

## eCustoms Helpdesk Notification

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| Number:               | Ref: 58/2021   |
| Subject:              | AIS Functionality Update   |
| Who should read:      | All those involved in Import Declarations and the verification of software packages with UCC Software. |
| Related Notification: | N/A  |
| Issued by:            | eCustoms Helpdesk  |
| Queries to:           | <a href="mailto:UCCITDev@revenue.ie">UCCITDev@revenue.ie</a>   |
| Issue Date:           | 29 November 2021   |

This Notification has been updated to provide further clarity on two of the changes that Revenue will introduce to AIS in our next systems release. The updates are highlighted in red.

Revenue is making some minor system changes to AIS which will go live during the week commencing 6 December 2021. This release will be available in our test environment (PIT) by the 1 December 2021. We will give 5 days' advance notification of the exact "go live" date.

The changes are mainly technical and will not result in businesses having to provide any additional or new information. However, it will mean stricter application of some business rules resulting in some declarations being rejected.

High level details are provided below with full details in the [Annex](#).

If you are the **Importer or Declarant** and if you are authorised for End Use please note the following:

- N990 – national authorisation for End Use will now be accepted, **C990 will continue to be accepted.**

If you are the **Importer or Declarant** and if you not authorised for End Use, **Temporary Admission, or Inward Processing**, please note the following:

- 1D6 securities for **End Use, Temporary Admission or Inward processing** will now be displayed in the total tax field on the IM428 declaration acceptance message and IM429 declaration release message

If you are the **Declarant or an AIS Software Provider**, you should take note of the following business rule changes which may result in some declarations being rejected:

- **H7 customs Declarations**
  - If C07 or C08 are declared on a H7 the same code must be used across all items on the declaration you cannot declare C07 for one item and C08 for another item.
  - The transport document field (DE 12 05 000 000) will no longer be a mandatory field at goods shipment level, it will now be an optional field. This means that transport documents will now be required at either goods shipment level or goods shipment item level.
  - A H7 declaration must include the importer's identification number or "NR" if not registered in D/E 1304017000. If "NR" is present, then the importer's name and full address is mandatory in D/E 1304000000.
  - AIS will no longer create duplicate IM428 declaration acceptance message
  
- **I1 - Simplified Declaration**
  - Where the supplementary waiver code - N9000 is declared on a simplified declaration (I1), a supplementary declaration will not be required.
  
- **D3 – Electronic Transport Document**
  - On a D3 DE '3/9 Consignee' is Mandatory unless DE '3/10 Consignee Identification No' is completed. One of these fields must be completed or else the declaration will be rejected.
  
- **All other H Declarations (H1 – H6)**
  - A H1 declaration must include the importer's identification number or "NR" if not registered in D/E 3/16. If "NR" is present, then the importer's name and full address is mandatory in D/E 3/15
  - IM451 Schema (release rejection message) rejection reason has changed from an..35 to an..350. This means the rejection reason will now be fully populated.
  - When C07 or C08 has being declared on a H1, no customs duty will apply
  - Only one of these codes can be declared in a customs import declaration -
    - COI certificates C644 Certificate of inspection for organic products **or**
    - Y929 Goods not concerned by Regulation (EC) No 834/2007 (organic products).
  - If both codes are declared the declaration will be rejected.
  
- **Invalidations, amendments, and refunds**
  - The Customs procedure field will now be optional when completing an application for a deposit refund (RD415).
  - Traders will now be able to amend the legal basis on a refund application (RF415)
  - When completing an invalidation request (IM414), the following data element values (where applicable) must be equal to the original declaration:
    1. MRN
    2. LRN (DE '2/5', '12 09 001 000')
    3. Declaration Type (DE '1/1')
    4. Additional Declaration Type (DE '1/2', '11 02 001 000')
    5. Customs Office of Lodgement
    6. Declarant ID (DE '3/18', '13 05 017 000')
    7. Representative ID (DE '3/20', '13 06 017 000')
    8. For H1 and H7, IOSS Number (DE '3/40', '13 16 000 000')

## Further Information

Please note that all queries in relation to the PIT Test Environment **must** be raised via [eCustoms PIT Helpdesk Dashboard](#)

If you need further information, please contact either of the following:

Change Management Unit – email: [ucc-cmu@revenue.ie](mailto:ucc-cmu@revenue.ie)

IT/Technical – email: [UCCITDev@revenue.ie](mailto:UCCITDev@revenue.ie)

## ANNEX

Please find below, the link to updated Trader Specifications in relation to the upcoming release. The following changes will be reflected in Version 1.11 of the Trader Specifications.

<https://www.revenue.ie/en/customs-traders-and-agents/customs-electronic-systems/ais/trader-guides/index.aspx>.

### (1) Enhancements and Fixes:

The following updates will be deployed as part of this release:

- **N990 Rejecting with BR2042**  
The N990 authorisation will no longer reject where a valid authorisation number is provided. Please note that the same End Use authorisation number is valid for C990 and N990. Please note that BR2042 and BR2045 will now be enabled.
- **1D6 will be included in the tax total**  
  
TaxType 1D6 was not showing in DE 4/7: Calculation of taxes on the AIS messages IM428 – declaration acceptance and IM429 declaration released. The 1D6 amount however, was being taken from the TAN account associated with the declaration. The total amount will now show in DE4/7 in both the above message types
- **H7 - Multi-item declaration**  
Where the H7 data set is used, the additional procedure code must contain at least C07 or C08 and the same code must be declared for all items within the declaration.
- **Importer Field on H7**  
DE '3/16 (13 04 017 000) Importer identification No.' is a required field. The EORI or Revenue Tax Registration of the importer or 'NR' must be present here. Where 'NR' is present then Name and Address are mandatory. Where, the Importer Identification number is present then the name and address information fields cannot be used. C0617 will trigger if both fields are populated.
- **H7 - transport document**  
Within a H7 declaration the transport documents DE 12 05 000 000 will be optional at both goods' shipment level and goods shipment item level. These documents should only be entered at one level. Conditions C0622 and C0634 have been updated in relation to this data field
- **IM451 Schema / Code list conflict**  
Currently the rejection reason is set to an..35 this will be extended to an..350 ensure the rejection reason can be fully populated.
- **Updated simplified declaration requirement**  
All simplified declarations (I1) will require a supplementary declaration (IMY) except where N9000 in DE 2/2 Additional information is present on the simplified declaration(I1).

- **RD415 - query in relation to data field**  
When completing RD415, the Customs procedure field will now be optional
- **Change to Invalidation Request (IM414)**  
BR8063 will now apply to the invalidation request (IM414) message which means that certain data elements cannot be amended. Where such amendments are included on the invalidation, the declaration will be rejected.
- **Rejection of RF415 with amended legal basis**  
This issue has been resolved. Amended RF415 Refund applications now allow a change to legal basis.
- **Importer Field on H1**  
DE '3/16 Importer identification No.' is a required field. The EORI or Revenue Tax Registration of the importer or 'NR' must be present here. Where 'NR' is present then Name and Address are mandatory. Where the Importer Identification number is present then the name and address information fields cannot be used.
- **Declarations stuck at Registered.**  
This was affecting declarations for non-RORO traffic, where Mode of Transport was 1, and the ship had arrived. This issue has been resolved and declarations will correctly move on to an accepted status.
- **Consignee DE3/9 or Consignee ID No DE 3/10 on D3 declaration**  
Where the Dataset is D3, then DE '3/9 Consignee' is Mandatory unless DE '3/10 Consignee Identification No' is completed. A new condition C0635 has been created and C0622 has been updated. If one of these fields is not completed the declaration will be rejected.
- **H1 C07 under 150 Euro Duties being calculated**  
When C07 is declared and the total amount is less than or equal to 150 Euro, the following duties will be relieved: A00, A20, A30, A35, A40 and A45. When C08 is declared and the total amount is less than or equal to 45 Euro, the following duties will be relieved: A00, A20, A30, A35, A40 and A45.
- **Duplicate IM428 message**  
A duplicate IM428 message was being created in H7 declarations. This issue has now been resolved and AIS will no longer create a duplicate message.
- **COI Certificates C644 Certificate of inspection for organic products and Y929 Goods not concerned by Regulation (EC) No 834/2007 (organic products).**  
Only one of the above codes can be declared in a single declaration with a relevant CN code. If both codes are declared on an item, the declaration will be rejected with CD0197

## (2) Business Rules:

### New Business Rules

| Business Rule | Description   | Error Message              |
|---------------|---|----------------------------|
| C0635         | If the Dataset is D3 then DE '3/9 Consignee' is Mandatory unless DE '3/10 Consignee Identification No' is completed | Mandatory value is missing |

### Updated Business Rules

| Business Rule | Description  | Update   |
|---------------|--|--|
| BR3015        | The DE '3/18 Declarant' must be authorised to submit declarations for the person paying the customs duty. That person is defined in DE '3/46 Person paying the customs duty identification No', if included in the declaration, otherwise the person paying the duties is the person defined in DE '3/18 Declarant'.   | Rule has been reworded   |
| BR600002      | If the dataset is H1 THEN<br>If 'C07' or 'C08' is declared in DE '1/11 (11 10 001 000) Additional Procedure' THEN only one of these codes can be declared, and these codes must be declared in all items<br><br>If the dataset is H7 THEN<br>DE '1/11 (11 10 001 000) Additional Procedure' has to contain either of these codes: 'C07' or 'C08', and these codes must be declared in all items  | This rule is being applied across H1 and H7 for IM415 and IM413 messages |
| BR8063        | In case of amendments or invalidations, the following data element values (where applicable) must be equal to the original declaration:<br>1. MRN<br>2. LRN (DE '2/5', '12 09 001 000')<br>3. Declaration Type (DE '1/1')<br>4. Additional Declaration Type (DE '1/2', '11 02 001 000')<br>5. Customs Office of Lodgement<br>6. Declarant ID (DE '3/18', '13 05 017 000')<br>7. Representative ID (DE '3/20', '13 06 017 000')<br>8. For H1 and H7, IOSS Number (DE '3/40', '13 16 000 000') | This will now apply to IM414 Invalidation request                        |
| C0622         | IF the data item/data group is used and has the same value(s) for all other goods items THEN the data group/data item on 'Goods Shipment' level is used, ELSE the data group/data item on 'Goods Shipment Item' level is used.   | Will be applicable on D3 declaration                                     |

| Business Rule | Description   | Update  |
|---------------|---|---|
| C0634         | The data item/data group must be entered EITHER at 'Goods Shipment' OR at 'Goods Shipment Item' level   | This will be applied to DE 12 05 000 000 transport document on H7 |
| BR2042        | <p>IF DE '2/3 Document type' = {C019, C504, C512, C513, C514, C515, C516, C517, C518, C519, C526, C601, C990, 1Q22, N990}</p> <p>THEN the Authorisation declared in DE '2/3 Document Identifier' must be valid on the declaration date.</p> <p>For CWP The holder of the Authorisation must be the Declarant or the Importer.</p> <p>For CW1 and CW2 The holder of the authorisation does not need to be declarant or importer.</p> <p>For DE '2/3 Document Type' = 'C019', the rule applies only when the DE '2/3 Document Identifier' is a national authorisation identifier.</p> | This rule is now enabled  |
| BR2045        | <p>IF DE '2/3 Document Type' = {C019, C516, C601, C990, 1D04, N990}, THEN the commodity codes (DE 6/14) of the declaration items covered by the Authorisation must be in the list of the commodity codes which is included in the Authorisation (Authorisation DE 5/1) OR the Authorisation must include no commodity codes.</p> <p>For DE '2/3 Document Type' = 'C019', the rule applies only when the DE '2/3 Document Identifier' is a national authorisation identifier.</p>  | This rule is now enabled  |

### (3) Update to XSD's:

Please note there have been some minor updates to the XSD's. See AIS schema change Log for more detail.

<https://www.revenue.ie/en/online-services/support/software-developers/documents/ais/ais-schema-change-log.pdf>