementioned updates when communicating with MSAs that have already deployed this RFC in production, the messages sent will be validated successfully by the receiver. More specifically, the transmitted messages will not include the Data Item "National Case Reference Identifier". The specific Data Item will be Optional in the .xsds of the receiver; hence the transmitted messages will be accepted by the receiver. <p><i>The changes introduced by the specific RFC, do not affect the External Domain, since the IE721, IE820, IE867, IE868, IE869, IE722, IE723 and IE725 message are not exchanged over the ED.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-187; • Children RFCs: CTP-P3-248; • Other RFCs: DDNEA-P3-227.

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Indicative changes to legislation

Draft recital for information	Please refer to FESS-187
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016 ¹²

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

¹² DDNEA-P3-218 RFC was first discussed at EMCS CAB #145 on 31/03/2016

DDNEA-P3-219- Codelists updates

RFC Information

RFC number	DDNEA-P3-219
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM149948, IM74236
Known Error	KE12406, KE12407, KE12408, KE12409
Date at which the Change was proposed	26/02/2014
Requester	EMCS CPT

Change Assessment

Change priority	Medium																		
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-188 RFC.</p> <p>More specifically, the FESS-188 RFC proposed to update several codelists used in the Common Specifications in alignment with the decisions taken at the ACO Workshop.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following update shall be performed in DDNEA:</p> <ul style="list-style-type: none"> • Appendix B:Codelists <ul style="list-style-type: none"> ○ TC83 - "Global Control Conclusion" will be updated as follows: <table border="1" data-bbox="742 1545 1292 2004"> <thead> <tr> <th>Code</th> <th>Description</th> <th>Remarks</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Satisfactory</td> <td></td> </tr> <tr> <td>2</td> <td>Minor discrepancies found</td> <td></td> </tr> <tr> <td>3</td> <td>Interruption recommended</td> <td></td> </tr> <tr> <td>4</td> <td>Intention to make claim under Article 10 of Council Directive 2008/118/EC Council</td> <td></td> </tr> <tr> <td>5</td> <td>Allowable loss detected, in relation to Article 7(4) of Council</td> <td></td> </tr> </tbody> </table>	Code	Description	Remarks	1	Satisfactory		2	Minor discrepancies found		3	Interruption recommended		4	Intention to make claim under Article 10 of Council Directive 2008/118/EC Council		5	Allowable loss detected, in relation to Article 7(4) of Council	
Code	Description	Remarks																	
1	Satisfactory																		
2	Minor discrepancies found																		
3	Interruption recommended																		
4	Intention to make claim under Article 10 of Council Directive 2008/118/EC Council																		
5	Allowable loss detected, in relation to Article 7(4) of Council																		

Directive 2008/118/EC

- TC84 - "Performed Control Action" will be updated as follows:

Code	Description	Remarks
0	Other control action	
1	Verified counted packs	
2	Unloaded	
3	Opened packs	
4	Annotated paper copy of documents (e.g. SAAD)	
5	Counting	
6	Sampling	
7	Administrative control	
8	Goods weighted/measured	
9	Random check	
10	Control of records	
11	Compare documents presented with e-AD	

- TC78 - "Finding at Destination" will be updated as follows:

Code	Description	Remarks
0	Other finding	
1	reserved	
2	Consignment in order	
3	Consignment has not reached destination	
4	Consignment arrived late	
5	Shortage detected	
6	Excise products not in order	
7	Consignment not entered in stock records	
8	Trader could not be contacted	
9	Missing trader	
10	Excess detected	
11	Wrong EPC	
12	Wrong destination type code	
13	Differences confirmed	
14	Manual closing recommended	
15	Interruption recommended	

16	Irregularities Found	
----	----------------------	--

- Appendix D: Technical Message Structure

- o A new Data Item “Supporting Document Type” will be inserted in the <DOCUMENTS> Data Group (of IE721, IE820 and IE867 messages) as follows:

Supporting Document Type D n..2 BC106 C142

- o The Data Group <TYPE OF DOCUMENT> will be included in the IE734 message with multiplicity set to “99x” and Optionality set to “O”;
- o The Data Item “Supporting Document Type” will be included in the <TYPE OF DOCUMENT> Data Group of the IE734 message as follows:

Supporting Document Type R n..2 BC106

- o The Data Group <ACTION> will be included under the <TYPE OF DOCUMENT> Data Group of the IE734 message with multiplicity set to “1x” and optionality set to “R”.
- o The <ACTION> Data Group will include the following Data Items:

*Operation R a1 TC24 R007
 Activation Date R date
 Action identification R an..20 TR9001
 Responsible Data Manager O an..35
 Modification Date and Time O dateTime*

- o The <LANGUAGE SPECIFIC DATA> Data Group will be included under the <TYPE OF DOCUMENT> Data Group of the IE734 message with multiplicity set to “99x” and optionality set to “R”.
- o The <LANGUAGE SPECIFIC DATA> Data Group will include the following Data Items:

*Description R an..256
 Language Code R a2 BC12*

- o C142 will be updated as follows:

*“ At least one, among these three fields:
 <Supporting Document Type>
 <Reference of Document>
 <Image of Document>”*

“Instead of C142, a new condition, that is C186, will apply to the <IE721.DOCUMENTS.Document Description> Data Item.

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The description of C186 shall be as follows:

*"IF <Supporting Document Type> is "Other"
THEN <Short Description of Document> is 'R'
ELSE <Short Description of Document> does not apply"*

- Additionally, the Data Group <DOCUMENTS> of IE721, IE820 and IE867 messages will be renamed to <SUPPORTING DOCUMENTS>.
- BC59 instead of BC101 will apply to the following Data Items:
 - "Movement Verification Request Reason Code" included in the <REQUEST REASON> Data Group of the IE722 message;
- BC57 instead of BC102 will apply to the "Movement Verification Action Code" Data Item included in the <ACTIONS REQUESTED> Data Group of the IE722 and <ACTIONS RESULT> Data Group of the IE725 message.
- The description of R156 will be updated as follows:

"An existing <Request Reason Code> in the list of <REQUEST REASON>"
- Appendix E: XML Mapping
 - A new Data Item, that is the <MESSAGE-DOCUMENTS.Supporting Document Type> will be added with:
 - Data Type set to "n..2: and
 - XML-Tag set to "SupportingDocumentType"
 - The Data Group "MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - DOCUMENTS" will be updated to "MESSAGE - (ADMINISTRATIVE COOPERATION) REQUEST - SUPPORTING DOCUMENTS" with XML-Tag "SupportingDocuments"
- Appendix F:Data Groups and Transaction Hierarchy
 - The Data Group <DOCUMENTS> will be renamed to <SUPPORTING DOCUMENTS>.
- Appendix G:Data Items
 - A new Data Item, that is the "Supporting Document Type" will be added with format "n..2".
- Appendix H: Directory With XML Schemas (XSDs):
 - Update the annotation of the enumeration "4" of the simple

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	<p>type "GlobalControlConclusion"(TC83) in tcl.xsd from "Enquiry recommended under Article 14" to "Intention to make claim under Article 10 of Council Directive 2008/118/EC" in tcl.xsd;</p> <ul style="list-style-type: none"> ○ Update the annotation of the enumeration "5" of the simple type "GlobalControlConclusion"(TC83) in tcl.xsd from "Enquiry recommended under Article 20" to "Allowable loss detected, in relation to Article 7(4) of Council Directive 2008/118/EC" in tcl.xsd; ○ Update the annotation of the enumeration "4" of the simple type "Performed Control Action" (TC84) in tcl.xsd from "Annotated paper copy" to Annotated paper copy of documents(e.g. SAAD) in tcl.xsd; ○ The enumerations from "5" till "11" with annotations "Compare documents presented with e-AD", "Counting", "Sampling", "Administrative control", "Goods weighted/measured", "Random check" and "Control of records" respectively will be added to the simple type "Performed Control Action" (TC84) in tcl.xsd; ○ ie721.xsd ie734.xsd, ie820.xsd, ie867.xsd will be updated so as to incorporate the changes described above. <ul style="list-style-type: none"> ● Appendix I: Directory with Web Service Interface Definitions (WSDLs): All changes applicable to Appendix H are also applicable to Appendix I.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> ● DDNEA for EMCS Phase 3 (Medium); ● CTP for EMCS Phase 3 (Low). <p>CDEAs:</p> <ul style="list-style-type: none"> ● Central SEED v1 application (Medium); ● TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> ● Impact on NEAs (Low).
Effect of not implementing the Change	<p>If the proposed change is not implemented, then DDNEA will be in misalignment with the changes proposed for FESS by FESS-188 RFC.</p>
Risk assessment	<p>This RFC concerns changes at syntactic level and also changes at semantic level.</p> <p>According to the proposed changes, the .xsds will be updated. Additionally a new rule is added in the Data Item "Supporting Document Type" included in the <DOCUMENTS> Data Group of both the IE721 and IE867 messages and also C142 is updated.</p>

	<p>Changes at semantic level -----</p> <p>Concerning the newly added rule and the updated condition it can be deployed in production in a Migration Period, since:</p> <ul style="list-style-type: none"> • Alike any other semantic validation, the new rule and the updated condition is validated only at the sending side of the IE721 and IE867 messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over CD). Hence, the violation of this rule and/ or condition by the sender will not trigger any semantic rejection (IE906) by the receiver. <p>Changes at syntactic level -----</p> <p>Concerning the .xsds updates</p> <p>It is considered that the aforementioned change has no impact on business continuity and can therefore be deployed in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> • If the sender is aligned with the new .xsds with respect to the aforementioned changes while the receiver is not, the respective messages will not be validated successfully by the receiver, since they may include the new codes for the updated codelists or the newly added Data Item. To avoid such rejections, as a transformation solution, it is proposed that the sending application removes any of the new codes added for the updated codelists and also any occurrences of the newly added Data Item from the messages sent. It is considered that the proposed bypass solution does not entail any business continuity risk. • In the same way, if the sender is not aligned with the new .xsds with respect to the aforementioned changes when communicating with MSAs that have already deployed this RFC in production, the messages sent will be validated successfully by the receiver. More specifically, even if they include the descriptions of codelists values that not valid, the codes themselves remain intact (i.e. value “reserved”). Additionally, the optionality of the new Data Items shall be “Dependent” (i.e. optional for the .xsds) of receiver. Therefore, if no such Data Item will be included in the IE721 and IE867 messages sent, these will not be rejected by the receiver. <p><i>It should be noted that the changes introduced by this specific RFC do not affect the External Domain, since the IE717 message is not exchanged over the ED.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-188; • Children RFCs: TA-P3-098, CTP-P3-249, SEED-135, IE734-017; • Other RFCs: .
<p>Indicative changes to legislation</p>	

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Draft recital for information	Please refer to FESS-188
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016
Release information	
Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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DDNEA-P3-220 - Allow Reasoned Refusal for refusing replying to an Administrative Cooperation/MV Request

RFC Information

RFC number	DDNEA-P3-220
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM149952
Known Error	KE15171
Date at which the Change was proposed	07/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA v1.77 with the changes proposed for FESS by FESS-189 RFC.</p> <p>More specifically, the FESS-189 RFC proposes the necessary updates in order to insert in EMCS the support for a reasoned refusal of a request for Administrative Cooperation, as required by the relevant legislation (Regulation (EU) 389/2012, Article 25).</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed in DDNEA:</p> <ol style="list-style-type: none"> DDNEA Main Document: <p>Scenario updates:</p> <p>The following sections will be updated:</p> <ul style="list-style-type: none"> Section “IV.II.2 Request for assistance” , the following text: <p><i>“The scenarios of this section describe the “Administrative cooperation - request for assistance (UC3.07)” and the “Administrative cooperation - deadline for results (UC3.09)” use cases, through the following scenarios:</i></p> <ul style="list-style-type: none"> <i>Submission of a request for assistance (see IV.II.2.1);</i> <i>Reminder at expiry time for sending the results to a request for assistance (see IV.II.2.2);</i> <i>Submission of an answer for extending the deadline to a request for assistance (see IV.II.2.3);</i> <i>Submission of the results to a request for assistance (see</i>

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IV.II.2.4)”.

Shall be updated as follows:

“The scenarios of this section describe the “Administrative cooperation - request for assistance (UC3.07)” and the “Administrative cooperation - deadline for results (UC3.09)” use cases, through the following scenarios:

- *Submission of a request for assistance (see IV.II.2.1);*
 - *Reminder at expiry time for sending the results to a request for assistance (see IV.II.2.2);*
 - *Submission of an answer for extending the deadline to a request for assistance (see IV.II.2.3);*
 - *Submission of the results to a request for assistance (see IV.II.2.4)”.*
 - *Submission of an answer for refusal of a request for assistance (see IV.II.2.5).”*
- Section “IV.II.2 Request for assistance” shall be updated to include the sub-section IV.II.2.5 described in detail in “**Annex 8-1: Request for assistance**”;
 - Section IV.II.3 State-Transition Diagrams for Request for assistance scenarios”, shall be updated as described in detail in “**Annex 8-7: State-Transition Diagrams for Request for assistance scenarios**”;
 - Section “IV.IV Movement Verification for Duty Paid Movements Scenarios” the following text:

“The scenarios of this section describe the “Movement Verification – Request (UC3.15)” and the “Movement Verification – Reminder (UC3.16)” use cases, through the following elementary scenarios:

- *Submission of a movement verification request (see IV.IV.1);*
- *Reminder at expiry time for sending the results to a movement verification request (see IV.IV.2);*
- *Submission of an answer for extending the deadline (see IV.IV.3);*
- *Submission of the results to a movement verification request (see IV.IV.4).”*

shall be updated as follows:

“The scenarios of this section describe the “Movement Verification – Request (UC3.15)” and the “Movement Verification – Reminder (UC3.16)” use cases, through the following elementary scenarios:

- *Submission of a movement verification request (see IV.IV.1);*
- *Reminder at expiry time for sending the results to a movement verification request (see IV.IV.2);*
- *Submission of an answer for extending the deadline (see*

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	<p>IV.IV.3);</p> <ul style="list-style-type: none"> • <i>Submission of the results to a movement verification request (see IV.IV.4);</i> • <i>Submission of an answer for refusal of a movement verification request (see IV.IV.7).</i> <ul style="list-style-type: none"> • Section “IV.IV Movement Verification for Duty Paid Movements Scenarios” shall be updated to include the sub-section IV.IV.7 described in detail in “Annex 8-2: Movement Verification for Duty Paid Movements Scenarios”. • Section “IV.IV.5 State-Transition Diagrams for Movement verification for duty-paid movements scenarios”, shall be updated as described in detail in “Annex 8-8: State-Transition Diagrams for Movement verification for duty-paid movements scenarios”. • Section “IV.II.2.3 Submission of an answer for extending the deadline to a request for assistance” shall be updated as described in detail in “Annex 8-3: Submission of an answer for extending the deadline to a request for assistance”. • Section “IV.III.1.3 Submission of an answer for extending the deadline to a history information request”, figures shall be updated as described in detail in “Annex 8-4: Submission of an answer for extending the deadline to a history information request”. • Section “IV.III.1.3 Submission of an answer for extending the deadline to a history information request” the following text: <p><i>“The ELO of the Requested MSA decides to extend the deadline to a history information request in order to complete the requested history information for a given reason. The Requested MSA application sends an answer message (IE868: C_COO_ANS) with no History Refusal Reason Code. The state of the request at the Requested MSA is set from “Open” or “Late” to “Extended”.”</i></p> <p>shall be updated as follows:</p> <p><i>“The ELO of the Requested MSA decides to extend the deadline to a history information request in order to complete the requested history information for a given reason. The Requested MSA application sends an answer message (IE868: C_COO_ANS) with no Refusal Reason Code. The state of the request at the Requested MSA is set from “Open” or “Late” to “Extended”.”</i></p> • Section “IV.III.1.4 Submission of an answer for refusal of a history information request”, figures shall be updated as described in detail in “Annex 8-5: Submission of an answer for refusal of a history information request”. • Section “IV.III.1.4 Submission of an answer for refusal of a history information request” the following text: <p><i>“The ELO of the Requested MSA examines the contents of</i></p>
--	--

*the request and before the expiration of the deadline decides to refuse the request for history information for a given reason. The Requested MSA application sends an answer message (IE868: C_COO_ANS) with a specific **History Refusal Reason Code**. The state of the request at the Requested MSA is set from "Open" to "Closed".*

shall be updated as follows:

*"The ELO of the Requested MSA examines the contents of the request and before the expiration of the deadline decides to refuse the request for history information for a given reason. The Requested MSA application sends an answer message (IE868: C_COO_ANS) with a specific **Refusal Reason Code**. The state of the request at the Requested MSA is set from "Open" to "Closed".*

- Section "IV.IV.3 Submission of an answer for extending the deadline", shall be updated as described in detail in "**Annex 8-6: Submission of an answer for extending the deadline**".

2. Appendix B:

- Codelist "BC09" included in Section "List of Available Business Codelists":

<i>BC09</i>	<i>History Refusal Reasons</i>	<i>n..2</i>
-------------	--------------------------------	-------------

shall be updated as follows:

<i>BC09</i>	<i>Refusal Reasons</i>	<i>n..2</i>
-------------	------------------------	-------------

3. Appendix D:

- The name of the Data Item <IE868. ANSWER. History Refusal Reason Code> will be updated to:
<IE868. ANSWER. Refusal Reason Code>
- The name of the Data Item <IE868. ANSWER. History Refusal Reason Complement> will be updated to:
<IE868. ANSWER. Refusal Reason Complement>
- The name of the Data Item <IE868. ANSWER. History Refusal Reason Complement_LNG> will be updated to:
<IE868. ANSWER. Refusal Reason Complement_LNG >
- The <IE723. MESSAGE DEADLINE REPORT DETAILS> Data Group shall be updated to include the following Data Items as follows:

<i>Date of sending</i>	<i>R date</i>
<i>Date of MV Request</i>	<i>R date</i>
<i>Date for which Reply is Requested</i>	<i>R date</i>

Refusal Reason Code D n..2 BC09 C062
Refusal Reason Complement D an..350 C125
Refusal Reason Complement_LNG D a2 BC12 C002"

- <IE734. HISTORY REFUSAL REASON> Data Group shall be updated as follows:

<IE734. REFUSAL REASON>

- <IE734. HISTORY REFUSAL REASON. History Refusal Reason Code> Data Item shall be updated as follows:

<IE734. REFUSAL REASON. Refusal Reason Code>

- C187 shall be introduced and applied to the following Data Items of the <IE723. MESSAGE DEADLINE REPORT DETAILS> Data Group>:

<i>Date of sending</i>	<i>R</i>	<i>date</i>
<i>Date of MV Request</i>	<i>R</i>	<i>date</i>
<i>Date for which Reply is Requested</i>	<i>R</i>	<i>date</i>

and applied as follows:

"IF <Refusal Reason Code> is given

THEN

<Date for which Reply is Requested> does not apply

<Date of MV Request> does not apply

<Date of sending> does not apply

ELSE

<Date for which Reply is Requested> is 'R'

<Date of MV Request> is 'R'

<Date of sending> is 'R'"

- <IE723. MESSAGE DEADLINE REPORT DETAILS> Data Group> shall be updated as follows:

<i>Date of sending</i>	<i>D</i>	<i>date</i>	<i>C187</i>
<i>Date of MV Request</i>	<i>D</i>	<i>date</i>	<i>C187</i>
<i>Date for which Reply is Requested</i>	<i>D</i>	<i>date</i>	<i>C187</i>
<i>Refusal Reason Code</i>	<i>D</i>	<i>n..2 BC09</i>	<i>C062</i>
<i>Refusal Reason Complement</i>	<i>D</i>	<i>an..350</i>	<i>C125</i>
<i>Refusal Reason Complement_LNG</i>	<i>D</i>	<i>a2 BC12</i>	<i>C002"</i>

- C061 included in Appendix D:

"IF <History Refusal Reason Code> is given

THEN

<Deadline for Results> does not apply

<Delayed Result Reason Code> does not apply

ELSE

<Deadline for Results> is 'R'

<Delayed Result Reason Code> is 'R'"

shall be updated as follows:

"IF <Refusal Reason Code> is given

THEN

<Deadline for Results> does not apply

<Delayed Result Reason Code> does not apply

ELSE

<Deadline for Results> is 'R'

<Delayed Result Reason Code> is 'R'"

- C062 Cond062 included in Appendix D:

IF <Message Type> is "History answer message"

THEN <Refusal Reason Code> is 'O'

ELSE <Refusal Reason Code> does not apply

Shall be removed as the refusal mechanism is not only applicable to History requests but also to Administrative Cooperation and Movement Verification Requests.

- C125 included in DDNEA Appendix D:

"IF <History Refusal Reason Code> is "Other"

THEN <History Refusal Reason Complement> is 'R'

ELSE <History Refusal Reason Complement> does not apply

shall be updated as follows:

"IF <Refusal Reason Code> is "Other"

THEN <Refusal Reason Complement> is 'R'

ELSE <Refusal Reason Complement> does not apply"

4. Appendix H

- In IE868.xsd, the complextype "AnswerType"

```
<xs:complexType name="AnswerType">
  <xs:annotation>
```

```
  <xs:documentation>
```

```
  <doc:description value="ANSWER" />
```

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```

</xs:documentation>
</xs:annotation>
<xs:sequence>
  <xs:element name="DeadlineForResults"
type="emcs:DateTimeType" minOccurs="0" />
  <xs:element name="DelayedResultReasonCode"
type="emcs:DelayedResultReasonCodeType" minOccurs="0"
/>
  <xs:element name="HistoryRefusalReasonCode"
type="emcs:HistoryRefusalReasonCodeType" minOccurs="0"
/>
  <xs:element name="HistoryRefusalReasonComplement"
type="ie:LSDHistoryRefusalReasonComplementType"
minOccurs="0" />
</xs:sequence>
</xs:complexType>

```

Shall be updated as follows:

```

<xs:complexType name="AnswerType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="ANSWER" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="DeadlineForResults"
type="emcs:DateTimeType" minOccurs="0" />
    <xs:element name="DelayedResultReasonCode"
type="emcs:DelayedResultReasonCodeType" minOccurs="0"
/>
    <xs:element name="RefusalReasonCode" type="emcs:
RefusalReasonCodeType" minOccurs="0" />
    <xs:element name="RefusalReasonComplement"
type="ie:LSDRefusalReasonComplementType" minOccurs="0"
/>
  </xs:sequence>
</xs:complexType>
<xs:complexType
name="LSDRefusalReasonComplementType">
  <xs:simpleContent>
    <xs:extension base="emcs:
RefusalReasonComplementType">
      <xs:attribute name="language"
type="emcs:LanguageCodeType" use="required" />
    </xs:extension>
  </xs:simpleContent>
</xs:complexType>

```

- In IE723.xsd, the complex type "MessageDeadlineReportDetailsType"

```

<xs:complexType
name="MessageDeadlineReportDetailsType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="MESSAGE DEADLINE REPORT

```

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```

DETAILS" />
  </xs:documentation>
</xs:annotation>
<xs:sequence>
  <xs:element name="DateOfSending"
type="emcs:DateType" />
  <xs:element name="DateOfMvRequest"
type="emcs:DateType" />
  <xs:element name="DateForWhichReplyIsRequested"
type="emcs:DateType" />
</xs:sequence>
</xs:complexType>

```

Shall be updated as follows:

```

<xs:complexType
name="MessageDeadlineReportDetailsType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="MESSAGE DEADLINE REPORT
DETAILS" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="DateOfSending"
type="emcs:DateType" />
    <xs:element name="DateOfMvRequest"
type="emcs:DateType" />
    <xs:element name="DateForWhichReplyIsRequested"
type="emcs:DateType" />
    <xs:element name="RefusalReasonCode" type="emcs:
RefusalReasonCodeType" minOccurs="0" />
    <xs:element name="RefusalReasonComplement"
type="ie:LSDRefusalReasonComplementType" minOccurs="0"
/>
  </xs:sequence>
</xs:complexType>
<xs:complexType
name="LSDRefusalReasonComplementType">
  <xs:simpleContent>
    <xs:extension
base="emcs:RefusalReasonComplementType">
      <xs:attribute name="language"
type="emcs:LanguageCodeType" use="required" />
    </xs:extension>
  </xs:simpleContent>
</xs:sequence>
</xs:complexType>

```

- In IE734.xsd, the complex type "HistoryRefusalReasonType"

```

<xs:complexType name="HistoryRefusalReasonType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="HISTORY REFUSAL REASON"
/>

```

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	<pre> </xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="HistoryRefusalReasonCode" type="emcs:HistoryRefusalReasonCodeType" /> <xs:element name="Action" type="ie:ActionType" /> <xs:element name="LanguageSpecificData" type="ie:LanguageSpecificDataType" maxOccurs="99" /> </xs:sequence> </xs:complexType> Shall be updated as follows: <xs:complexType name="RefusalReasonType"> <xs:annotation> <xs:documentation> <doc:description value=" REFUSAL REASON" /> </xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="RefusalReasonCode" type="emcs: RefusalReasonCodeType" /> <xs:element name="Action" type="ie:ActionType" /> <xs:element name="LanguageSpecificData" type="ie:LanguageSpecificDataType" maxOccurs="99" /> </xs:sequence> </xs:complexType> </pre> <p>5. Appendix I: Directory with Web Service Interface Definitions (WSDLs), shall be updated as follows:</p> <ul style="list-style-type: none"> ○ The changes applicable to ie734.xsd of Appendix H are also applicable to Appendix I. <p><i>It shall be noted that Appendices C, E, G and K are updated accordingly.</i></p>
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-189 RFC.
Risk assessment	<p>This RFC concerns changes both at the syntactic and the semantic level.</p> <p>Changes at semantic level <hr style="width: 20%; margin-left: 0;"/></p> <p>Concerning the changes at semantic level, namely, the applicability of a</p>

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new condition, i.e. C187, on the existing data items of IE723 message, the update of C061 and C125, the removal of C062 and the update of BC09, they can be deployed in production in a Migration Period, since alike any other semantic validations are validated only at the sending side of the IE868/IE723/IE734 messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over the CD). Hence, if the sender, is aligned with these updates (SEED in case of IE734) while the receiver is not, no semantic rejection (IE906 message) shall be triggered by the receiver.

Changes at syntactic level

Concerning the .xsd changes:

- a. The update of the name of the “History Refusal Reason Code”, “History Refusal Reason Complement” and “History Refusal Reason Complement_LNG” included in the <IE868.ANSWER> Data Group, and the addition of the “Refusal Reason Code”, “Refusal Reason Complement” and “Refusal Reason Complement_LNG” data items in the <IE723. MESSAGE DEADLINE REPORT DETAILS> Data Group is considered to have no impact on business continuity; thus, this RFC can be deployed in a Migration period provided that the application that will implement the current RFC before the end of the Migration Period, will also implement a transformation solution until M_n . More specifically:
 - Assuming that the sending application has implemented the changes whereas the receiving application has not, the IE868/IE723 messages that will be sent will not be validated successfully by the receiving application in case it contains the updated Data items of the IE868 message or the newly introduced Data Items of the IE723 message. To avoid such rejections, it is proposed as a transformation solution that, the sending application uses the old names for the updated Data Items of the IE868 message and removes any occurrences of the newly introduced Data Items of the IE723 messages sent. It is considered that the proposed bypass solution does not entail any business continuity risk;
 - Assuming that the receiving application has implemented the changes whereas the sending application has not, the IE868 messages that will be sent will contain the old names for the updated Data Items, while the IE723 messages sent will not include the newly introduced Data Items. On the other hand the receiving application would not necessarily be expecting the aforementioned Data Items as part of the IE868 and IE723 messages, since their applicability depends on the respective conditions that apply on them. This means that in the xsd file of both the IE868 and the IE723 messages, the aforementioned Data Items are defined as optional. Therefore:
 - The absence of the aforementioned Data Items from the IE723 message would be within the expected operation and will cause no rejections;
 - If the aforementioned Data Items (with the old names)

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	<p>are included in the IE868 message, it will not be validated successfully by the receiving application. To avoid such rejections, it is proposed as a transformation solution that, the receiving application replaces the old names for the updated Data Items of the IE868 message with the new names. It is considered that the proposed bypass solution does not entail any business continuity risk;</p> <p>b. According to the proposed changes for IE734, i.e. the update of the name of the <HISTORY REFUSAL REASON> Data group and of the “History Refusal Reason Code” Data Item included in the aforementioned Data Group, the ie734.xsd will be updated. If CA SEED uses the updated .xsds when communicating with MSAs that have not yet deployed this RFC in production, the receiving MSAs will reject the IE734 messages received.</p> <p>To avoid such rejections, CA SEED should use the updated ie734.xsd only when communicating with the MSAs in the new phase (i.e. Phase3.3). When communicating with the Phase 3.2 MSAs, CA SEED should use the existing ie734.xsd.</p> <p>It is considered that the aforementioned proposal will enable CA SEED to support both phases (the old and the new one) without entailing any business continuity risk.</p> <p>However, as soon as the new Phase, i.e. EMCS Phase 3.3 is in production, all MSAs as well as CA SEED should be aligned with the new ie734.xsd.</p> <p>On the other hand, from the operational point of view only one IE734 message, with the new values of BC09, shall be uploaded and maintained on CA SEED for Phase3.3 and consequently disseminated to the MSAs.</p> <p>The MSAs will be responsible to use the values that are applicable to their application, depending on whether they have deployed the specific RFC in production or not.</p> <p>Thus the two different IE734 messages disseminated to the MSAs will differ from the .xsd point of view, but will be the same as far as their content is concerned.</p> <p><i>It should be noted that the changes introduced by the specific RFC, do not affect the External Domain, since the IE868, IE723 and IE734 messages are not exchanged over the ED.</i></p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	<ul style="list-style-type: none"> ● Parent RFCs: FESS-189; ● Children RFCs: TA-P3-099, CTP-P3-250, SEED-136, IE734-018; ● Other RFCs: -.
Indicative changes to legislation	
Draft recital for information	Please refer to FESS-189

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Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016
Release information	
Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h
Change Review	
Review date	
Review results	

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DDNEA-P3-221- Feedback process

RFC Information

RFC number	DDNEA-P3-221
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM149951
Known Error	N/A
Date at which the Change was proposed	07/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-190 RFC.</p> <p>More specifically, the FESS-190 RFC proposed the necessary updates so as to enforce the Requested MSA to request feedback from the Requesting MSA on the follow up action taken based on the results provided (for an ACO Request). The Requesting MSA shall reply back by sending the feedback.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following update shall be performed in DDNEA:</p> <ul style="list-style-type: none"> • Main document <ul style="list-style-type: none"> ○ The updates to be performed in Section “IV FOLLOW-UP AND COLLABORATION” are shown in track changes in “Annex 4-1: IV FOLLOW-UP AND COLLABORATION”. More specifically: <ul style="list-style-type: none"> ▪ Sections “IV.II.2 Request for assistance”, “IV.II.2.4 Submission of the results to a request for assistance” will be updated; ▪ Section “IV.II.2.6 Submission of feedback” will be introduced (Figure188 and Figure189 will be introduced so as to depict the TDS and CLD of the “Submission of feedback” scenario for an ACO request); ○ Figure 182: “<i>STD at Requesting MSA for Request for assistance scenarios</i>” will be updated to indicate the

following state transitions:

- from “Open”, “Extended” or “Late” state to the “Answered and Feedback Expected” state with the reception of an IE867 message indicating the feedback is requested;
 - from “Answered and Feedback Expected” state to “Closed” state with the transmission of an IE867 message indicating that feedback is provided.
- Figure 183: “*STD at Requested MSA for Request for assistance scenarios*” will be updated to indicate the following state transitions:
- from “Open”, “Extended” or “Late” state to the “Answered and Feedback Expected” state with the transmission of an IE867 message indicating that feedback is requested;
 - from “Answered and Feedback Expected” state to “Closed” state with the reception of an IE867 message indicating that feedback is provided.
- Figure 206: “*STD at Requesting MSA for Movement verification for duty paid movements scenarios*” will be updated to indicate the following state transitions:
- from “Open”, “Extended” or “Late” state to the “Answered and Feedback Expected” state with the reception of an IE725 message indicating that feedback is requested;
 - from “Answered and Feedback Expected” state to “Closed” state with the transmission of an IE725 message indicating that feedback is provided.
- Figure 207: “*STD at Requested MSA for Movement verification for duty paid movements scenarios*” will be updated to indicate the following state transitions:
- from “Open”, “Extended” or “Late” state to the “Answered and Feedback Expected” state with the reception of an IE725 message indicating that feedback is requested;
 - from “Answered and Feedback Expected” state to “Closed” state with the transmission of an IE725 message indicating that feedback is provided.

- The updates to be performed in Section “IV.IV Movement verification for duty paid movements scenarios” are shown in track changes in the attached document “**Annex 4-2: IV.IV Movement verification for duty paid movements scenarios**”. More specifically:

- Sections “IV.IV.1 Submission of the results to a movement verification request” will be updated;
- Section “IV.IV.5 Submission of feedback” will be introduced. (Figure 219 and Figure 220) will be introduced so as to depict the TDS and CLD of the “Submission of feedback” scenario for an MVS request).

- Appendix B:Codelists

- A new codelist, that is TC103 “Feedback Requested or Provided” will be added as follows:

Code	Description	Remarks
0	No feedback requested	
1	Feedback requested	
2	Feedback provided	

- Appendix C: EMCS CORRELATION TABLES

- Following the Message Element <MESSAGE - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Control Report Reference> several new Message Elements will be added as follows:

- <MESSAGE - FEEDBACK REQUEST> with optionality set to “O” both for the IE867 and IE725 messages;
- <MESSAGE - FEEDBACK REQUEST. Feedback Requested or Provided> with Data Type set to “n1” and optionality set to “R” both for the IE867 and IE725 messages. TC103 (described in Appendix B above) corresponds to the specific Message Element;
- <MESSAGE - FEEDBACK REQUEST. Follow Up Actions> with Data Type set to “an..999” an optionality set to “D” both for the IE867 and IE725 messages;

- <MESSAGE - FEEDBACK REQUEST. Follow Up Actions_LNG> with Data Type set to “a2” an optionality set to “D” both for the IE867 and IE725 messages;
- <MESSAGE - FEEDBACK REQUEST. Relevance of Information Provided > with Data Type set to “an..999” an optionality set to “D” both for the IE867 and IE725 messages;
- <MESSAGE - FEEDBACK REQUEST. Relevance of Information Provided_LNG> with Data Type set to “a2” an optionality set to “D” both for the IE867 and IE725 messages.

- Appendix D: Technical Message Structure

- A new Data Group, that is <FEEDBACK REQUEST> will be added under the <ACO_ACTION RESULT> Data Group of the IE867 message and also under the <ACTIONS Result> Data Group of the IE725 message. The optionality of the aforementioned Data Group shall be “Optional”, i.e. “O” and its’ multiplicity shall be 1. The Data Items of the new Data Group along with their optionality and the rules and conditions applying to each of them is as follows:

FEEDBACK REQUEST

<i>Feedback Requested or Provided</i>	<i>R</i>	<i>n1</i>	<i>TC103</i>	
<i>Follow up Actions</i>	<i>D</i>	<i>an..999</i>		<i>C188</i>
<i>Follow up Actions_LNG</i>	<i>D</i>	<i>a2</i>	<i>BC12</i>	<i>C002</i>
<i>Relevance of Information Provided</i>	<i>D</i>	<i>an..999</i>		<i>C188</i>
<i>Relevance of Information Provided_LNG</i>	<i>D</i>	<i>a2</i>	<i>BC12</i>	<i>C002</i>

- C188 will be introduced as follows:

“IF <Feedback Requested or Provided> is “Feedback provided”

THEN

at least one of the <Follow up Actions> or <Relevance of

Information Provided> must be present

ELSE

<Follow up Actions> does not apply

<Relevance of Information Provided> does not apply”

- The optionality of the Data Group <IE725.ACTIONS RESULT> shall be updated from Required (‘R’) to

Dependent ('D');

- The optionality of the Data Group <IE725.CONTACT> shall be updated from Required ('R') to Dependent ('D');
- A new condition, that is C189, shall apply to the <IE725.ACTIONS RESULT> and the <IE725.CONTACT> Data Groups. The specific condition shall read as follows:

- C189:

```

IF <Feedback Requested or Provided> is
"Feedback provided"
THEN
  <CONTACT> is 'O'
  <ACTIONS Result> does not apply
ELSE
  <CONTACT> is 'R'
  <ACTIONS Result> is 'R'

```

- APPENDIX E: XML MAPPING

- Following the entry for the Data Group <MESSAGE - C_COO_RES - (ADMINISTRATIVE COOPERATION) ACTION RESULT.Control Report Reference>, the following entries will be added as follows:

Data-group or Data-item	Data Type	XML-Tag
MESSAGE - FEEDBACK REQUEST		FeedbackRequest
MESSAGE - FEEDBACK REQUEST.Feedback Requested or Provided	n1	FeedbackRequestedorProvided
MESSAGE - FEEDBACK REQUEST.Follow Up Actions	an..999	FollowUpActions
MESSAGE - FEEDBACK REQUEST.Follow Up Actions_LNG	a2	FollowUp ActionsLng
MESSAGE - FEEDBACK REQUEST.Relevance of Information Provided	an..999	RelevanceofInformationProvided
MESSAGE -	a2	RelevanceofInformationProvidedLng

FEEDBACK REQUEST.Relevance of Information Provided_LNG		
--	--	--

- APPENDIX F: DATA GROUPS & TRANSACTION HIERARCHY

- Following the Data Group <(ADMINISTRATIVE COOPERATION) ACTION RESULT>, a new Data Group, that is,< FEEDBACK REQUEST> with Identifier “FRQ” will be added.

- APPENDIX G: DATA ITEMS

- The following Data Items will be added alphabetically in the table of Appendix G.

Data item	Format
Feedback Requested or Provided	n1
Follow Up Actions	an..999
Follow Up Actions_LNG	a2
Relevance of Information Provided	an..999
Relevance of Information Provided_LNG	a2

- APPENDIX H: DIRECTORY WITH XML SCHEMAS (XSDS)

- The complextype “AdministrativeCooperationResultsType” included in the ie867.xsd will be updated as follows:

```

<xs:complexType
name="AdministrativeCooperationResultsType">
  <xs:sequence>
    <xs:element name="FollowUp"
type="ie:FollowUpType" />
    <xs:element name="Contact"
type="ie:ContactType" minOccurs="0" />
    <xs:element name="AcoActionResult"
type="ie:AcoActionResultType" minOccurs="0"
maxOccurs="99" />
    <xs:element name="FeedbackRequest"
type="ie:FeedbackRequestType" minOccurs="0" />
    <xs:element name="Documents"
type="ie:DocumentsType" minOccurs="0"
maxOccurs="9" />
  </xs:sequence>
</xs:complexType>

```

- The complextype “MovementVerificationResultsType”

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included in the ie725.xsd will be updated as follows:

```

"<xs:complexType
name="MovementVerificationResultsType">
  <xs:sequence>
    <xs:element name="FollowUp"
type="ie:FollowUpType" />
    <xs:element name="Contact"
type="ie:ContactType" />
    <xs:element name="ActionsResult"
type="ie:ActionsResultType" maxOccurs="9" />
    <xs:element name="FeedbackRequest"
type="ie:FeedbackRequestType" minOccurs="0"
/>
    <xs:element name="Findings"
type="ie:FindingsType" minOccurs="0" />
  </xs:sequence>
</xs:complexType>"

```

- o A new complextype, that is "FeedbackRequestType" will be added in both the ie867.xsd and ie725.xsd as follows:

```

a) "<xs:complexType
name="FeedbackRequestType">
  <xs:annotation>
  <xs:documentation>
  <doc:description value="FEEDBACK
REQUEST" />
  </xs:documentation>
  </xs:annotation>
  <xs:sequence>
  <xs:element
name="FeedbackRequestedorProvided" type="
"tcl: FeedbackRequestedorProvided" />
  <xs:element name="FollowUpActions"
type="emcs: FollowUpActions Type"
minOccurs="0" />
  <xs:element name="FollowUpActionsLNG"
type="ie: LSDFollowUpActionsType"
minOccurs="0" />
  <xs:element
name="RelevanceofInformationProvided"
type="emcs: RelevanceofInformationProvided
Type" minOccurs="0" />
  <xs:element
name="LSDRelevanceofInformationProvided"
type="emcs:
RelevanceofInformationProvidedLNGType"
minOccurs="0" />
  </xs:sequence>
</xs:complexType>"

```

```

b) <xs:complexType
name="LSDFollowUpActionsType">
  <xs:simpleContent>
  <xs:extension base="emcs:
FollowUpActionsType">
  <xs:attribute name="language"

```

```

type="emcs:LanguageCodeType"
use="required" />
</xs:extension>
</xs:simpleContent>
</xs:complexType>

```

```

c) <xs:complexType
name="LSDRelevanceofInformationProvided">
<xs:simpleContent>
<xs:extension base="emcs:
RelevanceofInformationProvidedType">
<xs:attribute name="language"
type="emcs:LanguageCodeType"
use="required" />
</xs:extension>
</xs:simpleContent>
</xs:complexType>

```

- o The following types will be added in types.xsd:

```

<!--=====
<!--= Follow Up Actions ==-->
<!--=====

```

```

<xs:simpleType
name="FollowUpActionsType">
<xs:annotation>
<xs:documentation>
<doc:description value="Follow Up
Actions " />
</xs:documentation>
</xs:annotation>
<xs:restriction base="xs:token">
<xs:maxLength value="999" />
<xs:pattern value=".{1,999}" />
</xs:restriction>
</xs:simpleType>

```

```

<!--=====
<!--= RelevanceofInformationProvided ==-->
<!--=====

```

```

<xs:simpleType name="
RelevanceofInformationProvided ">
<xs:restriction base="xs:token">
<xs:length value="999" />
<xs:pattern value="{1,999}" />
</xs:restriction>
</xs:simpleType>

```

- o In tcl.xsd, TC103 described above, will be added as follows:

```

<!--=====
<!--= Feedback Requested or Provided ==-->
<!--=====

```

```

<xs:simpleType name="FeedbackRequestedor

```

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```

Provided ">
  <xs:annotation>
    <xs:documentation> FeedbackRequestedor
Provided </xs:documentation>
  </xs:annotation>
  <xs:restriction base="xs:nonNegativeInteger">
    <xs:enumeration value="0">
      <xs:annotation>
        <xs:documentation> No feedback requested
</xs:documentation>
      </xs:annotation>
    </xs:enumeration>
    <xs:enumeration value="1">
      <xs:annotation>
        <xs:documentation> Feedback requested
</xs:documentation>
      </xs:annotation>
    <xs:enumeration value="2">
      <xs:annotation>
        <xs:documentation> Feedback provided
</xs:documentation>
      </xs:annotation>
    </xs:enumeration>
  </xs:restriction>
</xs:simpleType>

```

- Insertion of the minOccurs="0" in the element "ActionsResult" of the "MovementVerificationResultsType" complexType of the "IE725.xsd" file;
- Insertion of the minOccurs="0" in the element "Contact" of the "MovementVerificationResultsType" complexType of the "IE725.xsd" file.
- Appendix I: Directory with Web Service Interface Definitions (WSDLs):
 - The changes applicable to types.xsd of Appendix H are also applicable to Appendix I.
- APPENDIX K: RULES AND CONDITIONS MAPPING
 - C188 (described above) will be added along the following IE paths associated with it, i.e:
 - IE867.MESSAGE- FEEDBACK REQUEST.Follow up Actions;
 - IE725.MESSAGE- FEEDBACK REQUEST.Follow up Actions;
 - IE867.MESSAGE- FEEDBACK REQUEST.Follow up Actions. Relevance of Information Provided; and
 - IE725.MESSAGE- FEEDBACK REQUEST.Follow up Actions. Relevance of Information Provided.
 - C189 (described above) will be added along the following IE paths associated with it, i.e:

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	<ul style="list-style-type: none"> ▪ IE725.MESSAGE - ACTIONS RESULT; ▪ IE725.MESSAGE – CONTACT.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing the Change	If the proposed change is not implemented, then DDNEA will be in misalignment with the changes proposed for FESS by FESS-190 RFC.
Risk assessment	<p>This RFC concerns changes at syntactic level.</p> <p>More specifically, the current RFC proposes the insertion of the Data Group <FEEDBACK REQUEST> in the IE867 and IE725 messages.</p> <p>It is considered that the aforementioned change has no impact on business continuity and can therefore be deployed in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> • If the sender is aligned with the new .xsds with respect to the aforementioned updates while the receiver is not, the respective messages will not be validated successfully by the receiver in case that they may include the Data Group <FEEDBACK REQUEST>. To avoid such rejections, as a transformation solution, it is proposed that the sending application removes any occurrences of the Data Group <FEEDBACK REQUEST> from the IE867 and IE725 messages sent. It is considered that the proposed bypass solution does not entail any business continuity risk; • In the same way, if the sender is not aligned with the new .xsds with respect to the aforementioned updates when communicating with MSAs that have already deployed this RFC in production, the messages sent will be validated successfully by the receiver. More specifically, the transmitted messages will not include the Data Group “<FEEDBACK REQUEST> but the specific Data Item will be Optional in the .xsds of the receiver; hence the transmitted messages will be accepted by the receiver. <p><i>It should be noted that the changes introduced by this specific RFC do not affect the External Domain, since the IE867 and IE725 messages are not exchanged over the ED.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-190; • Children RFCs: TA-P3-091, CTP-P3-251;

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	<ul style="list-style-type: none"> • Other RFCs: -.
--	---

Indicative changes to legislation

Draft recital for information	Please refer to FESS-190
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-222 – Reduce the scope of the History Results (IE820) and the History Request Functionality

RFC Information

RFC number	DDNEA-P3-222
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM149949
Known Error	N/A
Date at which the Change was proposed	07/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA v1.77 with the changes proposed for FESS by FESS-191 RFC.</p> <p>More specifically, the FESS-191 RFC proposed the reduction of the scope of IE820 (History Results) message to Administrative Cooperation related exchanges only so that the request for history though IE721 message will not duplicate the existing movement history request.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed:</p> <ol style="list-style-type: none"> DDNEA Appendix D: Functional Messages: <ul style="list-style-type: none"> The structure of the IE820 shall be updated so as to remove the <ARCHIVES INFORMATION> and the < C_PAC_DAT> Data Groups. The “Complement of Information Expected flag” Data Item, which was previously included in the <ARCHIVES INFORMATION> Data Group of the IE820, shall now be inserted in the <HEADER> Data Group of the IE820, as follows: <p><i>Complement of Information Expected flag R n1 TC27</i></p> C037 shall be deleted as it is applied only to “Archives Refusal Reason Code” Data Item which along with the <ARCHIVES INFORMATION> Data Group Data Group shall be deleted. C124 shall be deleted as it is applied only to “Archives Refusal Reason Complement” Data Item which along with the

<ARCHIVES INFORMATION> Data Group Data Group shall be deleted.

2. APPENDIX E: XML MAPPING:

The following entries shall be deleted:

Data-group or Data-item	Data Type	XML-Tag
MESSAGE - ARCHIVES INFORMATION		ArchivesInformation
MESSAGE - ARCHIVES INFORMATION.Archives Used Flag	n1	ArchivesUsedFlag
MESSAGE - ARCHIVES INFORMATION.Archives Refusal Reason Code	n..2	ArchivesRefusalReason Code
MESSAGE - ARCHIVES INFORMATION.Archives Refusal Reason Complement	an..350	ArchivesRefusalReason Complement
MESSAGE - ARCHIVES INFORMATION.Archives Refusal Reason Complement_LNG	a2	ArchivesRefusalReason ComplementLng
MESSAGE - ARCHIVES INFORMATION.Complement of Information Expected flag	n1	ComplementOfInformati onExpectedFlag
MESSAGE - C_PAC_DAT		CPacDat
MESSAGE - C_PAC_DAT - MESSAGE BODY		MessageBody
MESSAGE - C_PAC_DAT - MESSAGE BODY.Technical Message Type	an..5	TechnicalMessageType
MESSAGE - C_PAC_DAT - MESSAGE BODY.Message Data	Base64 Binary	MessageData

The following entry shall be added:

Data-group or Data-item	Data Type	XML-Tag
MESSAGE – HEADER. Complement of Information Expected flag	n1	ComplementOfInformati onExpectedFlag

3. APPENDIX C: EMCS CORRELATION TABLES

- The following entries shall be deleted:

<MESSAGE - ARCHIVES INFORMATION>;

<MESSAGE - ARCHIVES INFORMATION.Archives Used

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Flag>;

<MESSAGE - ARCHIVES INFORMATION.Archives Refusal Reason Code>;

<MESSAGE - ARCHIVES INFORMATION.Archives Refusal Reason Complement>;

<MESSAGE - ARCHIVES INFORMATION.Archives Refusal Reason Complement_LNG>;

<MESSAGE - ARCHIVES INFORMATION.Complement of Information Expected flag>;

<MESSAGE - C_PAC_DAT>;

<MESSAGE - C_PAC_DAT - MESSAGE BODY>;

<MESSAGE - C_PAC_DAT - MESSAGE BODY.Technical Message Type>;

<MESSAGE - C_PAC_DAT - MESSAGE BODY.Message Data>.

- The following entry shall be added:

<MESSAGE - HEADER. Complement of Information Expected flag>; with Data Type set to "n1" and optionality set to "R".

4. APPENDIX F: DATA GROUPS & TRANSACTION HIERARCHY

- The following Data Group <ARCHIVES INFORMATION> with identifier "ARI" shall be deleted.

5. APPENDIX K: RULES AND CONDITIONS MAPPING

- C037 shall be deleted along with the associated IE path:
 - IE820.MESSAGE - ARCHIVES INFORMATION.Archives Refusal Reason Code;
- C124 shall be deleted along with the associated IE path:
 - IE820.MESSAGE - ARCHIVES INFORMATION.Archives Refusal Reason Complement

6. APPENDIX H: DIRECTORY WITH XML SCHEMAS (XSDS)

- The following complex types shall be deleted from the ie820.xsd:
 - "ArchivesInformationType"

- "CPacDatType"

- The following update shall be performed in complex type: "AttributesType" included in the ie820.xsd as follows:

```
<xs:complexType name="AttributesType">
  <xs:sequence>
    <xs:element name="InformationNotFoundFlag"
      type="tcl:Flag" />
    <xs:element name="FilteredInformationFlag" type="tcl:Flag" />
    <xs:element name="ComplementOfInformationExpectedFlag"
      type="tcl:Flag" />
  </xs:sequence>
</xs:complexType>
```

7. DDNEA Main Document:

- In Section "IV.III.1 Request for history information" the following text:

"The scenarios of this section describe the consultation of offline e-AD information due to the e-AD not being available on-line anymore (i.e. the e-AD exists but it has been archived). It should be noted that the requests for history information concern only ELO to ELO requests. The requesting ELO may have previously performed an e-AD download that failed (as described in section III.I.12.2) due to the e-AD not being available on-line anymore. However, a request for history information is not necessarily preceded by an e-AD download that failed."

will be updated as follows:

"The scenarios of this section describe the consultation of requests for history concerning administrative cooperation exchanges of identified movements. It should be noted that the requests for history information concern only ELO to ELO requests."

- In Section "IV.III.1 Request for history information" the following text:

"Any applicable core business message concerning the requested ARC (as in the case of comprising the history of a movement via an IE934);"

shall be removed.

8. Appendix I: Directory with Web Service Interface Definitions (WSDLs):

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	<ul style="list-style-type: none"> ▪ The changes applicable to types.xsd of Appendix H are also applicable to Appendix I.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of implementing Change	<p>If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-191 RFC.</p>
Risk assessment	<p>This RFC mainly concerns changes at the syntactic level.</p> <p>More specifically, it incorporates the necessary changes so that the <ARCHIVES INFORMATION> and the <C_PAC_DAT> Data Groups (along with the Data Items included in these) are removed from the IE820. In addition, it incorporates the insertion of the “Complement of Information Expected flag” Data Item, (currently included in the <ARCHIVES INFORMATION> Data Group of the IE820), in the <HEADER> Data Group of the IE820.</p> <p>If not all MSAs deploy this RFC in production at the same time, when the sender is aligned with the new ie820.xsd with respect to the aforementioned changes while the receiver is not, the respective messages will not be validated successfully by the receiver, since:</p> <ul style="list-style-type: none"> • the Data Groups <ARCHIVES INFORMATION> (which is currently Required) will not be included; and also • the “Complement of Information Expected flag” Data Item (which is also Required) will be included under the <HEADER> Data Group (instead of the <ARCHIVES INFORMATION> Data Group). <p>It shall be noted that a transformation solution is not suggested in this case (to be applied by the sender), since if the sender is advised (prior sending the IE820 to a receiver that has not deployed the current RFC) to insert the <ARCHIVES INFORMATION> Data Group and remove the “Complement of Information Expected flag” Data Item from the <HEADER> Data Group, it will be like the sender is advised not to deploy the present RFC.</p> <p>Hence, unless the MSAs that opt to deploy this RFC in production before M_i, are capable of differentiating the behaviour of their NEAs so that it behaves as today when communicating with Phase 3.2 MSAs whereas it behaves in alignment with this RFC when communicating with Phase 3.3 MSAs (only), a simultaneous deployment by all MSAs is proposed to be followed for the rollout of the specific RFC, so that the aforementioned syntactic violations do not occur.</p> <p><i>It should be noted that the changes introduced by this specific RFC do</i></p>

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	<i>not affect the External Domain, since the IE820 message is not exchanged over the ED.</i>
Deployment approach	This RFC shall be simultaneously deployed by all MSAs at M _i due to the entailed business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-191; • Children RFCs: CTP-P3-252; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-191
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _i

Change Review

Review date	
Review results	

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DDNEA-P3-223 – Sharing National Domain messages via Movement Download

RFC Information

RFC number	DDNEA-P3-223
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM102922, IM87331
Known Error	N/A
Date at which the Change was proposed	18/08/2014
Requester	MSA BE

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-192 RFC.</p> <p>More specifically, the FESS-192 RFC proposed the update of the Common Specification so that messages for National Movements are shared over the Common Domain via the Movement Download mechanism.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed in DDNEA:</p> <ul style="list-style-type: none"> Section “III.I.12.1 Download of an e-AD successful” of the main document <p>The following text:</p> <p><i>“Following the submission of the IE905, the MSA dispatch application also submits to the Requesting MSA application the IE934: C_PAC_DAT message that includes all business messages comprising the movement history, that is the business messages that have been exchanged in the Common Domain and have been successfully processed by the MSA dispatch application”</i></p> <p>will be updated as follows:</p> <p><i>“Following the submission of the IE905, the MSA dispatch application also submits to the Requesting MSA application the IE934: C_PAC_DAT message that includes all business messages comprising the movement history, that is the business messages that have been exchanged in the Common Domain and have been successfully processed by the MSA dispatch application and also all the messages for national movements provided that these national messages are first</i></p>

translated into the same type and structure with the Common Domain messages (i.e., they are valid against the Common Domain .xsds)”

- Appendix C: EMCS CORRELATION TABLES:

1 new Message Element will be added as follows:

- “MESSAGE-HEADER. National Movement Information Requested flag” of Data Type “n1”, corresponding to Codelist “27”. The aforementioned Message Element shall be applicable to the IE904 message with optionality set to “R”.

- Appendix D: TECHNICAL MESSAGE STRUCTURE

- The Data Item “National Movement Information Requested flag” will be inserted in the <HEADER> Data Group of the IE904 message as follows:

National Movement Information Requested flag R n1 TC27

- Appendix E: XML MAPPING

- The following new Data item will be added:
 - “MESSAGE - HEADER. National Movement Information Requested flag” of Data Type “n1” and XML-Tag “NationalMovementInformationRequestedflag”;

- Appendix G: DATA ITEMS

- The following Data Item will be added alphabetically in the table of Appendix G.

“National Movement Information Requested flag” of format “n1”;

- APPENDIX H: DIRECTORY WITH XML SCHEMAS (XSDS)

- The complexType “AttributesType” included in ie904.xsd will be updated as follows (updates appear in TC):

```
<xs:complexType name="AttributesType">
  <xs:sequence>
    <xs:element name="AdministrativeReferenceCode"
type="emcs:AdministrativeReferenceCodeType" />
    <xs:element name="SequenceNumber"
type="emcs:SequenceNumberType" />
    <xs:element name="Status" type="tcl:StatusType"
/>
    <xs:element name="LastReceivedMessageType"
type="tcl:RequestedMessageType" />
    <xs:element name="StatusRequestMessageType"
type="tcl:StatusRequestMessageType" minOccurs="0"
```

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	<pre> /> <xs:element name=" NationalMovementInformationRequestedflag" type="tcl:Flag" /> </xs:sequence> </xs:complexType> </pre>
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Medium).
Effect of not implementing Change	<p>If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-192 RFC.</p>
Risk assessment	<p>This RFC concerns changes at syntactic level.</p> <p>More specifically, the current RFC proposes the insertion of the Data Item “National Movement Information Requested flag” in the IE904 message.</p> <p>It is considered that the aforementioned change has no impact on business continuity and can therefore be deployed in a Migration Period in the provision of the following transformation solution. More specifically:</p> <ul style="list-style-type: none"> • If the sender is aligned with the new IE904 .xsd with respect to the aforementioned updates while the receiver is not, the respective messages will not be validated successfully by the receiver in case that they may include the Data Item “National Movement Information Requested flag” in the IE904 message. To avoid such rejections, as a transformation solution, it is proposed that the sending application removes any occurrences of the Data Item “National Movement Information Requested flag” from the IE904 message. It is considered that the proposed bypass solution does not entail any business continuity risk; • In the same way, if the sender is not aligned with the new .xsds with respect to the aforementioned updates when communicating with MSAs that have already deployed this RFC in production, the messages sent will not be validated successfully by the receiver, since they will not include the Data Item “National Movement Information Requested flag” in the IE904 message. To avoid such rejections, as a transformation solution, it is proposed that the “National Movement Information Requested flag” Data Item is added in the IE904 messages by the receiving application. In this case, it is proposed to use the value “0: No or False” for the “National Movement Information Requested flag” Data Item. The “0:No or False” value in the “National Movement Information Requested flag” field should

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	<p>be considered as a null value. It is considered that the proposed bypass solution does not entail any business continuity risk.</p> <p><i>The changes introduced by the specific RFC, do not affect the External Domain, since the IE904 message is not exchanged over the ED.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> ● Parent RFCs: FESS-192; ● Children RFCs: CTP-P3-253; ● Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-192
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> ● Category of the Change: Review ● Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #146 on 14/04/2016

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

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DDNEA-P3-224 - Allow exchanging of certain Follow-up and Collaboration information for Distance Selling excise movements or any undocumented excise movement

RFC Information

RFC number	DDNEA-P3-224
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM151058, IM108438, IM113519
Known Error	KE13763, KE13808
Date at which the Change was proposed	09/03/2015
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA with the changes proposed for FESS by FESS-193 RFC.</p> <p>More specifically, the FESS-193 RFC proposed the necessary updates so as to allow exchanging certain Follow-up and Collaboration information for duty paid – business to business movements (i.e. duty paid B2B movements), duty paid – distance selling movements (i.e. duty paid B2C movements) and any undocumented movements (i.e. movements using a non-European Union standard document). The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following update shall be performed in DDNEA:</p> <ul style="list-style-type: none"> ○ Main document ○ The introductory text in Sub-Section “IV.IV Movement verification for duty paid movements scenarios” shall be updated from: <ul style="list-style-type: none"> “The movement verification is an administrative tool for the verification of movements of excise goods after their release for consumption.” to: “The movement verification is an administrative tool for the verification of duty paid B2B movements, duty paid B2C movements and any undocumented movements (i.e. movements using a non-European Union standard document).”

- The Section “IV.I.1 Submission of an event report (UC3.24)” will be updated, in order to indicate that an event report (IE840) can be submitted for a duty suspension movement or a duty paid B2B movement or a duty paid B2C movement or an undocumented movement (i.e. a movement using a non-European Union standard document). The exact updates in Section “IV.I.1 Submission of an event report (UC3.24)” are shown via track changes in “**Annex 5-1: Submission of an event report (UC3.24)**”;
- A new Sub-Section “IV.I.1.8 Event report submitted for a duty paid B2B movement or a duty paid B2C movement or any undocumented movement” will be introduced in “IV.I.1 Submission of an event report (UC3.24)”, in order to describe the scenario where an event report is submitted for a duty paid B2B movement or a duty paid B2C movement or any undocumented movement. The new Sub-Section is shown in “**Annex 5-2: Event report submitted for a duty paid B2B movement or a duty paid B2C movement or any undocumented movement**”. (It shall be noted that Figure 160 and Figure 161 will be introduced so as to depict the TDS and CLD of the scenario “Event report submitted for a duty paid B2B movement or a duty paid B2C movement or any undocumented movement”);
- The Section “IV.I.2 Control and submission of the control report (UC3.03)” will be updated, in order to indicate that a control report (IE717) can be submitted for a duty suspension movement or a duty paid B2B movement or a duty paid B2C movement or an undocumented movement (i.e. a movement using a non-European Union standard document). The proposed updates in Section “IV.I.2 Control and submission of the control report (UC3.03)” are shown via track changes in “**Annex 5-3: Control and submission of the control report (UC3.03)**”;
- A new Sub-Section “IV.I.2.4 Control report submitted for a duty paid B2B movement or a duty paid B2C movement or any undocumented movement” will be introduced in “IV.I.2 Control and submission of the control report (UC3.03)”, in order to describe the scenario where a control report is submitted for a duty paid B2B movement or a duty paid B2C movement or any undocumented movement. The new Sub-Section is shown in the attached document “**Annex 5-4: Control report submitted for a duty paid B2B movement or a duty paid B2C movement or any undocumented movement**”. (It shall be noted that Figure 168 and Figure 169 will be introduced so as to depict the TDS and CLD of the scenario “Control report submitted for a duty paid B2B movement or a duty paid B2C movement or any undocumented movement”).
- Appendix B:CODELISTS
- A new codelist, that is TC104 “*Trader Person Type*” will be added as follows:

Code	Description	Remarks
1	Consignor	

2	Consignee	
3	Tax representative	
4	Vendor	
5	Liable person	
6	Customer private individual	

- An additional new codelist, that is TC105 “Other Accompanying Document Type” will be inserted as follows:

Code	Description	Remarks
0	Other	
2	SAAD	

- Appendix C: EMCS CORRELATION TABLES

Following the updates proposed in the “Appendix D: Technical Message Structure Message” (see below) several existing Message Elements will be updated and several new Message Elements will be inserted. Some indicative updates/insertions are provided below:

- The existing <MESSAGE - EXCISE MOVEMENT E-AD> will be set to “D” for the IE717 and IE840 messages;
- The existing <MESSAGE - EXCISE MOVEMENT E-AD.Administrative Reference Code> will be set to “R” for IE717 and to “D” for IE840;
- The existing <MESSAGE - EXCISE MOVEMENT E-AD.Sequence Number> will be set to “R” for IE717 and to “D” for IE840;
- The <MESSAGE - (HEADER) EVENT REPORT.Administrative Reference Code>, <MESSAGE - (HEADER) EVENT REPORT.Sequence Number>,
 - <MESSAGE - (HEADER) CONTROL REPORT.Administrative Reference Code> and
 - <MESSAGE - (HEADER) CONTROL REPORT.Sequence Number> will be removed;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT> will be introduced and will be set to “D” for the IE717, IE722 messages;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.Other Accompanying Document Type> with Data Type set to “n1” and Codelist set to “TC105” will be introduced. This element will be set to “R” for the IE717 and IE722 messages and to “D” for the IE840 message;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.Short Description of Other Accompanying Document> with Data Type set to “an..350” will be introduced and will be set to “D” for the IE717, IE722 and IE840 messages;

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- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.Short Description of Other Accompanying Document_LNG> with Data Type set to “a2” and Codelist set to “12” will be introduced. This element will be set to “D” for the IE717, IE722 and IE840 messages;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.Other Accompanying Document Number> with Data Type set to “an..350” will be introduced. This element will be set to “R” for the IE717 and IE722 messages and to “D” for the IE840 message;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.Other Accompanying Document Date> with Data Type set to “date” will be introduced. This element will be set to “R” for the IE717 and IE722 messages and to “D” for the IE840 message;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.Image of Other Accompanying Document> with Data Type set to “Base64Binary” will be introduced. This element will be set to “O” for the IE717, IE722 and IE840 messages;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.Member State of Dispatch> with Data Type set to “a2” and Codelist set to “11” will be introduced. This element will be set to “R” for the IE717 and IE840 messages;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.Member State of Destination> with Data Type set to “a2” and Codelist set to “11” will be introduced. This element will be set to “R” for the IE717 and IE840 messages;
- A new element <MESSAGE - OTHER ACCOMPANYING DOCUMENT.(PERSON INVOLVED IN MOVEMENT) TRADER> will be introduced and will be set to “O” for the IE717 and IE840 messages;
- Similarly, all updates/insertions described in Appendix D below will be incorporated accordingly in the “Appendix C: EMCS CORRELATION TABLES”.
- Appendix D: Technical Message Structure
- The updates proposed in the “Appendix D: Technical Message Structure” are indicated in the attached document “Annex 5: DDNEA-P3-224”. These updates concern the IE717, IE722 and IE840 messages;
- The <DOCUMENTS> Data Group in the IE722 message shall become aligned with the <SUPPORTING DOCUMENTS> Data Group (and its constituent elements) in the IE721, IE820 and IE867 messages (as per RFCs RFC DDNEA-P3-217 and DDNEA-P3-219).
- APPENDIX E: XML MAPPING
- Following the entry of the Data Groups and Data Items indicated in the “Appendix D: Technical Message Structure” (see attached

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document “Annex 5: DDNEA-P3-224”), the “Appendix E: XML Mapping” will be updated accordingly. These updates will describe new entries that will reuse existing XML-Tags as well as new entries which will use new XML-Tags;

- APPENDIX F: DATA GROUPS & TRANSACTION HIERARCHY
- Following the Data Groups insertion (as indicated in the “Appendix D: Technical Message Structure” - see “**Annex 5-5: IE717, IE722, IE840 messages**”) the “Appendix F: Data Groups & Transaction hierarchy” will also be updated to introduce the new Data Group entries and the new identifiers;
- APPENDIX G: DATA ITEMS
- Following the Data Items insertion (as indicated in the “Appendix D: Technical Message Structure” - see attached document “Annex 5: DDNEA-P3-224”) the “Appendix G: Data Items” will also be updated in order to introduce the new Data Items in alphabetical order. entries and the new identifiers;
- APPENDIX H: DIRECTORY WITH XML SCHEMAS (XSDS)
- Following the updates in the “Appendix D: Technical Message Structure” (see attached document “Annex 5: DDNEA-P3-224”), the ie717.xsd, ie722.xsd, ie840.xsd, tcl.xsd and types.xsd will also be updated accordingly;
- APPENDIX I: DIRECTORY WITH WEB SERVICE INTERFACE DEFINITIONS (WSDLs):
- The changes applicable to types.xsd of Appendix H are also applicable to Appendix I.
- APPENDIX K: RULES AND CONDITIONS MAPPING
- The Conditions updates/insertions described in the “Appendix D: Technical Message Structure” (see attached document “Annex 5: DDNEA-P3-224”) will be also incorporated in the “Appendix K: Rules and Conditions Mapping”.

Note1: Complementary submission of Event Report (IE840) will be removed if RfC DDNEA-P3-201 is approved.

Note2: The following updates to the format and the name of the Data Item “Complementary Information” included in the <MEANS OF TRANSPORT> will be performed to IE717 and IE840 messages if RfC DDNEA-P3-217 is approved:

- The Data Item “Complementary Information” included in the <MEANS OF TRANSPORT> Data Group in the IE717 and IE840 messages will be renamed to “ACO_Complementary Information” and its format will be updated from “an..350” to “an..999”;
- The Data Item “Complementary Information_LNG” included in the <MEANS OF TRANSPORT> Data Group in the IE717 and IE840 messages will be renamed to “ACO_Complementary

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	Information_LNG”.
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (High); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (High).
Effect of not implementing the Change	If the proposed change is not implemented, then DDNEA will be in misalignment with the changes proposed for FESS by FESS-193 RFC.
Risk assessment	<p>This RFC mainly concerns changes at both semantic and syntactic level.</p> <p>More specifically, it incorporates the necessary changes in the IE717, IE722 and IE840 messages (via new/updated Data Groups, Data Items, Rules, Conditions and Technical Codelists) so that it is possible these to be exchanged (also) for duty paid B2B, duty paid B2C or any undocumented movements.</p> <p>It is considered that the aforementioned changes have no impact on business continuity and can therefore be deployed in a Migration Period. More specifically:</p> <ul style="list-style-type: none"> • If the sender is aligned with the new .xsds with respect to the aforementioned updates while the receiver is not, the respective messages will not be validated successfully by the receiver. To avoid such rejections, as a transformation solution, it is proposed the sender to send the IE717, IE722 and IE840 messages only for a duty suspension movement(s) and align their structure with the existing .xsds; • In the same way, if the sender is not aligned with the new .xsds with respect to the aforementioned updates, when communicating with MSAs that have already deployed this RFC in production, the messages sent will not be validated successfully by the receiver. To avoid such rejections, as a transformation solution, it is proposed the receiver to align the received IE717, IE722 and IE840 messages to the existing .xsds. <p><i>The changes introduced by the specific RFC, affect also the External Domain, since the IE840 message is also exchanged over the ED. Though the implementation of this part of the RFC shall be examined at national level by the MSAs.</i></p>
Deployment approach	The RFC can be deployed in a Migration Period with no business continuity risks.
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-193; • Children RFCs: TA-P3-100, CTP-P3-254;

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- **Other RFCs:** DDNEA-P3-201, DDNEA-P3-217, DDNEA-P3-219, DDNEA-P3-220, DDNEA-P3-221, DDNEA-P3-225, DDNEA-P3-227 and DDNEA-P3-218.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-193
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-225 – Reflection of Legal requirements in the Common Specifications

RFC Information

RFC number	DDNEA-P3-225
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM151059
Known Error	N/A
Date at which the Change was proposed	19/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA v1.77 with the changes proposed for FESS by FESS-194 RFC.</p> <p>More specifically, FESS-194 RFC proposed the necessary updates that have to be applied in order to:</p> <ol style="list-style-type: none"> 1. better align the processes falling under Articles 15 and 16 of Regulation (EU) 389/2012 to reflect better the legislation (e.g. mandatory exchanges, spontaneous exchanges timers); 2. introduce Legal Deadline Timers (1 month/3 months) in the Cooperation on Request processes; 3. enforce the usage of the Automatic Processes (i.e. Status Request, Status Synchronisation Request, e-AD Download UC2.51 or General query to retrieve an e-AD UC2.52) before the launching an Administrative cooperation – request for assistance. <p>The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed DDNEA:</p> <ol style="list-style-type: none"> 1. Main Document: <ul style="list-style-type: none"> • Section “IV.I.1 Submission of an event report (UC3.24)” the following text: <p><i>“Events occurring during excise movements having potential consequences on the results of the movement are reported to an Excise office. After examining the facts of an event, an</i></p>

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Excise officer judges whether the event is worth entering into investigation procedures and/or that complementary controls must be achieved.

Shall be updated as follows:

“Events occurring during excise movements having potential consequences on the results of the movement are reported to an Excise office. Submission of an event report is mandatory where one of the cases, referred to in points (a) to (e) of Article 15(1) of Regulation (EU) No 389/2012, is detected and shall be sent as soon as it has potential consequences on the results of the movement, in particular significant shortages, within seven days of the moment when the competent authority becomes aware of the event (as defined in Article 14 of Implementing Regulation (EU) 2016/323). After examining the facts of an event, an Excise officer judges whether the event is worth entering into investigation procedures and/or that complementary controls must be achieved”.

- Section “IV.I.2 Control and submission of the control report (UC3.03)” the following text:

“An excise movement may be verified by a Control officer. During the control, the documentary information related to the e-AD and/or physical state of goods may be verified. The findings of the control are then accumulated by the Control officer into a control report.”

Shall be updated as follows:

“An excise movement may be verified by a Control officer. During the control, the documentary information related to the e-AD and/or physical state of goods may be verified. The findings of the control are then accumulated by the Control officer into a control report.” Submission of a control report is mandatory and shall be sent to the Member States concerned within seven days of the control (as defined in Article 11 of Implementing Regulation (EU) 2016/323), where one of the cases referred to in points (a) to (e) of Article 15(1) of Regulation (EU) No 389/2012 is detected, as a result of a documentary or physical control of goods during a movement.”

- Section “IV.I.3 Interruption of a movement (UC3.05)” the following text:

“There may be cases where an excise movement has been or needs to be immobilised before its normal completion. Interruptions are initiated by an Excise officer and it is assumed that a mechanism exists allowing the triggering of this use case. The details of this mechanism are a national matter.”

Shall be updated as follows:

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“There may be cases where an excise movement has been or needs to be immobilised before its normal completion. Interruptions are initiated by an Excise officer and it is assumed that a mechanism exists allowing the triggering of this use case. Submission of the interruption message (including the reference of the event or control report) is mandatory where one of the cases referred to in points (a) to (e) of Article 15(1) of Regulation (EU) No 389/2012 is detected and shall be sent to the previously nominated MSA of destination and to the MSA of dispatch, within one day of the moment when the MSA of interruption becomes aware of the definitive interruption (as defined in Article 12 of Implementing Regulation (EU) 2016/323). The details of this mechanism are a national matter.”

- Section “ IV.II.1 Spontaneous information (UC3.01)” the following text:

“The spontaneous information use case describes the exchange protocol between two MSA, the issuing and the addressed, for one-way information purposes only. No further actions are expected by the addressed MSA in terms of information exchange messages (excluding rejection messages in case of functional errors).”

Shall be updated as follows:

“The spontaneous information use case describes the exchange protocol between two MSA, the issuing and the addressed, for one-way information purposes only. Submission of an administrative cooperation results message is mandatory and shall be sent to the addressed Member States within seven days of the control (as defined in Article 10 of Implementing Regulation (EU) 2016/323), where one of the cases referred to in points (a) to (d) of Article 15(1) of Regulation (EU) No 389/2012 is detected, as a result of a documentary or physical control of goods, at the premises of a registered consignee, of a temporary registered consignee or of an authorised warehouse keeper. No further actions are expected by the addressed MSA in terms of information exchange messages (excluding rejection messages in case of functional errors).

- Section “IV.II.2 Request for assistance” shall be updated to as described in detail in “**Annex 6-1: Request for assistance**”.
- Section “IV.III.1 Request for history information” shall be updated to as described in detail in “**Annex 6-2: Request for history information**”.
- Section “IV.IV.1 Submission of a movement verification request” shall be updated to as described in detail in “**Annex 6-3: Submission of a movement verification request**”.

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Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> • DDNEA for EMCS Phase 3 (Medium); • CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> • Central SEED v1 application (None); • TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> • Impact on NEAs (Low).
Effect of not implementing the Change	If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-194 RFC.
Risk assessment	<p>This RFC concerns changes at the semantic level. More specifically, it introduced the update of processes descriptions to encompass:</p> <ol style="list-style-type: none"> 1. the alignment of the processes falling under Articles 15 and 16 of Regulation (EU) 389/2012 to reflect better the legislation; 2. legal deadline timers (1 month/3 months) in the Cooperation on Request processes; 3. the usage of the Automatic Processes (i.e. Status Request, Status Synchronisation Request, e-AD Download UC2.51 or General query to retrieve an e-AD UC2.52) before the launching an Administrative cooperation – request for assistance <p>If not all MSAs deploy this RFC, their National Excise Applications will not be aligned with the current legislation.</p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	<ul style="list-style-type: none"> • Parent RFCs: FESS-194; • Children RFCs: -; • Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-194
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> • Category of the Change: Review • Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016

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Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-226 – Set Journey Time per Transport Mode

RFC Information

RFC number	DDNEA-P3-226
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM151062
Known Error	N/A
Date at which the Change was proposed	19/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The current RFC proposes the necessary updates in order to align DDNEA v1.77 with the changes proposed for FESS by FESS-197 RFC.</p> <p>More specifically, the FESS-197 RFC proposed to update FESS v3.65 in order to improve the quality of the data submitted by the traders in the draft e-ADs for reducing the risk of fraud. More specifically, lower journey time limits based on the mode of transport of each movement are proposed to be established and a new rule for checking the information added in a draft e-AD shall be introduced. The change in specifications was triggered by the ACO Workshop on 20-21/01/2016.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed in DDNEA:</p> <p>1. APPENDIX D: TECHNICAL MESSAGE STRUCTURE</p> <ul style="list-style-type: none"> The Data Item <IE709. SYSTEM PARAMETERS. Maximum Journey Time> shall be removed. The structure of the IE709 message shall be updated so as to incorporate the new Data Group <IE709. MAXIMUM JOURNEY TIME PARAMETERS> before the <(SPLITTING) EXCISE PRODUCTS CATEGORY PARAMETERS> Data Group as follows: <p style="margin-left: 40px;"><i>MAXIMUM JOURNEY TIME PARAMETERS 8 0</i></p> The following Data Items shall be included in the < IE709. MAXIMUM JOURNEY TIME PARAMETERS> Data Group: <p style="margin-left: 40px;"><i>Maximum Journey Time R an3 R248</i></p>

	<p><i>Transport Mode Code</i> <i>R</i> <i>n..2</i> <i>R056</i></p> <ul style="list-style-type: none"> The structure of the IE709 message shall be updated so as to incorporate the new Data Group <ACTION> under the <IE709. MAXIMUM JOURNEY TIME PARAMETERS> Data Group, as follows: <p style="margin-left: 40px;"><i>ACTION</i> <i>1x</i> <i>R</i></p> <ul style="list-style-type: none"> The following Data Items shall be included in the <IE709. MAXIMUM JOURNEY TIME PARAMETERS. ACTION> Data Group: <p style="margin-left: 40px;"><i>Operation</i> <i>R</i> <i>a1</i> <i>R007</i> <i>Activation Date</i> <i>C</i> <i>date</i> <i>C001</i> <i>Responsible Data Manager</i> <i>O</i> <i>an..35</i> <i>Modification Date and Time</i> <i>O</i> <i>dateTime</i></p> <ul style="list-style-type: none"> The Data Item <IE734. C_PAR_DAT. SYSTEM PARAMETERS. Maximum Journey Time> shall be removed. The structure of the IE734 message shall be updated so as to incorporate the new Data Data Group <IE734. C_PAR_DAT. MAXIMUM JOURNEY TIME PARAMETERS> before the <(SPLITTING) EXCISE PRODUCTS CATEGORY PARAMETERS> Data Group as follows: <p style="margin-left: 40px;"><i>MAXIMUM JOURNEY TIME PARAMETERS</i> <i>8</i> <i>O</i></p> <ul style="list-style-type: none"> The following Data Items shall be included in the < IE734. C_PAR_DAT. MAXIMUM JOURNEY TIME PARAMETERS> Data Group: <p style="margin-left: 40px;"><i>Maximum Journey Time</i> <i>R</i> <i>an3</i> <i>R248</i> <i>Transport Mode Code</i> <i>R</i> <i>n..2</i> <i>R056</i></p> <ul style="list-style-type: none"> The structure of the IE734 message shall be updated so as to incorporate the new Data Group <ACTION> under the <IE734. C_PAR_DAT. MAXIMUM JOURNEY TIME PARAMETERS> Data Group, as follows: <p style="margin-left: 40px;"><i>ACTION</i> <i>1x</i> <i>R</i></p> <ul style="list-style-type: none"> The following Data Items shall be included in the <IE734. C_PAR_DAT. MAXIMUM JOURNEY TIME PARAMETERS. ACTION> Data Group: <p style="margin-left: 40px;"><i>Operation</i> <i>R</i> <i>a1</i> <i>R007</i> <i>Activation Date</i> <i>C</i> <i>date</i> <i>C001</i> <i>Responsible Data Manager</i> <i>O</i> <i>an..35</i></p>
--	--

Modification Date and Time 0 *dateTime*

- R054 included in the lists of Rules:

“The format of <Journey Time> or of <Maximum Journey Time> is expressed in hours or in days, with the format P99, where:

- "P" is a letter in ("H" = Hours or "D" = Day)

- "99" is a two digits number

If P is "H" then the number is less or equal to 24

if P is "D" then the number is less or equal to 92.

Note: The maximum 92 days period for the journey time is derived from the maximum limit of the TIM_EAD timer defined in Appendix H”.

Shall be updated as follows:

“The format of <Journey Time> is expressed in hours or in days, with the format P99, where:

- "P" is a letter in ("H" = Hours or "D" = Day)

- "99" is a two digits number

If P is "H" then the number is less or equal to 24

if P is "D" then the number is less or equal to the possible values of <Maximum Journey Time> per <Transport Mode Code>.”

- R248 shall be introduced and applied to the < IE709. MAXIMUM JOURNEY TIME PARAMETERS. Maximum Journey Time> Data Item as follows:

“The format of <Maximum Journey Time> is expressed in hours or in days, with the format P99, where:

- "P" is a letter in ("H" = Hours or "D" = Day)

- "99" is a two digits number

If P is "H" then the number is less or equal to 24

if P is "D" then the number is less or equal to the possible values of <Maximum Journey Time> per <Transport Mode Code> as described in the following table:

<i>Transport Mode Code</i>	<i>Maximum Journey Time</i>
<i>0 – Other</i>	<i>D45</i>
<i>1 - Sea Transport</i>	<i>D45</i>
<i>2 - Rail transport</i>	<i>D35</i>
<i>3 - Road transport</i>	<i>D35</i>
<i>4 - Air transport</i>	<i>D20</i>
<i>5 - Postal consignment</i>	<i>D30</i>
<i>7 - Fixed transport installations</i>	<i>D15</i>
<i>8 - Inland waterway transport</i>	<i>D35</i>

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Note 1: The value "Other" refers to multimode transport (where there is unload and reload of cargo) and covers the cases of Groupage, Export, Split and Change of destination.

Note 2: In case of export, the journey time is the estimated duration of the journey up to the exit of the EU customs territory.

2. APPENDIX H: DIRECTORY WITH XML SCHEMAS (XSDS)

- The complex type "CommonSystemParametersType" included in ie709.xsd:

```
<xs:complexType name="CommonSystemParametersType">
  <xs:sequence>
    <xs:element name="SystemParameters"
      type="ie:SystemParametersType" minOccurs="0" />
    <xs:element
      name="SplittingExciseProductsCategoryParameters"
      type="ie:SplittingExciseProductsCategoryParametersType"
      minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

Shall be updated in order to incorporate the <MAXIMUM JOURNEY TIME PARAMETERS> Data Group as follows:

```
<xs:complexType name="CommonSystemParametersType">
  <xs:sequence>
    <xs:element name="SystemParameters"
      type="ie:SystemParametersType" minOccurs="0" />
    <xs:element name="MaximumJourneyTimeParameters"
      type="ie:MaximumJourneyTimeParametersType" minOccurs="0"
      maxOccurs="8" />
    <xs:element
      name="SplittingExciseProductsCategoryParameters"
      type="ie:SplittingExciseProductsCategoryParametersType"
      minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

- The complex type "SystemParametersType" included in ie709.xsd:

```
<xs:complexType name="SystemParametersType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="SYSTEM PARAMETERS" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="MaximumJourneyTime"
      type="emcs:JourneyTimeType" />
    <xs:element
      name="MaximumDurationOfTemporaryAuthorisation"
      type="emcs:TimerDurationType" />
  </xs:sequence>
</xs:complexType>
```

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```

<xs:element name="MaximumDurationOfTimEad"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimChs"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimFdf"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimAco"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimHis"
type="emcs:TimerDurationType" />
<xs:element name="Action" type="ie:ActionType" />
</xs:sequence>
</xs:complexType>

```

shall be updated, as the "MaximumJourneyTime" element shall be removed, as follows:

```

<xs:complexType name="SystemParametersType">
<xs:annotation>
<xs:documentation>
<doc:description value="SYSTEM PARAMETERS" />
</xs:documentation>
</xs:annotation>
<xs:sequence>
<xs:element
name="MaximumDurationOfTemporaryAuthorisation"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimEad"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimChs"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimFdf"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimAco"
type="emcs:TimerDurationType" />
<xs:element name="MaximumDurationOfTimHis"
type="emcs:TimerDurationType" />
<xs:element name="Action" type="ie:ActionType" />
</xs:sequence>
</xs:complexType></xs:complexType>

```

- The complex type "MaximumJourneyTimeParametersType" shall be introduced in ie709.xsd, before the definition of the complex type "SplittingExciseProductsCategoryParametersType", as follows:

```

<xs:complexType
name="MaximumJourneyTimeParametersType">
<xs:annotation>
<xs:documentation>
<doc:description value="MAXIMUM JOURNEY TIME
PARAMETERS" />
</xs:documentation>
</xs:annotation>
<xs:sequence>
<xs:element name="MaximumJourneyTime"
type="emcs:JourneyTimeType" />
<xs:element name="TransportModeCode"

```

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```

type="emcs:TransportModeCodeType" />
  <xs:element name="Action" type="ie:ActionType" />
</xs:sequence>
</xs:complexType>

```

- The complex type " CParDatType" included in ie734.xsd:

```

<xs:complexType name="CParDatType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="C_PAR_DAT" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="SystemParameters"
type="ie:SystemParametersType" minOccurs="0" />
    <xs:element
name="SplittingExciseProductsCategoryParameters"
type="ie:SplittingExciseProductsCategoryParametersType"
minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

Shall be updated in order to incorporate the <MAXIMUM JOURNEY TIME PARAMETERS> Data Group as follows:

```

<xs:complexType name="CParDatType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="C_PAR_DAT" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element name="SystemParameters"
type="ie:SystemParametersType" minOccurs="0" />
    <xs:element name="MaximumJourneyTimeParameters"
type="ie:MaximumJourneyTimeParametersType" minOccurs="0"
maxOccurs="8" />
    <xs:element
name="SplittingExciseProductsCategoryParameters"
type="ie:SplittingExciseProductsCategoryParametersType"
minOccurs="0" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>

```

- The complex type "SystemParametersType" included in ie734.xsd:

```

<xs:complexType name="SystemParametersType">
  <xs:annotation>
    <xs:documentation>

```

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```

    <doc:description value="SYSTEM PARAMETERS" />
  </xs:documentation>
</xs:annotation>
<xs:sequence>
  <xs:element name="MaximumJourneyTime"
type="emcs:JourneyTimeType" />
  <xs:element
name="MaximumDurationOfTemporaryAuthorisation"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimEad"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimChs"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimFdf"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimAco"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimHis"
type="emcs:TimerDurationType" />
    <xs:element name="Action" type="ie:ActionType" />
  </xs:sequence>
</xs:complexType>

```

shall be updated, as the "MaximumJourneyTime" element shall be removed, as follows:

```

xs:complexType name="SystemParametersType">
  <xs:annotation>
    <xs:documentation>
      <doc:description value="SYSTEM PARAMETERS" />
    </xs:documentation>
  </xs:annotation>
  <xs:sequence>
    <xs:element
name="MaximumDurationOfTemporaryAuthorisation"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimEad"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimChs"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimFdf"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimAco"
type="emcs:TimerDurationType" />
    <xs:element name="MaximumDurationOfTimHis"
type="emcs:TimerDurationType" />
    <xs:element name="Action" type="ie:ActionType" />
  </xs:sequence>
</xs:complexType>

```

- The complex type "*MaximumJourneyTimeParametersType*" shall be introduced in ie734.xsd, before the definition of the complex type "SplittingExciseProductsCategoryParametersType", as follows:

```

<xs:complexType
name="MaximumJourneyTimeParametersType">

```

```

<xs:annotation>
  <xs:documentation>
    <doc:description value="MAXIMUM JOURNEY TIME
PARAMETERS" />
  </xs:documentation>
</xs:annotation>
<xs:sequence>
  <xs:element name="MaximumJourneyTime"
type="emcs:JourneyTimeType" />
  <xs:element name="TransportModeCode"
type="emcs:TransportModeCodeType" />
  <xs:element name="Action" type="ie:ActionType" />
</xs:sequence>
</xs:complexType>

```

3. APPENDIX J: BUSINESS RULES CATALOGUE

- BR007 included in the Business Rules Catalogue shall be updated as follows:

BR ID	BR007
BR Category	Relation
BR Description	<p>It is obligatory that each journey time of the draft message is less or equal to the maximum defined journey time, considering the transport mode code of the draft message.</p> <p>It is permitted that the maximum defined journey time is equal to the maximum journey time for the corresponding transport mode code of the reference data in seed, or a national system parameter for journey time, if the national system parameter for journey time is less or equal to the maximum journey time.</p>
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210
FESS Validation Rule	<ul style="list-style-type: none"> the journey time is less than the maximum duration allowed (common system parameter) as defined for the transport mode of the movement and it conforms to additional national provisions.
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	1) (HEADER) E-AD.Journey Time 2) (UPDATE) E-AD.Journey Time 3) (SPLIT DETAILS) E-AD.Journey Time
Optionality	Mandatory

	<table border="1"> <tr> <td data-bbox="512 210 826 622">Comments</td> <td data-bbox="826 210 1369 622"> <p>Following the proposed update of R054, the current Business Rule should be introduced in order to define that:</p> <p>a. The <Journey Time> should be less or equal to the maximum defined <Journey Time>;</p> <p>b. The maximum <Journey Time> can be either the common system parameter defined (<Maximum Journey Time>) or a National system parameter, provided that the latest is less or equal to the common system parameter.</p> </td> </tr> </table> <p>4. APPENDIX I: DIRECTORY WITH WEB SERVICE INTERFACE DEFINITIONS (WSDLs):</p> <ul style="list-style-type: none"> The changes applicable to ie.734.xsd of Appendix H are also applicable to Appendix I. <p><i>It shall be noted that Appendices C, E, G and K are updated accordingly.</i></p>	Comments	<p>Following the proposed update of R054, the current Business Rule should be introduced in order to define that:</p> <p>a. The <Journey Time> should be less or equal to the maximum defined <Journey Time>;</p> <p>b. The maximum <Journey Time> can be either the common system parameter defined (<Maximum Journey Time>) or a National system parameter, provided that the latest is less or equal to the common system parameter.</p>
Comments	<p>Following the proposed update of R054, the current Business Rule should be introduced in order to define that:</p> <p>a. The <Journey Time> should be less or equal to the maximum defined <Journey Time>;</p> <p>b. The maximum <Journey Time> can be either the common system parameter defined (<Maximum Journey Time>) or a National system parameter, provided that the latest is less or equal to the common system parameter.</p>		
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> DDNEA for EMCS Phase 3 (Medium); CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> Central SEED v1 application (Medium); TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> Impact on NEAs (Low). 		
Effect of not implementing the Change	<p>If the proposed change is not implemented, then the DDNEA will be in misalignment with the changes proposed for FESS by FESS-197 RFC.</p>		
Risk assessment	<p>This RFC concerns changes both at the syntactic and the semantic level.</p> <p>Changes at semantic level</p> <p>-----</p> <p>Concerning the changes at semantic level, namely, the applicability of a new rule, i.e. R248, on the newly introduced "Maximum Journey Time" Data Item of the IE709 and IE734 messages and the updates of R054 and BR007, they can be deployed in production in a Migration Period, since alike any other semantic validations are validated only at the sending side of the IE734 (that include the IE709) messages (in alignment with the general EMCS principle of not performing semantic validations at the receiving side over the CD). Hence, if the sender, i.e. SEED, is aligned with these updates while the receiving MSAs are not, no semantic rejection (IE906 message) shall be triggered by the receiving MSAs.</p> <p>Changes at syntactic level</p> <p>-----</p>		

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	<p>According to the proposed changes, i.e. the update of the <SYSTEM PARAMETERS> Data Group of both IE709 and IE734 and the introduction of the <MAXIMUM JOURNEY TIME PARAMETERS> Data Group (along with its depended (<ACTION> Data Group) in both of the aforementioned messages, the ie709.xsd and the ie734.xsd will be updated. If CA SEED uses the updated .xsds when communicating with MSAs that have not yet deployed this RFC in production, the receiving MSAs will reject the IE734 messages received.</p> <p>To avoid such rejections, CA SEED should use the updated ie734.xsd only when communicating with the MSAs in the new phase (i.e. Phase 3.3). When communicating with the Phase 3.2 MSAs, CA SEED should use the existing ie734.xsd.</p> <p>It is considered that the aforementioned proposal will enable CA SEED to support both phases (the old and the new one) without entailing any business continuity risk.</p> <p>However, as soon as the new Phase, i.e. EMCS Phase 3.3 is in production, all MSAs as well as CA SEED should be aligned with the new ie734.xsd.</p> <p>From the operational point of view two different IE734 messages shall be uploaded and maintained on CA SEED for Phase 3.3; one with the old value for the "Maximum Journey Time" and one with the new values.</p> <p>Thus the two IE734 messages that will also be disseminated to the MSAs will differ both from the .xsd and the context point of view.</p> <p><i>It should be noted that the changes introduced by the specific RFC, do not affect the External Domain, since the IE709/IE734 messages are not exchanged over the ED.</i></p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	<ul style="list-style-type: none"> ● Parent RFCs: FESS-197; ● Children RFCs: TA-P3-087, SEED-137, IE734-019; ● Other RFCs: -.

Indicative changes to legislation

Draft recital for information	Please refer to FESS-197
Location of change in Legislation	N/A

Approval Process

CAB recommendation	<ul style="list-style-type: none"> ● Category of the Change: Review ● Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016

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Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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DDNEA-P3-227– MVS Spontaneous information

RFC Information

RFC number	DDNEA-P3-227
RFC status	Closed
Reason for Change	Incompliance with specification
Incidents	IM151061
Known Error	N/A
Date at which the Change was proposed	19/04/2016
Requester	EMCS CPT

Change Assessment

Change priority	Medium
Change Description	<p>Problem statement:</p> <p>The present RFC proposes the necessary updates in order to align DDNEA v1.77 with the changes proposed for FESS by FESS-198 RFC.</p> <p>More specifically, the FESS-198 RFC proposed the introduction of the provision of spontaneous information for Movement Verification for Duty Paid movements.</p> <p>Proposed solution:</p> <p>As per the analysis provided in the [Problem Statement], the following updates will be performed in DDNEA:</p> <ol style="list-style-type: none"> Main Document: <ul style="list-style-type: none"> Section “IV.IV Movement Verification for duty paid movements scenarios” shall be updated to include the sub-section “IV.IV.9 Spontaneous information”, as described in detail in “Annex 7-1: Spontaneous information (UC3.01)”. <p><i>Note: The implementation that is described analytically in “Annex 7-1: Spontaneous information (UC3.01)” is in alignment with respective updates performed in the scope of the “RFC-DDNEA-P3-225: Reflection of Legal requirements in the Common Specifications”</i></p>
Impact assessment	<p>Specification Documents:</p> <ul style="list-style-type: none"> DDNEA for EMCS Phase 3 (Medium); CTP for EMCS Phase 3 (Medium). <p>CDEAs:</p> <ul style="list-style-type: none"> Central SEED v1 application (None); TA (Low). <p>NEAs:</p> <ul style="list-style-type: none"> Impact on NEAs (Low).
Effect of not	If the proposed change is not implemented, then the DDNEA will be in

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implementing the Change	misalignment with the changes proposed for FESS by FESS-198 RFC.
Risk assessment	<p>This RFC concerns the addition of a new functionality.</p> <p>More specifically, it introduced the Spontaneous Information mechanism to the Movement Verification for Duty Paid movements.</p> <p>According to this new functionality, the IE725 message will also be sent by the Issuing MSA application to the Addressed MSA for information purposes only, while no further actions are expected by the Addressed MSA in terms of information exchange messages.</p> <p>Assuming that the sending application (Issuing MSA application) has implemented the new functionality whereas the receiving application (Addressed MSA) has not, the receipt of the IE725 message can cause an out-of-sequence rejection. Given that this message will be sent for information purposes only the specific rejection will have no impact on the business continuity.</p> <p>However it is recommended that the MSAs that will implement the current RFC before the end of the Migration Period, use this new functionality only when communicating with MSAs that have also implemented the specific RFC.</p>
Deployment approach	This change has no impact on business continuity, and can therefore be deployed in production in a Migration Period .
Reference to other RFCs	<ul style="list-style-type: none"> ● Parent RFCs: FESS-198; ● Children RFCs: CTP-P3-255; ● Other RFCs: DDNEA-P3-225, DDNEA-P3-224, DDNEA-P3-218.
Indicative changes to legislation	
Draft recital for information	Please refer to FESS-198
Location of change in Legislation	N/A
Approval Process	
CAB recommendation	<ul style="list-style-type: none"> ● Category of the Change: Review ● Approval process: <ul style="list-style-type: none"> ○ The Change is authorised for approval by the CAB.
ECWP position	The change was approved on 14/07/2016 at ECWP #70
Authorisation date and process	EMCS CAB #147 on 28/04/2016

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EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

Release information

Release number	v1.91
Release date	20/12/2016
Deadline for alignment in Production	After Milestone M _h

Change Review

Review date	
Review results	

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6 ANNEX – FESS RFCS

6.1 ANNEX 1: FESS-158 - UPDATES IN THE IE813 MESSAGE DUE TO VIOLATION OF RULE216 AND IN ORDER TO ALLOW THE CHANGE OF MOVEMENT GUARANTEE INFORMATION



FESS-158-Annex.doc

6.2 ANNEX 2: FESS-167 – REMOVAL OF THE COMPLEMENTARY EVENT REPORT FUNCTIONALITY



FESS-167-Annex.doc

6.3 ANNEX 3: FESS-185 – STATE TRANSITION FROM THE “EXTENDED” TO THE “EXTENDED” STATE BOTH FOR THE ACO AND MVS FUNCTIONALITY



FESS-185-Annex.doc

x

6.4 ANNEX 4: FESS-190 – FEEDBACK PROCESS

ANNEX 4-1: ADMINISTRATIVE COOPERATION



FESS-190-AdministrativeCooperation.docx

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ANNEX 4-2: MOVEMENT VERIFICATION FOR DUTY PAID



FESS-190-Movement
VerificationForDutyPa

6.5 ANNEX 5: FESS-191 – REDUCE THE SCOPE OF THE HISTORY RESULTS (IE820) AND THE HISTORY REQUEST FUNCTIONALITY

ANNEX 5-1: ACCESS TO THE HISTORY OF MOVEMENTS



FESS-191-AccessToT
heHistoryMovements.

ANNEX 5-2: OVERVIEW



FESS-191-Overview.
docx

ANNEX 5-3: EBP: UC-329-220 – PREPARE HISTORY RESULTS MESSAGE



FESS-191_EBP_UC-3
29-220-PrepareHistor

6.6 ANNEX 6: FESS-193 – ALLOW EXCHANGING OF CERTAIN FOLLOW-UP AND COLLABORATION INFORMATION FOR DISTANCE SELLING EXCISE MOVEMENTS OR ANY UNDOCUMENTED EXCISE MOVEMENT

ANNEX 6-1: - MOVEMENT VERIFICATION FOR DUTY PAID MOVEMENTS



Annex 1 -
FESS-193.doc

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

ANNEX 6-2: SUBMISSION OF AN EVENT REPORT (UC3.24)



Annex 2 -
FESS-193.docx

ANNEX 6-3: EBPs



Annex 3 -
FESS-193.docx

ANNEX 6-4: CONTROL AND SUBMISSION OF THE CONTROL REPORT (UC3.03)



Annex 4 -
FESS-193.docx

ANNEX 6-5: MAJOR EVENTS - PROCESSES



Annex 5 -
FESS-193.docx

ANNEX 6-6: IE722, IE840, IE717 MESSAGES



Annex 6 -
FESS-193.doc

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

6.7 ANNEX 7: FESS-194 – REFLECTION OF LEGAL REQUIREMENTS IN THE COMMON SPECIFICATIONS

ANNEX 7-1: OVERVIEW - CONTROL AND SUBMISSION OF THE CONTROL REPORT (UC3.03)



Annex 1 -
FESS-194.docx

ANNEX 7-2: ADMINISTRATIVE COOPERATION - SPONTANEOUS INFORMATION (UC3.01)



Annex 2 -
FESS-194.docx

ANNEX 7-3: OVERVIEW - ADMINISTRATIVE COOPERATION - REQUEST FOR ASSISTANCE (UC3.07)



Annex 3 -
FESS-194.docx

ANNEX 7-4: OVERVIEW - REQUEST FOR HISTORY INFORMATION (UC3.29)



Annex 4 -
FESS-194.docx

ANNEX 7-5: OVERVIEW - MOVEMENT VERIFICATION -REQUEST



Annex 5 -
FESS-194.docx

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

ANNEX 7-6: OVERVIEW - ALERT OR REJECTION



Annex 6 -
FESS-194.docx

6.8 ANNEX 8: FESS-195 - DESCRIBE THE STATUS/ STATUS SYNCHRONISATION MECHANISM IN FESS

ANNEX 8-1: STATUS SYNCHRONISATION MECHANISM



Annex 1 -
FESS-195.docx

ANNEX 8-2: SUBMISSION OF REPORT OF RECEIPT



Annex 2 -
FESS-195.docx

6.9 ANNEX 9: FESS-196 - TRANSFER THE PROCESSES “DOWNLOAD OF AN E-AD (UC2.51)” AND “GENERAL QUERY TO RETRIEVE AN E-AD (UC2.52)” FROM FESS SECTION II “CORE BUSINESS” TO SECTION IV “FOLLOW-UP AND COLLABORATION”

ANNEX 9-1: DOWNLOAD OF AN E-AD



Annex 1 -
FESS-196.docx

ANNEX 9-2: GENERAL QUERY TO RETRIEVE AN E-AD



Annex 2 -
FESS-196.docx

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

6.10 ANNEX 10: FESS-198 - MVS SPONTANEOUS INFORMATION

ANNEX 10-1: MOVEMENT VERIFICATION FOR DUTY PAID MOVEMENTS



Annex 1 -
FESS-198.docx

ANNEX 10-2: MOVEMENT VERIFICATION FOR DUTY PAID MOVEMENTS - SPONTANEOUS INFORMATION (UC3.17)



Annex 2 -
FESS-198.docx

6.11 ANNEX 11: FESS-189 - ALLOW REASONED REFUSAL FOR REFUSING REPLYING TO AN ADMINISTRATIVE COOPERATION/MV REQUEST

ANNEX 11-1: ADMINISTRATIVE COOPERATION - REQUEST FOR ASSISTANCE (UC3.07)



Annex 1 -
FESS-189.docx

ANNEX 11-2: EBP: (UC-307-210 – ANALYSE REQUEST)



Annex 2 -
FESS-189.docx

ANNEX 11-3: EBP: (UC-307-120 – RECEIVE ANSWER MESSAGE)



Annex 3 -
FESS-189.docx

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

ANNEX 11-4: MOVEMENT VERIFICATION – REQUEST (UC3.15)



Annex 4-
FESS-189.docx

ANNEX 11-5: EBP: (UC-315-210 – ANALYSE REQUEST)



Annex 5 -
FESS-189.docx

ANNEX 11-6: EBP: (UC-315-120 – RECEIVE ANSWER MESSAGE)



Annex 6 -
FESS-189.docx

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

7 ANNEX – DDNEA RFCS

7.1 ANNEX 1: DDNEA-P3-197 - UPDATES IN THE IE813 MESSAGE DUE TO VIOLATION OF RULE216 AND IN ORDER TO ALLOW THE CHANGE OF MOVEMENT GUARANTEE INFORMATION



DDNEA-P3-197 -
Annex .doc

7.2 ANNEX 2: DDNEA-P3-201 – REMOVAL OF THE COMPLEMENTARY EVENT REPORT FUNCTIONALITY



DDNEA-P3-201 -
Annex.doc

7.3 ANNEX 3: DDNEA-P3-216 – STATE TRANSITION FROM THE “EXTENDED” TO THE “EXTENDED” STATE BOTH FOR THE ACO AND MVS FUNCTIONALITY



DDNEA-P3-216 -
Annex.docx

7.4 ANNEX 4: DDNEA-P3-221 – FEEDBACK PROCESS

ANNEX 4-1: IV FOLLOW-UP AND COLLABORATION



Annex 1-
DDNEA-P3-221.docx

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

ANNEX 4-2: IV.IV MOVEMENT VERIFICATION FOR DUTY PAID MOVEMENTS SCENARIOS



Annex 2 -
DDNEA-P3-221.docx

7.5 ANNEX 5: DDNEA-P3-224 - ALLOW EXCHANGING OF CERTAIN FOLLOW-UP AND COLLABORATION INFORMATION FOR DISTANCE SELLING EXCISE MOVEMENTS OR ANY UNDOCUMENTED EXCISE MOVEMENT

ANNEX 5-1: SUBMISSION OF AN EVENT REPORT (UC3.24)



Annex 1-
DDNEA-P3-224.docx

ANNEX 5-2: EVENT REPORT SUBMITTED FOR A DUTY PAID B2B MOVEMENT OR A DUTY PAID B2C MOVEMENT OR ANY UNDOCUMENTED MOVEMENT



Annex 2-
DDNEA-P3-224.docx

ANNEX 5-3: CONTROL AND SUBMISSION OF THE CONTROL REPORT (UC3.03)



Annex 3-
DDNEA-P3-224.docx

ANNEX 5-4: CONTROL REPORT SUBMITTED FOR A DUTY PAID B2B MOVEMENT OR A DUTY PAID B2C MOVEMENT OR ANY UNDOCUMENTED MOVEMENT



Annex 4-
DDNEA-P3-224.docx

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

ANNEX 5-5: IE717, IE722, IE840 MESSAGES



Annex 5-
DDNEA-P3-224.doc

7.6 ANNEX 6: DDNEA-P3-225 – REFLECTION OF LEGAL REQUIREMENTS IN THE COMMON SPECIFICATIONS

ANNEX 6-1: REQUEST FOR ASSISTANCE



Annex 1 -
DDNEA-P3-225.docx

ANNEX 6-2: REQUEST FOR HISTORY INFORMATION



Annex 2 -
DDNEA-P3-225.docx

ANNEX 6-3: SUBMISSION OF A MOVEMENT VERIFICATION REQUEST



Annex 3 -
DDNEA-P3-225.docx

7.7 ANNEX 7: DDNEA-P3-227 - MVS SPONTANEOUS INFORMATION

ANNEX 7-1: SPONTANEOUS INFORMATION (UC3.01)



Annex 1 -
DDNEA-227.docx

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

7.8 ANNEX 8: DDNEA-P3-220 - ALLOW REASONED REFUSAL FOR REFUSING REPLYING TO AN ADMINISTRATIVE COOPERATION/MV REQUEST

ANNEX 8-1: REQUEST FOR ASSISTANCE



Annex 1 -
DDNEA-220.docx

ANNEX 8-2: MOVEMENT VERIFICATION FOR DUTY PAID MOVEMENTS SCENARIOS



Annex 2 -
DDNEA-220.docx

ANNEX 8-3: SUBMISSION OF AN ANSWER FOR EXTENDING THE DEADLINE TO A REQUEST FOR ASSISTANCE



Annex 3 -
DDNEA-220.docx

ANNEX 8-4: SUBMISSION OF AN ANSWER FOR EXTENDING THE DEADLINE TO A HISTORY INFORMATION REQUEST



Annex 4 -
DDNEA-220.docx

ANNEX 8-5: SUBMISSION OF AN ANSWER FOR REFUSAL OF A HISTORY INFORMATION REQUEST



Annex 5 -
DDNEA-220.docx

DG TAXUD	EMCS
EMCS Phase 3.3 FESS and DDNEA Release - Release Scope Document	VER: 2.20

ANNEX 8-6: SUBMISSION OF AN ANSWER FOR EXTENDING THE DEADLINE



Annex 6-
DDNEA-220.docx

ANNEX 8-7: STATE-TRANSITION DIAGRAMS FOR REQUEST FOR ASSISTANCE SCENARIOS



Annex 7-
DDNEA-220.docx

ANNEX 8-8: STATE-TRANSITION DIAGRAMS FOR MOVEMENT VERIFICATION FOR DUTY-PAID MOVEMENTS SCENARIOS



Annex 8-
DDNEA-220.docx