OWNER:	ISSUE DATE:	VERSION:
DG TAXUD	22/02/2019	2.02-EN

TAXATION AND CUSTOMS UNION DG
EMCS COMPUTERISATION PROJECT
PHASE 3

SUBJECT:

APPENDIX J: BUSINESS RULES CATALOGUE

DDNEA FOR EMCS PHASE 3

(ECP3-FITSDEV3-SC05-DDNEA_P3_APP_J)

FRAMEWORK CONTRACT TAXUD/2013/CC/121

SPECIFIC CONTRACT NR 05

Introduction

This appendix contains the catalogue of the Business Rules (BRs) which were identified in the Elementary Business Processes of FESS Section II: CORE BUSINESS.

It had been revealed that several validation rules had been embedded only in the Elementary Business Processes of FESS, i.e. not part of the Functional Message Structure in Appendix D of DDNEA for EMCS Phase 3, as opposed to rules/conditions. Due to this, there is a risk that these validation rules have been skipped from some MSA's attention for implementation on their NEAs.

The aim of the current appendix is to resolve this problem by documenting in a consolidated and transparent way for the MSAs, all validation rules that were defined only in the Elementary Business Processes of FESS, i.e. they were not part of the Functional Message Structure in Appendix D of DDNEA for EMCS Phase 3.

The present appendix was initially introduced in DDNEA for EMCS Phase 3 of version 1.70.

Business Rule Template

The template used to present each Business Rule is the following:

BR ID	The ID of the current Business Rule.
BR Category	Validation Category based on the nature of validation.
BR Description	The actual content of the Business Rule.
Source FESS EBP	All FESS EBP IDs that contained the FESS validation rule from which the current business rule was created. (Numbering 1) 2) 3) etc. is used, when there are multiple FESS EBP IDs.)
FESS Validation Rule	Extract(s) of the FESS that constitutes the FESS validation rule from which the current Business Rules resulted in.
IE	All IE messages where the current Business Rule is applied. (Numbering 1) 2) 3) etc. is used, when there are multiple IE messages, in correspondence with the FESS EBP IDs given above.)
Data Item	All Data Items where the current Business Rule is applied. Each Business Rule can apply to multiple Data Items in the same message or even to an entire Data group. (Numbering 1) 2) 3) etc. is used, when there are multiple Data Items, in correspondence with the IE messages above.)
Optionality	Optionality of the implementation of this Business Rule as per FESS. The BRs indicated as Mandatory must be implemented by all MSAs, while the implementation of the BRs indicated as Optional is subject to National decision.
Comments	Any clarification or information supplementing the current Business Rule.

Business Rules Catalogue

BR001

BR ID	BR001
BR Category	Uniqueness
BR Description	It is obligatory that the local reference number of the draft ead has not been used by the consignor of the movement in another ead.
Source FESS EBP	UC-201-210
FESS Validation Rule	• if possible, the Local Reference Number has not yet been used by the consignor for a previous e-AD.
IE	Draft IE815 (draft ead)
Data Item	E-AD Draft.Local Reference Number
Optionality	Optional
Comments	N/A

BR002

BR ID	BR002
BR Category	SEED - Registration Data
BR Description	It is obligatory that the origin type code of the draft ead is Origin-import, if the operator type code of the trader authorisation that is registered in seed for the consignor of the movement is Registered consignor.
Source FESS EBP	UC-201-210
FESS Validation Rule	• if the consignor is a registered consignor, o the type of origin is import
IE	Draft IE815 (draft ead)
Data Item	E-AD Draft.Origin Type Code
Optionality	Mandatory
Comments	N/A

BR003

BR ID	BR003
BR Category	SEED - Registration Data

BR Description	It is obligatory that the reference of tax warehouse of the place of dispatch that is included in the draft ead exists in the using tax warehouse that is included in the trader authorisation, that is registered in seed for the consignor of the movement, if the origin type code of the draft ead is Origin-tax warehouse.
Source FESS EBP	UC-201-210
FESS Validation Rule	• if the type of origin is tax warehouse, o optionally, validity of the link (manages or uses) between consignor and place of dispatch
IE	Draft IE815 (draft ead)
Data Item	(PLACE OF DISPATCH) TRADER.Reference of Tax Warehouse
Optionality	Optional
Comments	Subject to national implementation

BR004

BR ID	BR004
BR Category	SEED – Registration Data
BR Description	It is obligatory that the excise product code of each body ead that is included in the draft ead exists in the tax warehouse authorisation that is registered in seed for the place of dispatch that is included in the draft ead, if the origin type code of the draft ead is Origin-tax warehouse.
Source FESS EBP	UC-201-210
FESS Validation Rule	• if the type of origin is tax warehouse, nature of goods compared to the categories and/or products allowed for the tax warehouse of dispatch.
IE	Draft IE815 (draft ead)
Data Item	(BODY) E-AD.Excise Product Code
Optionality	Mandatory
Comments	If instead of an excise product code, the tax warehouse authorisation contains an excise

	products category code, then the validation should be performed by comparing the excise products category code of the excise product code of each body ead, with the excise products category code contained in the tax warehouse authorisation.

BR005

BR ID	BR005
BR Category	Customs Office List
BR Description	It is obligatory that the reference number of the office responsible for release for free circulation that is included in the draft ead exists in the customs office list, if the origin type code of the draft ead is Origin-import.
Source FESS EBP	UC-201-210

FESS Validation Rule	• if the type of origin is import,
	  o validity of the code of the
	    Customs office of import

IE	Draft IE815 (draft ead)
Data Item	(DISPATCH) IMPORT OFFICE.Reference Number
Optionality	Mandatory
Comments	N/A

BR006

BR ID	BR006
BR Category	SEED – Registration Data
BR Description	It is obligatory that the excise product code of each body ead that is included in the draft ead exists in the trader authorisation that is registered in seed for the consignor of the movement, if the origin type code of the draft ead is Origin-import.
Source FESS EBP	UC-201-210
FESS Validation Rule	• if the type of origin is import, nature of goods compared to the categories and/or products allowed for the consignor.

IE	Draft IE815 (draft ead)
Data Item	(BODY) E-AD.Excise Product Code
Optionality	Mandatory
Comments	If instead of an excise product code, the trader authorisation contains an excise products category code, then the validation should be performed by comparing the excise products category code of the excise product code of each body ead, with the excise products category code contained in the trader authorisation.

BR007

BR ID	BR007
BR Category	Relation
BR Description	It is obligatory that each journey time of the draft message is less or equal to the maximum defined journey time, considering the transport mode code of the draft message. It is permitted that the maximum defined journey time is equal to the maximum journey time for the corresponding transport mode code of the reference data in seed, or a national system parameter for journey time, if the national system parameter for journey time is less or equal to the maximum journey time.
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210
FESS Validation Rule	• the journey time is less than the maximum duration allowed (common system parameter) as defined for the transport mode of the movement and it conforms to additional national provisions.
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	1) (HEADER) E-AD.Journey Time

	2) (UPDATE) E-AD.Journey Time 3) (SPLIT DETAILS) E-AD.Journey Time
Optionality	Mandatory
Comments	Following the proposed update of R054, the current Business Rule should be introduced in order to define that: a. The <Journey Time> should be less or equal to the maximum defined <Journey Time>; b. The maximum <Journey Time> can be either the common system parameter defined (<Maximum Journey Time>) or a National system parameter, provided that the latest is less or equal to the common system parameter.

BR008

BR ID	BR008
BR Category	SEED – Reference Data
BR Description	It is obligatory that the excise product code of each body ead that is included in the draft ead corresponds to the excise products category code Energy products in the excise products category of the reference data in seed, if the destination type code of the draft message is Unknown destination.
Source FESS EBP	UC-201-210
FESS Validation Rule	• if destination data are left empty (Article 22 of Directive 2008/118/EC), the goods are energy products.
IE	Draft IE815 (draft ead)
Data Item	(BODY) E-AD.Excise Product Code
Optionality	Mandatory
Comments	N/A

BR009

BR ID	BR009
BR Category	Relation
BR Description	It is obligatory that the transport mode code of the transport mode that

	is included in the draft ead is Sea transport or Inland waterway transport, if the destination type code of the draft ead is Unknown destination.
Source FESS EBP	UC-201-210
FESS Validation Rule	• if destination data are left empty (Article 22 of Directive 2008/118/EC), o the transport mode is either sea or inland waterway
IE	Draft IE815 (draft ead)
Data Item	TRANSPORT MODE.Transport Mode Code
Optionality	Mandatory
Comments	N/A

BR010

BR ID	BR010
BR Category	SEED - Registration Data
BR Description	It is obligatory that the operator role code of at least one operator role that is included in the trader authorisation, that is registered in seed for the consignor of the movement, is Allowed to leave empty the destination fields according to article 22 of the directive 2008 118 ec, if the destination type code of the draft ead is Unknown destination.
Source FESS EBP	UC-201-210
FESS Validation Rule	• if destination data are left empty (Article 22 of Directive 2008/118/EC, o the consignor is allowed to leave these fields empty
IE	Draft IE815 (draft ead)
Data Item	(CONSIGNOR) TRADER.Trader Excise Number
Optionality	Mandatory
Comments	N/A

BR011

BR ID	BR011
BR Category	SEED - Registration Data

BR Description	It is obligatory that the excise product code of each body ead that is included in the draft message exists in the trader authorisation that is registered in seed for the consignee that is included in the draft message, if the destination type code of the draft message is Destination-registered consignee or Destination-direct delivery.
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210

FESS Validation Rule	• if the consignee is a registered consignee:
	   o nature of goods compared to the categories and/or products allowed for the consignee;
	• if the consignment is for direct delivery:
	   o nature of goods compared to the categories and/or products allowed for the consignee.

IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	1) (CONSIGNEE) TRADER.TraderID 2) (NEW CONSIGNEE) TRADER.TraderID 3) (NEW CONSIGNEE) TRADER.TraderID *Note: Due to the fact that the (BODY) E-AD.Excise Product Code is not contained in the IE813, it is proposed (for consistency reasons) that the current BR is applied on the TraderID of the (new) consignee, for all the three messages: IE815, IE813 and IE825.*
Optionality	Mandatory
Comments	– If instead of an excise product code, the trader authorisation contains an excise products category code, then the validation should be performed by comparing the excise products category code of the excise product code of each body ead, with the excise products category code, contained in the trader authorisation.

	– When the message under validation is the IE815 or the IE825, the excise product code used in the current validation is that contained in the draft message, that is, the IE815 or the IE825 respectively. – When the message under validation is the IE813, the excise product code used in the current validation is that contained in the last ead or in the last, if any, report of receipt that indicated partial refusal.

BR012

BR ID	BR012
BR Category	SEED – Registration Data
BR Description	It is obligatory that the trader id of the delivery place that is included in the draft message is equal to the reference of tax warehouse of the using tax warehouse that is included in the trader authorisation, that is registered in seed for the consignee of the draft message, if the destination type code of the draft message is Destination- tax warehouse.
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210
FESS Validation Rule	• if the (new) place of delivery is a tax warehouse, o validity of the link (manages or uses) between (new) consignee and (new) place of delivery
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	(DELIVERY PLACE) TRADER.TraderID
Optionality	Mandatory
Comments	N/A

BR013

BR ID	BR013
BR Category	SEED - Registration Data
BR Description	It is obligatory that the excise product code of each body ead that is included in the draft message exists in the tax warehouse authorisation that is registered in seed for the delivery place that is included in the draft message, if the destination type code of the draft message is Destination-tax warehouse.
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210
FESS Validation Rule	• if the (new) place of delivery is a tax warehouse, nature of goods compared to the categories and/or products allowed for the (new) tax warehouse of delivery.
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	(DELIVERY PLACE) TRADER.TraderID *Note: Due to the fact that the (BODY) E-AD.Excise Product Code is not contained in the IE813, it is proposed (for consistency reasons) that the current BR is applied on the TraderID of the delivery place, for all the three messages: IE815, IE813 and IE825.*
Optionality	Mandatory
Comments	- If instead of an excise product code, the tax warehouse authorisation contains an excise products category code, then the validation should be performed by comparing the excise products category code of the excise product code of each body ead, with the excise products category code, contained in the tax warehouse authorisation.

	- When the message under validation is the IE815 or the IE825, the excise product code used in the current validation is that contained in the draft message, that is, the IE815 or the IE825 respectively. - When the message under validation is the IE813, the excise product code used in the current validation is that contained in the last ead or in the last, if any, report of receipt that indicated partial refusal.

BR014

BR ID	BR014
BR Category	SEED - Registration Data
BR Description	It is obligatory that the operator role code of at least one operator role that is included in the trader authorisation, that is registered in seed for the consignee of the draft message, is Allowed to practice direct delivery, if the destination type code of the draft message is Destination-direct delivery.
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210
FESS Validation Rule	• if the consignment is (now) for direct delivery, o the (new) consignee is allowed to practise direct delivery
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	1)(CONSIGNEE) TRADER.TraderID 2)(NEW CONSIGNEE) TRADER.TraderID 3)(NEW CONSIGNEE) TRADER.TraderID
Optionality	Mandatory
Comments	N/A

BR015

BR ID	BR015
BR Category	SEED - Registration Data
BR Description	It is obligatory that the consignor of the movement exists in the temporary authorisation that is registered in seed for the temporary registered consignee that is included in the draft message, if the destination type code of the draft message is Destination-temporary registered consignee.
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210
FESS Validation Rule	• if the (new) consignee is a temporary registered consignee, o the consignor is the same as registered in temporary authorization
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	1)(CONSIGNEE) TRADER.TraderID 2)(NEW CONSIGNEE) TRADER.TraderID 3)(NEW CONSIGNEE) TRADER.TraderID *Note: Due to the fact that the (CONSIGNOR) TRADER.Trader Excise Number is not contained in the IE813 and the IE825, it is proposed (for consistency reasons) that the current BR is applied on the TraderID of the (new) consignee, for all the three messages: IE815, IE813 and IE825.*
Optionality	Mandatory
Comments	N/A

BR016

BR ID	BR016
BR Category	SEED - Registration Data
BR Description	It is obligatory that the excise

	product code of each body ead that is included in the draft message exists in the temporary authorisation that is registered in seed for the temporary registered consignee that is included in the draft message, if the destination type code of the draft message is Destination-temporary registered consignee.
Source FESS EBP	1) UC-201-210 2) UC-205-210 3) UC-236-210
FESS Validation Rule	• if the (new) consignee is a temporary registered consignee, conformance of the product codes of the e-AD with the temporary authorization.
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	1) (CONSIGNEE) TRADER.TraderID 2) (NEW CONSIGNEE) TRADER.TraderID 3) (NEW CONSIGNEE) TRADER.TraderID *Note: Due to the fact that the (BODY) E-AD.Excise Product Code is not contained in the IE813, it is proposed (for consistency reasons) that the current BR is applied on the TraderID of the (new) consignee, for all the three messages: IE815, IE813 and IE825.*
Optionality	Mandatory
Comments	– When the message under validation is the IE815 or the IE825, the excise product code used in the current validation is that contained in the draft message, that is, the IE815 or the IE825 respectively. – When the message under validation is the IE813, the excise product code used in the current validation is that contained in the last ead or in the last, if any, report of receipt that indicated partial refusal.

BR017

BR ID	BR017
BR Category	Customs Office list
BR Description	It is obligatory that the reference number of the delivery place customs office that is included in the draft message exists in the customs office list with export role, if the destination type code of the draft message is Destination-export.
Source FESS EBP	1) UC-201-210 　 UC-244-310 2) UC-205-210 3) UC-236-210
FESS Validation Rule	• if the consignment is for export, 　 o 　validity of the Customs office 　　　 of export
IE	1) Draft IE815 (draft ead) 2) Draft IE813 (draft change of destination) 3) Draft IE825 (draft splitting operation)
Data Item	(DELIVERY PLACE) CUSTOMS OFFICE.Reference Number
Optionality	Mandatory
Comments	N/A

BR018

BR ID	BR018
BR Category	SEED - Reference Data
BR Description	It is obligatory that the cn code of each body ead that is included in the draft message exists in the same correspondence cn code-excise product of the reference data in seed, with the excise product code of the same body ead that is included in the draft message, if the excise product code is anything but S500.
Source FESS EBP	1) UC-201-210 2) UC-236-210
FESS Validation Rule	• for each body record, optionally, validity of the CN code by reference

	to the product code. This validation rule is not applicable when the Excise Product Code is S500 (Other products containing ethyl alcohol).
IE	1) Draft IE815 (draft ead) 2) Draft IE825 (draft splitting operation)
Data Item	(BODY) E-AD.CN Code
Optionality	Optional
Comments	N/A

BR019

BR ID	BR019
BR Category	Authentication
BR Description	It is obligatory that the submitter of the draft cancellation of an ead is equal to the consignor that is included in the ead.
Source FESS EBP	UC-210-210
FESS Validation Rule	• the submitter of the cancellation is entitled to submit it (submitter of the e-AD)
IE	Draft IE810 (draft cancellation of an ead)
Data Item	N/A *Note: The submitter of the message is not included in the body of the message. Therefore at this point the BR cannot be mapped to a specific data item since it is subject to national implementation.*
Optionality	Mandatory
Comments	The term submitter is used to indicate the person that submitted the draft message. It is an attribute not included in the body of any message and therefore the definition of its value is subject to national implementation.

BR020

BR ID	BR020
BR Category	Existence
BR Description	It is obligatory that the administrative reference code of the draft message already exists in an

	ead.
Source FESS EBP	1) UC-205-210 2) UC-236-210 3) UC-210-210 4) UC-206-210 5) UC-207-210
FESS Validation Rule	• the e-AD exists.
IE	1) Draft IE813 (draft change of destination) 2) Draft IE825 (draft splitting operation) 3) Draft IE810 (draft cancellation) 4) Draft IE818 (draft report of receipt) 5) Draft IE819 (draft alert or rejection of an ead)
Data Item	1) (UPDATE) E-AD.Administrative Reference Code 2) (SPLITTING) E-AD.Upstream ARC 3) EXCISE MOVEMENT E-AD.Administrative Reference Code 4) EXCISE MOVEMENT E-AD.Administrative Reference Code 5) EXCISE MOVEMENT E-AD.Administrative Reference Code
Optionality	Mandatory
Comments	N/A

BR021

BR ID	BR021
BR Category	Relation
BR Description	It is obligatory that the trader id of the consignee that is included in the draft message is equal to the trader id of the consignee that is included in the ead, if the trader id of the consignee is present in the draft message.
Source FESS EBP	1) UC-206-210 2) UC-207-210
FESS Validation Rule	• if any, the Excise number or any other identifier of the consignee is the same as in the e-AD; • if any, the Excise number of the consignee is the same as in the e-AD; • if any, the Temporary

	Authorisation reference is the same as in the e-AD.
IE	1) Draft IE818 (draft report of receipt) 2) Draft IE819 (draft alert or rejection of an ead)
Data Item	(CONSIGNEE) TRADER.TraderID
Optionality	Mandatory
Comments	N/A

BR022

BR ID	BR022
BR Category	Relation
BR Description	It is obligatory that the trader id of the delivery place that is included in the draft report of receipt is equal to the trader id of the delivery place that is included in the ead or in the last change of destination, if the trader id of the delivery place is present in the draft report of receipt and in the ead or in the last change of destination.
Source FESS EBP	UC-206-210
FESS Validation Rule	• if any, the Excise number of the tax warehouse of delivery is the same as in the e-AD or in the last Change of Destination received for this e-AD, if the Excise number of the tax warehouse of delivery is actually included in the e-AD or in the last Change of Destination; • for any other kind of place of delivery, the identifier, if any, is the same as in the e-AD or in the last Change of Destination received for this e-AD, if the identifier is actually included in the e-AD or in the last Change of Destination.
IE	Draft IE818 (draft report of receipt)
Data Item	(DELIVERY PLACE) TRADER.TraderID
Optionality	Mandatory
Comments	N/A

BR023

BR ID	BR023
BR Category	Relation
BR Description	It is obligatory that the submission date of the draft report of receipt is equal or after the date of arrival of excise products of the report of receipt/export that is included in the draft report of receipt.
Source FESS EBP	1) UC-206-210 2) UC-306-230
FESS Validation Rule	• the report of receipt is submitted at or after the date of arrival of the goods
IE	1) Draft IE818(draft report of receipt) 2) Draft IE881(draft manual closure response)
Data Item	1) REPORT OF RECEIPT/EXPORT.Date of Arrival of Excise Products 2) ATTRIBUTES.Date of Arrival of Excise Products
Optionality	Mandatory
Comments	The term submission date is used to indicate the date that the draft message was submitted. It is an attribute not included in the body of any message and therefore the definition of its value is subject to national implementation. *Note: It shall be noted that this rule is also applicable for cases of manual closure, when the optional <Date of Arrival of Excise Products> data item is used.*

BR024

BR ID	BR024
BR Category	Relation
BR Description	It is obligatory that the body record unique reference of each body report of receipt that is included in the

	draft report of receipt corresponds to the same excise product code as in the ead.
Source FESS EBP	UC-206-210
FESS Validation Rule	•For each record referring to a body record of the e-AD, the referred sequential number concerns the right product code.
IE	Draft IE818(draft report of receipt)
Data Item	(BODY) REPORT OF RECEIPT/EXPORT.Body Record Unique Reference
Optionality	Mandatory
Comments	N/A

BR025

BR ID	BR025
BR Category	Relation
BR Description	It is obligatory that the sum of the observed shortage and the refused quantity of each body report of receipt that is included in the draft report of receipt is less or equal to the quantity of the body ead with the same body record unique reference that is included in the last ead or the refused quantity of the body report of receipt with the same body record unique reference that is included in the last, if any, report of receipt that indicated partial refusal.
Source FESS EBP	UC-206-210
FESS Validation Rule	• For each record referring to a body record of the e-AD, the sum of the observed shortage and of the refused quantity does not exceed the current quantity for the e-AD; these current quantities are those refused in the latest partially refused report of receipt, if any; if there is no such partially refused report of receipt, they are copied from the original e-AD.
IE	Draft IE818 (draft report of receipt)
Data Item	(BODY) REPORT OF RECEIPT/EXPORT.Refused Quantity

Optionality	Mandatory
Comments	N/A

BR026

BR ID	BR026
BR Category	SEED - Registration Data
BR Description	It is obligatory that the reference number of the destination office that is included in the draft alert or rejection of an ead is equal to the reference number of excise office of the trader authorisation that is registered in seed for the consignee that is included in the draft alert or rejection of an ead.
Source FESS EBP	UC-207-210
FESS Validation Rule	• code of the Excise office exercising on the consignee
IE	Draft IE819 (draft alert or rejection of an ead)
Data Item	(DESTINATION) OFFICE.Reference Number
Optionality	Mandatory
Comments	N/A

BR027

BR ID	BR027
BR Category	SEED - Registration Data
BR Description	It is obligatory that the reference number of the destination office that is included in the draft alert or rejection of an ead is equal to the reference number of issuance office of the temporary authorisation that is registered in seed for the temporary registered consignee that is included in the draft alert or rejection of an ead, if the destination type code of the draft alert or rejection of an ead is Destination-

	temporary registered consignee.
Source FESS EBP	UC-207-210
FESS Validation Rule	• if the consignee is a temporary registered consignee, o the code of the Excise office is mentioned in the temporary authorisation
IE	Draft IE819 (draft alert or rejection of an ead)
Data Item	(DESTINATION) OFFICE.Reference Number
Optionality	Mandatory
Comments	N/A

BR028

BR ID	BR028
BR Category	Relation
BR Description	It is obligatory that the trader id of the consignee or at least the trader id of the delivery place or (in case the trader id of the delivery place is not required)the address of the delivery place (street name, street number, postcode, city)or the reference number of the delivery place customs office that are included in the draft message are not equal to the trader id of the consignee or the trader id of the delivery place or (in case the trader id of the delivery place is not required) the address of the delivery place (street name, street number, postcode, city)or the reference number of the delivery place customs office that are included in the ead or the change of destination in case another change of destination has preceded.
Source FESS EBP	UC-205-110
FESS Validation Rule	• the destination changes actually (i.e. there is a new consignee or at least a new place of delivery).
IE	Draft IE813 (draft change of destination)

Data Item	(NEW CONSIGNEE) TRADER.TraderID AND (DELIVERY PLACE) TRADER.TraderID AND (DELIVERY PLACE) CUSTOMS OFFICE.Reference Number
Optionality	Mandatory
Comments	N/A

BR029

BR ID	BR029
BR Category	Relation
BR Description	It is permitted that the trader id of the consignee that is included in the draft change of destination is equal to the trader excise number of the consignor of the movement for the case that the consignor returns the goods back to his/her premises.
Source FESS EBP	UC-205-110
FESS Validation Rule	• the new consignee may be the consignor himself (for a return of goods).
IE	Draft IE813 (draft change of destination)
Data Item	(NEW CONSIGNEE) TRADER.TraderID
Optionality	Optional
Comments	N/A

BR030

BR ID	BR030
BR Category	Relation
BR Description	It is permitted that the trader id of the consignee and the trader id of the delivery place or the reference number of the delivery place customs office of at most one split details ead that is included in the draft splitting operation are simultaneously equal to both the trader id of the consignee and the trader id of the delivery place or the reference number of the delivery place customs office

	that are included in the ead.
Source FESS EBP	1) UC-236-110 2) UC-236-210
FESS Validation Rule	• if destination data of the former e-AD are empty (Article 22), only at most one of the new e-ADs is left with that information empty; • At most one of the new destinations is the same as for the initial e-AD, including the case where the destination fields of the e-AD are not filled in yet in accordance to Article 22 of Directive 2008/118/EC. There is no constraint on the MSAs of destination of the new e-ADs.
IE	Draft IE825 (draft splitting operation)
Data Item	(NEW CONSIGNEE) TRADER.TraderID AND (DELIVERY PLACE) TRADER.TraderID AND (DELIVERY PLACE) CUSTOMS OFFICE.Reference Number
Optionality	Mandatory
Comments	• A global validation rule has been implemented to cover all destination type codes (including "8: Unknown destination") • Although the validation described in the second bullet is not included in the EBP UC-236-210 "Check draft splitting operation", it is considered a necessary validation that should be performed on the IE825 message

BR031

BR ID	BR031
BR Category	SEED - Reference Data
BR Description	It is obligatory that the excise product code of each body ead that is included in each split details ead contained in the draft splitting operation corresponds to an excise products category code in the

	splitting excise products category parameters of the reference data in seed.
Source FESS EBP	UC-236-210

FESS Validation Rule	• the following must be globally verified:
	     o nature of goods compared to the categories allowed for splitting (energy products);
	 • the following must be verified separately for each part resulting from the splitting:
	     o if destination data are left empty (Article 22 – at most once for the submission), the goods are subject to splitting according to the applicable common system parameter

IE	Draft IE825 (draft splitting operation)
Data Item	(BODY) E-AD.Excise Product Code
Optionality	Mandatory
Comments	N/A

BR032

BR ID	BR032
BR Category	Relation
BR Description	It is obligatory that the excise product code of each body ead that is included in each split details ead contained in the draft splitting operation exists in at least one body ead that is included in the ead.
Source FESS EBP	UC-236-210
FESS Validation Rule	• compared to the former e-AD, o no product has been added in any of the new e-Ads
IE	Draft IE825 (draft splitting operation)
Data Item	BODY) E-AD.Excise Product Code
Optionality	Mandatory
Comments	N/A

BR033

BR ID	BR033
BR Category	Relation
BR Description	It is obligatory that the sum of the quantities of the body eads with the same body record unique reference that are contained in all split details eads in the draft splitting operation is equal to the quantity of the body ead with the same body record unique reference that is included in the former ead or the refused quantity of the body report of receipt with the same body record unique reference that is included in the last, if any, report of receipt that indicated partial refusal.
Source FESS EBP	UC-236-210
FESS Validation Rule	• compared to the former e-AD, for each body record described in the former e-AD, the sum of quantities described in all new e-ADs is equal to the quantity lastly refused (if a partial refusal has occurred in the history of the former e-AD) or declared in the former e-AD (in the other cases).
IE	Draft IE825 (draft splitting operation)
Data Item	(BODY) E-AD.Quantity
Optionality	Mandatory
Comments	This is an exceptional case, where in the Business Rule instead of the exact name of the data item/data group, as in DDNEA-P3 Appendix D [R02], plural is used by adding an "s" at the end of the data item/data group.

BR034

BR ID	BR034
BR Category	Relation
BR Description	It is permitted that the destination type code of at most one split details ead that is included in the

	draft splitting operation is Unknown destination, if the destination type code of the ead is Unknown destination.
Source FESS EBP	UC-236-210
FESS Validation Rule	• if destination data are left empty (Article 22 – at most once for the submission), o the former e-AD had an empty destination
IE	Draft IE825 (draft splitting operation)
Data Item	(DESTINATION) CHANGED.Destination Type Code
Optionality	Mandatory
Comments	N/A

BR035

BR ID	BR035
BR Category	Relation
BR Description	It is necessary that the draft splitting operation includes at least 2 split details ead.
Source FESS EBP	UC-236-110
FESS Validation Rule	• Splitting is achieved by fully replacing the concerned e-AD by two or several new ones
IE	Draft IE825 (draft splitting operation)
Data Item	(SPLIT DETAILS) E-AD data group
Optionality	Mandatory
Comments	Although this validation is not included in the EBP UC-236-210 "Check draft splitting operation", it is considered a necessary validation that should be performed on the IE825 message

BR036

BR ID	BR036
BR Category	Relation
BR Description	It is obligatory that the country code that appears in the first two characters of the reference number of the delivery place customs office

	that is included in the draft ead is equal to the member state code that appears in the first two characters of the trader excise number of the consignor that is included in the draft ead, if the submission message type is Submission for export-local clearance and the country code that appears in the first two characters of the reference number of the delivery place customs office that is included in the draft ead is not Gr. It is obligatory that the country code that appears in the first two characters of the reference number of the delivery place customs office that is included in the draft ead is Gr and the member state code that appears in the first two characters of the trader excise number of the consignor that is included in the draft ead is El, if the submission message type is Submission for export-local clearance and the country code that appears in the first two characters of the reference number of the delivery place customs office that is included in the draft ead is Gr.
Source FESS EBP	UC-244-310
FESS Validation Rule	• the place of delivery is a Customs office of the Member State of dispatch/export.
IE	Draft IE815 (draft ead)
Data Item	(DELIVERY PLACE) CUSTOMS OFFICE.Reference Number
Optionality	Optional
Comments	N/A

BR037

BR ID	BR037
BR Category	EMCS-ECS Interface
BR Description	It is obligatory that for each goods item that is included in the export message containing a

	previous administrative references in which the previous document type is Ead, an ead exists for which the body record unique reference of exactly one body ead and the administrative reference code are extracted from the previous document reference of the previous administrative references contained in the same goods item that is included in the export message.
Source FESS EBP	1) UC-244-330 2) UC-243-510
FESS Validation Rule	• for each GOODS ITEM data group of IE501/IE515 containing a PREVIOUS ADMINISTRATIVE REFERENCES data group with the Previous Document Type set to "eAD", there is currently an accepted for export or an accepted e-AD containing an e-AD BODY identified by the ARC and Body Record Unique Reference extracted from the Previous Document Reference
IE	1) IE801 (ead)/IE501 (anticipated export record) 2) IE801 (ead)/IE515 (export declaration)
Data Item	EXCISE MOVEMENT E-AD.Administrative Reference Code AND (BODY) E-AD.Body Record Unique Reference
Optionality	Optional
Comments	If it is not the case, all concerned e-ADs must be rejected; the examination continues nevertheless

BR038

BR ID	BR038
BR Category	EMCS-ECS Interface
BR Description	It is obligatory that for each goods item that is included in the export message containing a previous administrative references in which the previous document type is Ead, the net mass of the goods item is

	equal to the net weight of the body ead that is included in the ead.
Source FESS EBP	1) UC-244-330 2) UC-243-510
FESS Validation Rule	• for each GOODS ITEM data group of IE501/IE515 containing a PREVIOUS ADMINISTRATIVE REFERENCES data group with the Previous Document Type set to "eAD", in addition, the net mass in GOODS ITEM must be equal to the net mass in E-AD Body.
IE	1) IE801 (ead)/IE501 (anticipated export record) 2) IE801 (ead)/IE515 (export declaration)
Data Item	(BODY) E-AD.Net Weight
Optionality	Optional
Comments	In order for this validation to be applicable, BR037 should be applied first. If it is not the case, then all concerned e-ADs must be rejected (IE815/IE501) or further consideration is required (IE815/IE515); the examination continues nevertheless.

BR039

BR ID	BR039
BR Category	EMCS-ECS Interface
BR Description	It is obligatory that for each goods item that is included in the anticipated export record containing a previous administrative references in which the previous document type is Ead, the combined nomenclature of the code commodity that is included in the goods item is equal to the cn code of the body ead that is included in the ead.
Source FESS EBP	UC-244-330
FESS Validation Rule	• for each GOODS ITEM data group of IE501 containing a PREVIOUS ADMINISTRATIVE REFERENCES data group with the Previous Document Type set to "eAD", also the CN codes between

	the export (IE501) and the e-AD (IE801) should be cross-checked
IE	IE801 (ead)/IE501 (anticipated export record)
Data Item	(BODY) E-AD.CN Code
Optionality	Optional
Comments	In order for this validation to be applicable, BR037 should be applied first.

BR040

BR ID	BR040
BR Category	EMCS-ECS Interface
BR Description	It is obligatory that for each body ead that is included in the ead, a goods item is contained in the anticipated export record for which the previous document reference of the previous administrative references comprises of the body record unique reference of the same body ead and the administrative reference code that are included in the ead.
Source FESS EBP	UC-244-330
FESS Validation Rule	• for each E-AD Body data group in each e-AD of which the ARC has been found during the examination of IE501, determine whether there is a corresponding GOODS ITEM data group in IE501.
IE	IE801 (ead)/IE501 (anticipated export record)
Data Item	(BODY) E-AD.Body Record Unique Reference AND EXCISE MOVEMENT E-AD.Administrative Reference Code
Optionality	Optional
Comments	In order for this validation to be applicable, BR037 should be applied first. If it is not the case, all concerned e-ADs must be rejected (UC2.44 – Local clearance at export) or further consideration is required (UC2.43 - Export - operation at

	office of export) but the examination continues.

BR041

BR ID	BR041
BR Category	Relation
BR Description	It is obligatory that the body record unique reference of each body analysis that is included in the draft explanation on reason for shortage exists in a body report of receipt in which the indicator of shortage or excess is given and that is included in the corresponding report of receipt (which has the same administrative reference code and sequence number as the draft explanation on reason for shortage).
Source FESS EBP	1) UC-212-110 2) UC-212-510
FESS Validation Rule	• After having received a report of receipt ascertaining shortages or excesses, the consignor sends to the MSA dispatch application complementary explanations (IE871:C_SHR_EXP) regarding the shortages or excesses (e.g. the quantity of goods actually dispatched did not match with the e-AD); • After having sent a report of receipt ascertaining shortages or excesses, the consignee sends complementary explanations (IE871:C_SHR_EXP) regarding the shortages or excesses (e.g. part or all of missing goods have been received after issuance of the report of receipt).
IE	Draft IE871 (draft explanation on reason for shortage)
Data Item	(BODY) ANALYSIS.Body Record Unique Reference
Optionality	Mandatory
Comments	N/A